

# Office of the City Clerk

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## **Legislation Text**

File #: O2018-7788, Version: 1

OFFICE OF THE MAYOR

CITY OF CHICAGO

RAHM EMANUEL MAYOR

September 20, 2018

# TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

#### Ladies and Gentlemen:

At the request of the Commissioner of Fleet and Facility Management, I transmit herewith ordinances authorizing sales of city-owned property on behalf of the Board of Education.

Your favorable consideration of these ordinances will be appreciated. Mayor

Very truly yours,

ORDINANCE ACCEPTING AND RATIFYING BID FROM SINGLE ROOM HOUSING ASSISTANCE CORP., NFP FOR THE PURCHASE OF THE VACANT LAND ADJACENT TO THE FORMER HENSON ELEMENTARY SCHOOL LOCATED AT 1306 S. AVERS AVENUE, 1326 S. AVERS AVENUE AND 1335 S. SPRINGFIELD AVENUE IN CHICAGO

WHEREAS, the City of Chicago (the "City"), is a home rule unit of government under Article VII, Section 6(a) of the Constitution of the State of Illinois and, as such, may exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the Board of Education of the City of Chicago (the "Board"), is a body corporate and politic, created pursuant to Article 34 of the School Code of the State of Illinois, 105 ILCS 5/1-1, et seq. (the

"School Code"); and

WHEREAS, the Board is the beneficial owner of the former Henson Elementary School located at 1306 S. Avers Avenue, 1326 S. Avers Avenue and 1335 S. Springfield Avenue, Chicago, Illinois ("Property"); and

WHEREAS, title to 1326 S. Avers Avenue, PIN: 16-23-109-001, -032, -042 and -043 (Parcel A), is currently held by the Public Building Commission of Chicago for the Board, and title to 1306 S. Avers Avenue, PIN: 16-23-109-022, and 1335-S. Springfield Avenue, PIN: 16-23-109-013 (together Parcel B), is held by the City, as Trustee, in Trust for the Use of Schools, and

WHEREAS, pursuant to Section 34-21(b)(1) of the School Code, by vote of no less than two-thirds (2/3) of its full membership, the Board determined that the Property has become unnecessary, unsuitable, and unprofitable for use as a school or for the purposes of school administration, and that the sale of the Property is in the best interests of the Board and constitutes the best available use of such real estate for the purpose of deriving revenue to support the Board's authorized purposes; and

WHEREAS, the Board advertised the Property for sale and received one bid for the Property, which was opened in the Board's Department of Procurement and Contracts on May 14, 2018, the bid closing date; and

WHEREAS, Single Room Housing Assistance Corporation ("SRHAC") submitted a bid in the amount of Fifty-Five Thousand Dollars and No/100 Dollars (\$55,000) for the entire Property; and

WHEREAS, SRHAC's proposed plan is to redevelop the former school Property with 80 units of affordable housing for low and very low wage earners, service veterans, single mothers and individuals suffering from physical disabilities and chronic illness and to allow community access to space within the building for community programs; and

WHEREAS, a disposition appraisal was obtained for the Property which indicated the disposition value of the Property (Parcels A and B) in April, 2018 was:

Appraiser: KMD Valuation Services, LLC

Disposition Value as of 2018: \$50,000 to \$100,000; and

1

WHEREAS, the disposition appraisal considered the use restrictions below; and

WHEREAS, on August 22, 2018, the Board, pursuant to Resolution Number 18-0822-OP1 (the "Resolution"), voted by more than two-thirds of his membership to accept SRHAC's bid in the amount of the purchase price, Fifty-Five Thousand Dollars and No/100 Dollars (\$55,000) for the Property, including Parcel B, held by the City of Chicago In Trust for Use of Schools; and

WHEREAS, the Resolution includes a request to the PBC and the City to convey the Property to SRHAC in accordance with Section 34-21 (d)(1) of the School Code subject to the use restriction that the Property may not be used as a K-12 Charter School and that the Grantee must obtain a Certificate of Occupancy from the City of Chicago for the Property within four (4) years from the date of the deed now, therefore,

Be It Ordained by the City Council of the City of Chicago:

Section 1. That the foregoing recitals are hereby adopted as the findings of the City Council.

