

### Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

### Legislation Text

File #: Or2018-539, Version: 1

### **ORDER**

WHEREAS, Section 2-120-815 of the Municipal Code of the City of Chicago ("Municipal Code") provides that the City Council of the City of Chicago ("City Council") may by passage of an appropriate order waive any fees charged by the City for any permit for which approval of the Commission on Chicago Landmarks (the "Commission") is required, in accordance with Chapter 2-120 of the Municipal Code; and

WHEREAS, an ordinance (the "Landmark Designation Ordinance") designating the Complex (as hereinafter defined) located on the Property (as hereinafter defined) as a Chicago Landmark is being introduced to the City Council simultaneously herewith; and

WHEREAS, if the City Council adopts the Landmark Designation Ordinance, the permits identified below will require Commission approval in accordance with Section 2-120-740 of the Municipal Code; now, therefore,

### BE IT ORDERED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. The foregoing recitals are hereby adopted as the findings of the City Council.

SECTION 2. Subject to the Commission's approval of the Landmark Designation Ordinance, the Commissioner of the Department of Buildings, the Commissioner of the Department of Fleet and Facility Management, the Commissioner of the Fire Department, the Director of the Department of Revenue, and the Zoning Administrator are hereby directed to issue those permits for which approval of the Commission is required pursuant to Chapter 2-120 of the Municipal Code free of charge, notwithstanding any other ordinances of the City Council to the contrary, for the following property and work:

Address: 1501-1555 W. Monroe Street, 101-139 S. Ashland Avenue, 100-115 S. Laflin Street ("Property")

West Side YMCA/YWCA Complex consisting of five buildings ("Complex")

Work: Owner:

Rehabilitation of Complex to accommodate 260 residential apartment units

Monroe 1515 LLC

1020 W. Lawrence Avenue, #300

Chicago, Illinois 60640

SECTION 3. Subject to the Commission's approval of the Landmark Designation Ordinance, the fee waiver authorized by this Order shall be effective from November 1, 2018 through January 31, 2020, and shall not apply to additional developer service fees, stop-work order fees or any fines.

SECTION 4. Subject to the Commission's approval of the Landmark Designation Ordinance, the purchaser of permits for the Property shall be entitled to a refund of fees for which it has paid and which are exempt hereunder.

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NOTE: This is NOT a permit nor does it constitute a Letter of Approval for the above described work. A permit application for the work must be approved by the appropriate City department(s) as well as the Commission on Chicago Landmarks for this permit fee waiver, subject to City Council approval, to be valid.

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **iSECTION I - GENERAL INFORMATION**

A. LegaT hame o^^^

Include d/b/a/ if applicable:

MONROE 1515 LLC

i

### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1-- pCK the Applicant

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- -"-Matter"), a direct or indirect-interest in excess of 7.5% in the Applicant. State the Applicant's legal -name-:

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B) (1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

# B. Business address of the Disclosing Party: 1020 W LAWRENCE AVE SUITE #300 CilCAGO, IL 60640

C. Telephone: 312-506-3208 p<sub>ax:</sub> 312-216-0718 Email: asamoylovich@cedarst.com <mailto:asamoylovich@cedarst.com>

- D. Name of contact person: Alex Samoylovich
- E. Federal Employer Identification No. (if you have one;
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of

File #: Or2018-539, Version:	1					
property, if applicable):						
Permit Fee Waiver for p 100-116 S Laflin G. Which City agency						velopment
If the Matter is a contract complete the following:	_	_				
Specification #		and Contract	:#			
Ver.2017-1		Page 1 of 14				
	listed below may be Business. Address,	required to submit	t an EDS on i	its own behal	f. Applican	
Alex Samovlovich I Silver Mountain Partners LF		eAve#300.Chqo,IL60 , Minneapolis, MN 5		<io,k6 %<="" td=""><td>%</td><td></td></io,k6>	%	
Uptown Apartments LLQ:				' 23.166%		
**No other entity nor Individu SECTION III - INCON OFFICIALS					F.	mA ELECTED
Has the Disclosing Party 12-month period preceding	•	-	to any City e		_	; the
Does the Disclosing Party elected official during the	• •		-		ny City [X]	No
If "yes" to either of the ab such income or compensa	-	below the name(s)	of such City	elected offic	cial(s) an	d describe
Does any City elected offi inquiry, any City elected of Chapter 2-156 of the Mun	fficial's spouse or de	omestic partner, ha	ave a financia	al interest (as		in
If "yes," please identify partner(s) and describe the			elected offic	ial(s) and/or	spouse	(s)/domestic

### SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- bV have noCauTing'the 5"yelre"M@reLtAirdate. of this EDS, been convicted of a criminal offense, adjudged.guilty, or had a,civil judgment rendered against them in connection with: obtaining, \_ attem^tiBg-l^^tain, pr performing a pliblic (federal, state or local) transaction or contract under a \_ .-. public"t^Tacfen;"a vidlafion of federal or state antitrust statutes; fraud; embezzlement; theft; forgery;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions
- \_e.\_Jiaye.nqt <a href="http://\_e.\_Jiaye.nqt">http://\_e.\_Jiaye.nqt</a>, during the ...Syears before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");

- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

.11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

' N

If thejetters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

NA

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

NA

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- 1. The Disclosing Party certifies that the Disclosing Party (check one)  $[1 \text{ is }]^{x}\text{Ji}^{s \text{ not}}$ 
  - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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### E. - CERTIFICATION REGARDING SLAVERY ERA BUSINESS

;;,Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in - eonnection-with the Matter voidable by the City.

- xx 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits <sub>r:</sub> from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and = the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the . Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such ..records, including the names of any, and all slaves or slaveholders described in those records:

### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded,

proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A, CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

NA

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures,<sup>1</sup> and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether, procurement, City assistance, or other City action, and are material inducements to the City's execution" of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based; "
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St.', Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact

may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of infonnation contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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r.:T.^:... RECERTIFICATION. '...

:Generally, "for use wTth City Co^ matters. Not for City procurements unless requested. r----.

Zoning Map Amendment for property located at 1501-

1555 This recertification is being submitted in connection with Monroe; 101-139 S Ashland; 100-116 S Laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its

.acknowledgments.

Mft/OfcflE IS.g LL/V Date: ^/fr^/fl

(Print or type legal name of Disclosing Party)

(sign here) Print or type name of signatory:

By:

Title of signatory:

(Isr.PaLUX. MA^A6t32.QP- Wm^bB \< Bt<5 U-C

[state].

Notaiy Public,

Signed and sworn to before me on [date] \^lpMJ^ 1^\*20/8, by, St rrtf-^.RttrdLie-- .at OjnnVL-\(\frac{1}{2}\)

Notary Public. State of Illinois c My Commission Expires 4/26/2021;

Commission expires: ^W/J^^J \ "OFFICIAL SEAL"

T-Y- g SCOTT G PERDUE

Vei-. 11-01-05

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ ]Yes boJNo

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# ----- CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: MONROE 1515 LLC

Check ONE of the following three boxes:-

Indicate whether the Disclosing Party submitting this EDS is:

1. pCJC the Applicant

OR

2. [] a legal entity currently holding, or anticipated to hold within six months.after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:

OR

3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

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B. Business address of the Disclosing Party:	1020 W L	<u>AWRENCE AV</u> ), IL 60640	E SUITI	E #300
C. Telephone: 312-506-3208	Fax:	312-216-0718	Ernaii	<a href="http://Ernaii"></a>
asamoylovichf@cedarst.com <mailto:asamoyl< td=""><td>lovichf@ceda</td><td>rst.com&gt;</td><th></th><th></th></mailto:asamoyl<>	lovichf@ceda	rst.com>		
D. Name of contact person: Alex Samoylovic	h			
E. Federal Employer Identification No. (if you	u have one):			
F. Brief description of the Matter to which this property, if applicable):	s EDS pertain	s. (Include projec	et number	r and location of
Permit Fee Waiver for property located at 150 100-116 S Laflin G. Which City agency or department is required.				
If the Matter is a contract being handled by the complete the following:	e City's Depar	tment of Procure	ment Ser	vices, please
Specification #	and Contrac	et#		
Ver.2017-1	Paget of 14			
SECTION II - DISCLOSURE OF OWNERSHIP  A. NATURE OF THE DISCLOSING PARTY	INTERESTS			
1. Indicate the nature of the Disclosing Party:  [ ] Person  [ ] Publicly registered business corporation  [ ] Privately held business corporation  [ ] Sole proprietorship  [ ] General partnership  [ ] Limited partnership  [ ] Trust	[X] Limited li Limited li [] Joint vent [] Not-for-pi	rofit corporation -profit corporation [] No		01(c)(3))?