Section 2. That the City of Chicago hereby accepts SRHAC's bid to purchase the former Henson Elementary School Property (Parcels A and B) described on Exhibit A in the amount of Fifty-Five Thousand Dollars and No/100 Dollars (\$55,000).

Section 3. That the Mayor or his proxy is authorized to execute and the City Clerk or the Deputy City Clerk is authorized to attest, a quit claim deed conveying to SRHAC all right, title and interest of the City, as Trustee, in Trust for the Use of Schools and into Parcel B, subject to the following use restriction:

THE PROPERTY MAY NOT BE USED AS A K-12 CHARTER SCHOOL.

THE DEED FOR THE PROPERTY WILL INCLUDE THIS RESTRICTION. TITLE TO THE PROPERTY WILL REVERT BACK TO THE CITY OF CHICAGO, IN TRUST FOR USE OF SCHOOLS, AS LEGAL TITLE HOLDER OF RECORD FOR THE BENEFIT OF THE BOARD IF THIS RESTRICTION IS BREACHED WITHOUT THE PRIOR WRITTEN APPROVAL OF THE BOARD'S CHIEF OPERATING OFFICER, OR CHIEF ADMINISTRATIVE OFFICER.

GRANTEE MUST OBTAIN A CERTIFICATE OF OCCUPANCY FROM THE CITY OF CHICAGO FOR THE PROPERTY WITHIN FOUR YEARS OF THE DATE OF THE DEED.

Section 4. The interest of the City, as Trustee, in Trust for the Use of Schools, in and to Parcel B shall be conveyed in its "AS IS-WHERE IS" condition, and the quit claim deed conveying such interest shall provide that the City and the Board are to be released and discharged from any and all responsibility or liability with respect to the Property's physical, structural and environmental condition. The quit claim deed shall also release 1335-S. Springfield Avenue (PIN: 16-23-109-013) from the restriction contained in that certain quitclaim deed dated November 19, 1997 and recorded as document number 97946142 that such property be used as parking lot.

Section 5. The Mayor, the City Clerk, and the Commissioner of the Department of Fleet and Facility Management (the "Commissioner"), or a designee of the Commissioner, are each

authorized to execute and deliver on behalf of the City such supporting documents and certificates and to do such other things consistent with the terms of this Ordinance as such officers and employees shall deem necessary or appropriate in order to implement the provisions of this Ordinance.

Section 6. . If any provision of this Ordinance shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such provision shall not affect any of the other provisions of this Ordinance.

Section 7. All ordinances, resolutions, motions or orders in conflict with this Ordinance are hereby repealed to the extent of such conflict.

Section 8. This Ordinance shall take effect upon its passage and approval.

Attachment: Exhibit A-Legal Description of the Property

EXHIBIT A LEGAL DESCRIPTION OF PROPERTY (subject to final survey and title commitment)

PROPERTY: FORMER MATTHEW A. HENSON SCHOOL

#### PARCEL A: (TITLE HELD BY THE PUBLIC BUILDING COMMISSION OF CHICAGO)

ADDRESS: 1326 S. AVERS AVE. (SCHOOL BUILDING), PINs:16-23-109-001, 16-23-109-032, 16-23-109-042 and 16-23-109-043 LEGAL DESCRIPTION:

LOTS 1 TO 13 INCLUSIVE, LOTS 35 TO 45, INCLUSIVE, AND PARTS OF LOTS 14 AND 46 (EXCEPT THOSE PARTS OPENED FOR PUBLIC ALLEYS) IN BLOCK 6 FRANK WELLS AND COMPANY'S BOULEVARD SUBDIVISION OF THE NORTH WEST QUARTER OF THE NORTH WEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13 EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS, AND VACATED ALLEY.

#### PARCEL B TITLE: CITY OF CHICAGO IN TRUST FOR USE OF SCHOOLS:

ADDRESSES 1306 S. AVERS AND 1335 S. SPRINGFIELD PINs: 16-23-109-022 AND 16-23-109-013 LEGAL DESCRIPTION:

LOTS 47 AND 48 IN BLOCK 6 IN FRANK WELLS AND COMPANY'S BOULEVARD SUBDIVISION OF THE NORTHWEST 1/4 OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN.