For legal entities, the state (or foreign country) of incorporation or organization, if applicable:

3. For legal entities n the State of Illinois as		te of Illinois: Has the organization registered to do business in
[] Yes	[ ] No	}i ] Organized in Illinois
B. IF THE DISCLOS	SING PARTY IS A LE	GAL ENTITY:
(ii) for not-for-profit write "no members we executor, administrate companies, limited lia	corporations, all membershich are legal entities" or, or similarly situated ability partnerships or j	pplicable, of: (i) all executive officers and all directors of the entity pers, if any, which are legal entities (if there are no such members, ); (iii) for trusts, estates or other similar entities, the trustee, diparty; (iv) for general or limited partnerships, limited liability joint ventures, each general partner, managing member, manager or or indirectly controls the day-to-day management of the Applicant
NOTE: Each legal en	tity listed below must	submit an EDS on its own behalf.
Name Title CSCP II	LLC MANAGER	
current or prospective of 7.5% of the Applic	e (i.e. within 6 months	concerning each person or legal entity having a direct or indirect, after City action) beneficial interest (including ownership) in exces an interest include shares in a corporation, partnership interest in a mber or manager in a
limited liability comstate "None:" ~	pany,~or interest of a	beneficiary of a trust, estate or other similar entity. If none,
-NOTrEnEacblegal'ea	ntityTisted^beiowmay	be required to submit an EDS on its own behalf
Name -AlexSamovlovich•	Business Address '■" " ■1025-WSun	Percentage Interest in the Applicant ^ anvside#e-#300.Chgo, IL60640 .k,_,66(_
"UptowWp'aMehtsLLC		Park IL 60035 23.166%
Silver Mountain Partner SECTION III - INC	•	Minneapolis, MN 55408 8.00% ATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS
Has the Disclosing I	Party provided any inco	ome or compensation to any City elected official during the

File #: Or2018-539, Version:	1					
12-month period precedin	g the date o	f this EDS?			[]Yes	[X] No
Does the Disclosing Party elected official during-the	•		•	-	•	City [XJ No
If "yes" to either of the about such income or compensation	-	identify below t	he name(s) of su	ıch City e	lected official	(s) and describe
Does any City elected offi City elected official's spou Municipal Code of Chicag	se or domes	stic partner, hav	e a financial inte	erest (as d	•	1 0 0
If "yes," please identify partner(s) and describe the			uch City electe	ed officia	l(s) and/or sp	pouse(s)/domesti
SECTION IV - DISCLOS	URE OF SU	JBCONTRACT	TORS AND OTH	HER RET	AINED PART	ΓIES
The Disclosing Party must defined in MCC Chapter 2 Party has retained or expect and the total amount of the employees who are paid so uncertain whether a disclo- whether disclosure is requir	e-156), according to retain the fees paid of the blely through sure is required.	untant, consultatin connection wor estimated to be the Disclosing ired under this S	nt and any other with the Matter, a be paid. The Discog Party's regular Section, the Disco	person on s well as closing Pa payroll. It	r entity whom the nature ofth rty is not requ f the Disclosin	the Disclosing he relationship, aired to disclose ng Party is
Page 3 of 14						
Name (indicate whether		-	•	•		
to be retained)	`	subcontractor, a lobbyist, etc.	.)	"	aid or estimat hourly rate" or	•
<u>-r.r:■-■: .</u> DLA PIPER LLP (r			<u>not an acceptabl</u> #900, Chgo, IL 6			<u>\$8,000</u>
Booth Hansen (retained) 3	-		_			
Method Development LLC \$800,000	C (anticipate	ed) 1025 W Sun	nyside Ave #300	), Chgo, II	L Gen. Contra	ıctor
"(Addsh'eets if necessary)	::	''•■				

""[""]"Ch"e'c'k"here if the Disclosing Party has not retained, nor expects to retain, any such persons or

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### entities. SECTION V - CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child.support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes |X] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

### B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3." The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense,

- b. -adjudged guiltyror had a civil judgment rendered against them in connection with: obtaining,
- b. 'aite^ptShg^ a public (federal, state or local) transaction or contract under a "'■-~ y
- b. public transaction a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery;
- b. briheryj falsifi^\ of records; making false statements; or receiving stolen property; -
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal; state of local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- "4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor,

an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe;
- a. a public officer or .employee.of the City, the State of Illinois, or any agency of the federal government
- a. or of any state or local government in the United States of America, in that officer's or employee's ';• ""
- a. official capacity; . . . \_ . .
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

File #: Or2018-539, Version: 1		
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"contractor/subcontractor'that" has not provided or cannot pr	does not provide such certifications or that the Application ovide truthful certifications.	nt has reason to" believe
11. If the Disclosing Party -"Certifications), the Disclosin	is unable to certify to any of the above statements ag Party must explain below:  - NA/	in this Part B (Further <sup>:</sup> "
If the letters "NA," the word '	'None,""orno response appears on the lines above, it wi	ll be conclusively:
presufM	above statements.	11 11
complete list of all current en	ing Party's knowledge after reasonable inquiry, the follouployees of the Disclosing Party who were, at any time of this EDS, an employee, or elected or appointed of with "N/A" or "none").  , NA	luring the 12-
all gifts that the Disclosing Pa preceding the execution date of Chicago. For purposes of this City employees or to the gene and having a retail value of le	ang Party's knowledge after reasonable inquiry, the following has given or caused to be given, at any time during of this EDS, to an employee, or elected or appointed off statement, a "gift" does not include: (i) anything made ral public, or (ii) food or drink provided in the course of statement and statement, or (iii) a political contribution dicate with "N/A" or "none"). As to any gift listed below NA	the 12-month period ficial, of the City of generally available to f official City business otherwise duly reported

1. The Disclosing Party certifies that the Disclosing Party (check one)  $[\ ]$  is  $[^XJ$  is not

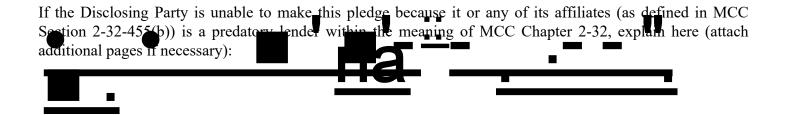
a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

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rue #	r. Orzu	าด-องษ	. version:	- 1

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

Page 7 of 14



If the letters "NA," the word "None," or no response appears on the lines above, it will.be <a href="http://will.be">http://will.be</a>- conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS ..

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

T. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes fcx] No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes fcx] No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

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Name	Business Address	Nature of Financial Interest			

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

\_\_ Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must "disclose of hi an .attachment to this EDS all information required by (2). Failure to "-• comply with these disclosure requirements may make any contract entered into with the City in -cohhectibn~with the Matter voidable by the City.

- xx \_ 1. \_The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits frpm sjayerxor slayeholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders" described in those records:

### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the

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Matter: (Add sheets if necess	nary): NA
will be conclusively presume	begins on the lines above, or if the letters "NA" or if the word "None" appear, it det that the Disclosing Party means that NO persons or entities registered under the 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party
•	as not spent and will not expend any federally appropriated funds to pay in paragraph A(l) above for his or her lobbying activities or to pay any nee or attempt to influence an officer or employee of any agency, as defined

of a member of Congress, in connection with the award of any federally funded contract, making any-federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. -

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(l) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described-in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration-of the Matter and must make such certifications promptly available to the City upon request:

### FJ. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Par	rty the Applicant? NA
[] Yes	[ ] No

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If "Yes," answer the three	e questions be	elow:
1. Have you developed a regulations? (See 41 CFR	•	ave on file affirmative action programs pursuant to applicable federal
•	the Equal Er	orting Committee, the Director of the Office of Federal Contract imployment Opportunity Commission all reports due under the
[ J Yes	[] No	[] Reports not required
3. Have you participated opportunity clause?  [JYes [JNo	l in any previ	ous contracts or subcontracts subject to the equal