THE SOUTH 7 FEET OF LOT 14 AND THE NORTH 21 FEET OF LOT 15 IN BLOCK 6 IN FRANK WELLS AND COMPANY'S BOULEVARD SUBDIVISION OF THE NORTHWEST QUARTER OF THE NORTHWEST QUARTER OF SECTION 23, TOWNSHIP 39 NORTH, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## SECTION I - GENERAL INFORMATION

A. -egal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

$$Shnjlf*\ 1 < Wy\ t^sing\ As <) SfofrP\ Cm^c^nr|)cx|$$

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- L the Applicant | OR
- I. [] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) Stai e the legal name of the entity in which the Disclosing Party holds a right of control:

#### B. business address of the Disclosing Party: SO\M.cLr^TYfll ^VflflU£.

C. [Telephone: 3\Z'Z\Z'\ZY	/L Fax: 113-4^5*	Email: gricfUlognMySrhaC.<*J			
D. <u>f^ame of contact person</u>					
i r  E. Federal Employer Identification No. (if you have one): /					
F. prief description of the M proberty, if applicable):	Matter to which this	s EDS pertains. (Include project number and location of			
giA SrVrrV^W> r£ CES	f ea 1 rotate, 2d	oR doSr-A scVvriS - i??g S. SafariAy?.			
		esting this EDS? fcftxrWtvV of f^ef ^ facrtify MaMj&tytot			
If tie Matter is a contract to complete the following:	peing handled by th	ne City's Department of Procurement Services, please			
Specification #		and Contract #			
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[ ] Privately held business of [ ]     jsole proprietorship [ ] peneral partnership [ ] Limited partnership [ ] [Trust	CLOSING PARTY the Disclosing Par ness corporation corporation				
-	anized in the State	of Illinois: Has the organization registered to do business in			
f]Yes	[ ] No	j)^ Organized in Illinois			
B. b THE DISCLOSING PA	ARTY IS A LEGA	L ENTITY:			

1. [List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the [entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there areino such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, eacji general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name t Title

iName

2. jPlease provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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# SRHAC Voting Board of Directors (as of 08/17/2018)

#### Alan Erickson, Board President

Real Estate Broker 1111 W. George Ave Apt # 2
United Real Estate Company Chicago, IL 60657

312-278-8530 773-975-8421 (fax) 773-719-

0676 (cell) aerickl 111 @aol.com

Russ Ogorek, Board Vice President

Rental Real Estate Operator 3765 Wehrman Ave

Schiller Park, IL 60176 847-977-5031

(cell) 708-910-2399 (fax)

Dan Starr, Board Secretary

Attorney & Senior Partner 35 E Wacker Dr., #1870 770 32<sup>nd</sup> Ave S, lot 351 Starr, Bejgiert, link & Rowells Chicago, IL 60601 St. Petersburg, FL 33705

312-245-0179/312-346-9420

312-372-3447 (fax) 727-219-6335 (cell)

sbzrlaw@qmail.com <mailto:sbzrlaw@qmail.com>

#### John Clark, Board Treasurer & Finance Committee Chairman

President & Real Estate Investor 25287 W. Blakely Pkwy

Clark Management Co. and a retired CPA Barrington, IL 60010

773-552-8822 (cell) 847-526-9129 (fax)

iclar30@hotmail.com

<mailto:iclar30@hotmail.com>

#### Eric Rubenstein, Board Member & SRHAC Executive Director

President 4917 N. Kenmore Alpine Realty & Management Co. Chicago, IL 60640

847-784-8989 847-784-9714(fax) ericrubenstein@,srhac.orq

John Spear, Board Member

Independent Financial Consultant 2747 Cameron Ct.

Darien, IL 60561

630-538-6804 Jspear421(5).qmail.com

Sheldon Weiner, Board Member

CPA & Senior Partner 125 S Wacker Dr, 10<sup>th</sup> FI BrookWeiner L.L.C. Chicago, IL 60606

312-629-0900 312-205-3227 (direct) 773-459-

4877 (cell) 312-629-0901 (fax) sweiner@brookweiner.com

<mailto:sweiner@brookweiner.com>

limited liability company, or interest of a beneficiary of a trust, estate or other similar ennry. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf

Name Business Address Percentage Interest in the Applicant

Nnnf.