If you checked "No" to question (1) or (2) above, please provide an explanation:

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### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

- . The Disclosing Party understands and agrees .that:
- A. The certifications, disclosurestand acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

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- D. It is the City's policy to make this document available to the public on its Internet site and/of upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to "recertify your EDS prior to'submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested. -i;

PcrmfrSfce, \JJOd\\lcr <file:///JJOd/lcr> k zoning map amendementfor proeprty located ai This recertification is being submitted in connection with\*w monroe; 101-139 s ashland; 106-11S s laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) wan-ants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acknowledgments.

M/JMIniE	<i>lb!5LLC</i>	<u>Date:</u>	<u>&lt;*M</u> f6
(Print or type le	gal name of Disclosing Party)		

By:

(sign here) Print or type name of signatory:

Title of signatory:

MAWAfrgO.^ d^CPir I 1 C.<sub>i</sub> MA^/J/Z^p

^ Uf\*\D{\& (3/5 LLC-

Signed and sworn to before me on [date] <^VJPvC \*S

\%, by

 $A\t SaVC\C^\circ\Jixy>$ , at  $Con\C$ County, : £( [state].

Notary Public.

Commission expires: F'lftr'ch (lj>TJ^lA-

Ver. 11-01-05

(DO;NOT SUBMIT THIS j. GfZ WITI [ YOUR EDS. The purpose of this page is for you to recertiry your EDS prior to submission to City Council or on tle date of closing.' If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected informatioh)-

### RECKRTIFICATION'

Generally^" for use with City Council matters. Not tor City procurements unless requested.

-1555 W

with Monroe; 101-139 S Ashland; 100-116 S Laflin

person sighing below: (1) warrants that

recertification on behalf of the Disclosing Party, (2)

contained in the Disclosing Party's original EDS

furnished to the City and continue to be true,

recertification, and (3) reaffirms its

Date: ^//fe/g

MD/J/^QE j^Po LL/L

[identify the Matter]. Under penalty of perjury, the he/she is authorized to execute this EDS wairants that all certifications and statements are true, accurate and complete as of the date accurate and complete as of the date of this acknowledgments. . :j

Zoning Map Amendment far property heated ai 1501

This recertification is being submitted in connection

File #: Or2018-539, Version: 1	
(Print or type legal name of Disclosing Party)	
By:	
(sign here) Print or type name of	
signatory:	
Title of signatory:	
Signed and sworn to before me on [date] CApAjJL ilp <sub>f</sub> c2^/6	, by .
Scntf-^ P^rdcie^ , at CfifiiC- ' County, JUm^CLS,	[state].
\JpM~_ If PtfCjujU- Notary Public. '	NOPPICIAL GRADI
1	"OFFICIAL SEAL" SCOTT G PERDUE

Ver. 11-01-05

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this-EDS, and. Appendices A and B (if.applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

Notary Public, State of Illinois My Commission Expires 4/26/2021

MONROE 1515 LLC;, (Print or type exact legal name of Disclosing .Party)

ALEX SAMOYLOVICH (Print or type name of person signing)

MANAGER OF CSCP II, MANAGER OF MONROE 1515 LLC (Print or type title of person signing)

Signed and sworn to before me on (date) Stzph 2T!. TjOYI

File #:	Or2018	-539 \	/ersion:	1

**Notary Public** 

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a -direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child<sub>L</sub> brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

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Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes [JNo

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

- 1. Pursuant to MCC Section 2-154-016, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
- 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes [] No [] The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

Page 14 of 14
(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose ofthis page is for you to ^Fecerti^your^DS^-ib'^'lu^Mi8sioD to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information):::r"~.L^,;.
- • RECERTIFICATION
Generally, for use^ith~'City Council matters. Not for City procurements unless requested.  •- Permit Fee waiver and zoning map amendment forproeprty located at  This recertification is being submitted in connection with*50'^ wmonroe; 101-139 s ashland; mns s laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.
MONROE 1515 LLC . Date
. Date
(Print or type legal name of Disclosing Party) By:
(sign here)
Print or type name of signatory:

ALEX SAMOYLOVICH

Title of signatory:

MANAGER CSCPII, MANAGER OF MONROE 1515 LLC

Signed and sworn to before me on [date]  $_/$ W^M^y^ > at fjSOAt^ County, jmrmssion expires:

"OFFICIAL SEAL" SCOTT G PERDUE

J Notary Public, State of Illinois \ My Commission Expires 4/28/2021

Ver. 11-01-05

### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

' UPTOWN APARTMENT LLC -

"**-** ^

### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. Do) a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
  - 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the

File #: Or2018-539, Version: 1	
	a direct or indirect right of control of the Applicant (see Section 11(B)) the entity in which the Disclosing Party holds a right of control:
B. Business address of the 60035	Disclosing Party: 290 MARSHMAN ST., HIGHLAND PARK IL
C. <u>Telephone»47-3u2-7S15</u>	<u>Fax: 317-224-1202</u> <u>Email:</u>
GODCOHEN@COMCAST	T.NET < mailto: GODCOHEN@COMCAST.NET >
D. Name of contact person:	GIDON COHEN
E. Federal Employer Identi	fication No. (if you have one): 46-1488779
F. Brief description of the Month of property, if applicable):	fatter to which this EDS pertains. (Include project number and location
100-116 S Laflin	erty located at 1501-1555 W Monroe /101-139 S Ashland /
If the Matter is a contract be please complete the following	eing handled by the City's Department of Procurement Services, ng:
Specification #	and Contract #
Ver.2017-1	Page 1 of 14
SECTION II - DISCLOSURE OF	FOWNERSHIP INTERESTS
A. NATURE OF THE DISCLOS	ING PARTY
,[] Privately.held.business corportion  [J General partnership	oration ^];-Sole:.pFoprietor^pv.i;:-r-r^-j^^^.

File #: Or2018-539, V	ersion: 1		
•	t corporation also a 501(c ] No [ ] Other (please sp		
2. For legal entities	, the state (or foreign cou	untry) of incorporation or organ	nization, if applicable:
DELAWARE			
3 . For legal entities the State of Illinois	_	te-of Illinois: Has the organiza	ation registered to do business in
[] Yes	[X] No	[] Organized in Illinois	S
B. IF THE DISCLO	OSING PARTY IS A LE	GAL ENTITY:	<b>\$</b>
entity; (ii) for not-formembers, write "no trustee, executor, ac liability companies,	or-profit corporations, all members which are legal lministrator, or similarly limited liability partners	I members, if any, which are leal entities"); (iii) for trusts, esta situated party; (iv) for general ships or joint ventures, each ge	officers and all directors of the egal entities (if there are no such ates or other similar entities, the l or limited partnerships, limited eneral partner, managing member, rols the day-to-day management of
NOTE: Each legal e	entity listed below must s	submit an EDS on its own beha	alf.
Name HIGHLAND CAPIT Title MANAGER	AL LLC		
current or prospective excess of 7.5% of the	ve (i.e. within 6 months and Applicant. Examples of	after City action) beneficial int	al entity having a direct or indirect, terest (including ownership) in res in a corporation, partnership in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

File #: Or2018-539, Vers	sion: 1		
Name HIGHLAND CAPITAL	Business Address ., 290 MARSHMAN ST; HIGHLAN	Percentage Interest in the ID:EARK IL 60035	Applicant <u>. 50%</u>
MONS INVESTMENT CLB I FAMILY TRUST LEVY 2011 FAMILY TI	LLC 180 N MICHIGAN:#30.0, .C 1242 N LAKE SHORE DRIVE # RUST 8 FOX TRAIL LN RIVERW	CHICAGO IL.60601 - ' #18E, CHICAGO IL" 60610 "': ' OODS; IL <sup>:</sup> 60015^^ -8.\$% OR OWNERSHIP BY, CITY ELEC	19.375% " 19:375%
•	rty provided any income or compe eding the date of this EDS?	ensation to any City elected official [] Yes No	· ·
	arty reasonably expect to provide at the 12-month period following the	any income or compensation to any e date of this EDS? [] Yes	City ^ No
If "yes" to either of the such income or compen	•	name(s) of such City elected officia	al(s) and describe
inquiry, any City electe Chapter 2-156 of the M	ed official's spouse or domestic pa Municipal Code of Chicago ("MCC fcx] No	,,	lefined in
If "yes," please identif (s) and describe the final		ty elected official(s) and/or spouse	(s)/domestic partner