# SECTION DJ - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Parry provided any income or compensation to any City elected official during the

12-month period preceding the date of this EDS? [] Yes ^No

Does the Disclosing Party reasonably expect to provide any income or compensation, to any City elected official during the 12-month period following the date of this EDS? [] Yes ffi No

If "yes" to either of the above, please identify below tfcc aame(s) of such City elected officials) and describe such income or compensation:

Does any. City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as denned in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [|Yes ^No

If "yes," please identify below the name(s) of such City elected official(s) and/or spousc(sydomestic partners) and describe the financial interests).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person cc entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid, the Disclosing Party is not required to disclose employees who are paid solely through me Disclosing Party's regular payroll If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated Address (subcontractor, attorney, lobbyist, etc.)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

# ^fftfth HYnw) ^33 SWqcW tVivU? Ste Ifoflfl, Aitomf\*\, Ptobono refrekMvn

(Ao\*d sheets if necessary)

[ ] pheck here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V - CERTIFICATIONS

A. COURT-ORDERED CFTTLD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must

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remain in compliance with their child support obligations throughout the contract's term.					
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?					
[] Yes [] No ^No person directly or indirectly owns 10% or more of the Disclosing Party.					
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?					
[] Yes [] No					
B. FURTHER CERTIFICATIONS					

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can; be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. kre not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
- ;• the Disclosing Party;
  - !• any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in Connection with the Matter, including but not limited to all persons or legal entities disclosed Under Section IV, "Disclosure of Subcontractors and Other Retained Parties"); ;• any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity)- Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following 'the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity; or any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Parljy or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Master:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record,

but have not been prosecuted for such conduct; or

- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33 E-3; (2) bidf rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1 -23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the [Applicant understands and acknowledges that compliance with Article I is a continuing requirement fordoing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10.; [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason, to believe has not provided or cannot provide truthful certifications.

11., If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further i, the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the follow ing is a

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complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

#### MuTvC

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public; or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

## **JML**

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)
  - [] is Dqisnot
  - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

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	the word "None," or no response a ned that the Disclosing Party certif	ppears on the lines above, it will be ied to the above statements.
D. CERTIFICATIO	ON REGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or terms	s defined in MCC Chapter 2-156 ha	ave the same meanings if used in this Part D.
reasonable inquiry,		e best of the Disclosing Party's knowledge after the City have a financial interest in his or her own name or ?
[]Yes	1)^ No	
<del>-</del>	ked "Yes" to Item D(l), proceed to l D(3) and proceed to Part E.	Items D(2) and D(3). If you checked "No" to Item D(l),
employee shall have the purchase of any by virtue of legal pr	e a financial interest in his or her over property that (i) belongs to the City ocess at the suit of the City (collect	dding, or otherwise permitted, no City elected official or wn name or in the name of any other person or entity in y, or (ii) is sold for taxes or assessments, or (iii) is sold tively, "City Property Sale"). Compensation for property es not constitute a financial interest within the meaning
Does the Matter inv	volve a City Property Sale?	
[] Yes	[ ] No	
	"Yes" to Item D(l), provide the uch financial interest and identify t	names and business addresses of the City officials or he nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4. The Disclosing by any City official	•	hibited financial interest in the Matter will be acquired

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### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose

below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_}^\_1 
  The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally

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funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modifiany federally funded contract, grant, loan, or cooperative agreement.
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs $A(l)$ and $A(2)$ above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501;(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all propose subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant? [ 1 Yes [ ] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [ J Yes t I No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
[] Yes [] No [] Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[ | Yes I J No

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION Vn -- FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>. and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

#### **CERTIFICATION**

Under penalty of perjury., the person signing below: (1) warrants that he/she is authorized to execute this EDS. and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

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Signed and sworn to before me on (date)

I RONNIE R WILLIAMS OfHetet Seal Notary Public • State of Illinois IjMy Commission ffxpirvt May 16, 2022

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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1 a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership: all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ J Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any-legal entity which has only an indirect ownership interest in the Applicant.					
1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?					
[] Yes ^ No					
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?					
[] Yes	[] No ^ The Applicant is not p	ublicly traded on any exchange.			
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.					

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This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>). generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for serv ices, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a

business on Citly premises.

Ori behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening jot} applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ J Yes

[jNo

^4jN/A - 1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(cXO-If you checked "no" to the above, please explain.

!

Ver.2018-1