### SECTION TV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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retained or anticipated A to be retained)	Business Relationship to Disclosing Party Address (subcontractor, attorney, lobbyist, etc.)  ii^i^-^^s-i	Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an'acceptable response
(Add-sheets if necessary)	•	

[X# Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities SECTION V - CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes	[X^ No	[] No person	directly of	or indirectly	owns 10%	or more of the	Disclosing Party.
--------	--------	--------------	-------------	---------------	----------	----------------	-------------------

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes XX] No

### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, . . . adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, "attempting to obtain, or performing a public (federal, state or local) transaction or contract under-a<sup>-</sup>-- public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property; -
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date ofthis EDS, been convicted, adjudged guilty, or''found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any

Affiliated Entity (collectively "Agents").

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-Matter:-••--"

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years ^before-me^ate^f suctegoritractbr-?S or-Affiliated Entity's contract or engagement in connection with the

a. bribed or attempted to bribe, or been convicted or adjudged guilty; of bribery or attempting to briber

"a'puBlieofficeror-^ of Illinois, or any agency of the federal government;

=oro£any-;state or\*to official capacity; -

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by-agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been "prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further : -- Certifications), the Disclosing Party must explain below:

N/A/

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

File	#•	Or201	18-539.	Ver	sion.	1

1.	The Disclosing	Party of	certifies	that the	Disclosing	Party (	(check on	e)

[] is kx] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party "is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the:letters "NA," the word "None," or no.response appears on the lines above, it will be conclusively presumed: that the Disclosing Party certified to the above statements.

### D...CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes gX] No "" "-

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

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Does the Matter involve a City Property Sale?

[] Yes RX] No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name I

**Business Address** 

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party ...must disclose below, or in "ahattachment to this EDS all information required by (2). Failure to -. -comply with these disclosure requirements may make any contract entered into with the City in -connection with the Matter voidable by the City.

- XX 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of: --\_ the Disclosing Party and any and all predecessor entities regarding records of investments or profits.- from slavery or slaveholder insurance policies during the slavery era (including insurance policies -issued to slaveholders4hat provided coverage for damage to or injury or death of their slaves), and^--the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt

obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in:paragraphs  $7A(l^{=})$  and A(2) above.
- 47" 'T^e^lscTosing Party certifies that either: (i) it is not ah organization described in section v-501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section --501(c)(4) of the Internal Revenue Code of 1986 but has not engaged andwill not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended. -
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

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subcontractors to su negotiations.	ıbmit the follow	ving	information	with	their	bids	or	in	writing	at	the	outset	of
Is the Disclosing Party	y the Applicant?												
[] Yes	Rx] No												
If "Yes," answer the the	hree questions be	low:											
1. Have you develop regulations? (See 41 C	•	ve on	file affirmat	ive act	ion pr	ogram	s pu	rsua	ant to app	olica	able :	federal	
2. Have you filed wir Compliance Programs filing requirements?	s, or the Equal En	nploy	ment Opport	unity (	Comm								ble
[] Yes	[] No	[] [	Reports not re	equire	d								
3. Have you participa opportunity clause?	ated in any previo	ous co	ontracts or sul	bcontr	acts su	ıbject	to th	ie ec	qual				
[] Yes	[ ] No												
If you checked "No" t	o question (1) or	(2) ab	oove, please p	orovide	e an ex	kplana	tion	:					

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become partrof any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement; City"assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it-must comply witfcall statutes, ordinances, and regulations on which this EDS is based. -
- B. TheCity's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and- -obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, arid the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of infonnation contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to iccextify ypur\_EpS^prjprjo submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party, must complete a new EDS with correct or corrected information)

V v.!: ~LIV . " ^ J RECERTIFICATION

Generally, Toruse with City Council matters. Not for City procurements unless requested.

P<£r/nr i- Jco t/JtijVcf £ zoning map amendehent for proeprty located at This ^certification is being submitted in connection with\*50""\* w UOfmQZ wmm s ashland; vn-m s laflin [identify the Matter]. Under penalty of perjury, the person signing below: (I) warrants that , he/she is authorized to execute" this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.-

### UH>nmuv ACfrcCTAovjTis LLC Date: Ju/u (f, to®

(Print or type legal name of Disclosing Party)

File #: Or2018-539, Version: 1
Print or type name of signatory:
Title of signatory:
Signed and swom to before me on [date] J Q/V (/, 2-0 ^ by ftrvtt&AtrJ* M> Jchrtcp/yat Coc H  County, xWi AO\S
Notary Public.
OFFICIAL SEAL ANTIONETTEY'SON MOTARY PUBUC - STATE OF ILLINOIS  - (DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to jTCcrtify
your EDS prior to submissioruo City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Parry must complete a new EDS with correct or corrected information)
RECERTIFICATION
Generally, fur use with City Council matters. Not for City procurements unless requested.  Zoning Hap Amendment (or property heated at 1501-1555 V This recertification is being submitted in connection with Monroe; 10U139 5 Ashland; 100-11S SLaflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in die Disclosing Parry's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acknowledgments.
(Print or type legal name of Disclosing Party) By:
(sign hj^)^
Print or type name of signatory:

Title of signatory: County, 11\ir\o\s [state].

6tdo\*S Co hen

Signed and sworn to before me on [date] A^r, (j^^Ol?, by /TnTniJ^kfJoKACon at Co6k.

Notary Public.

#### OFFICIAL SEAL ANTIONETTE Y JOHNSON

NOTARY PUBLIC - STATE OF ILUNOIS MY COMMISSION EXPIR6S:04/M/19

#### CERTIFICATION

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Parry, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

of the date furnished to the City.
uproot- A^Agnueikjrs. ll_c
(Signfes^ & b^Ctfon^h yu64**t-
(Print or type name of person signing)
(Print or type title of person signing)
Signed and sworn to before me on (date) "Stepir'/rtjyY 21r20.19~ at CQO
County, /p£H 1\J>l£ (state).
Commission expires:

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND

#### **DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a-direct ownership: interest in the Applicant exceeding 7.5%. It is not to be completed by any legal-entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant; and (b) "any-legal entitywrifch has a direct ownership interest in the Applicant exceeding 7.5% (art "Owner"); It is not to be completed by any legal entity which has only an indirect ownership intere"s Vi'fl-tfie'--Applicant. 2:"

1. Pursuant to MCC Section 2-154-010, is the Application any Owner identified as a building code scofflaw or

problem landlord pursuant to MC	C Section	2-^92^-416?
[]Yes ty No		
11		traded on any exchange, is any officer or director of the Applicant oblem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	["^ The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Parry

must complete a new EDS with correct or corrected information)

#### -; ; "RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Permit Fee waiver and zoning map amendeihent for proeprty located a j
This recertification is being submitted in connection with which was a submitted in connection with was a submitted in connection with was a submitted in connection with wh

UPTOfJLiM AQfHZTr/lgivTnb L-LC
(Print or type legal name of Disclosing Parry)

Date: I/z-I/tolZ

Print or type name of signatory:

Title of signatory:

£0/f .by

ountv. /LLlfiJd/S 1 state].

Notary Public.

Commission expires: \( \frac{\frac{1}{2}fefyp}{\cdot \frac{1}{2}df-\frac{1}{2}}

Vci. U-OI-05

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT ^ , ^ \_ . AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

HIGHLAND CAPITAL LLC

C heck ONE of the following three boxes:

File #: Or2018-539, Version:	1		
	currently holdin	g, or anticipated to ho	old within six months after City action on h this EDS pertains (referred to below as
the		C	7.5% in the Applicant. State the Applicant's
3. bo} a legal entity (1)) State the legal name		which the Disclosing	ontrol of the Applicant (see Section 11(B) g Party holds a right of control:
B. Business address oft	ne Disclosing P	arty: 290 MARSH	MAN ST., HIGHLAND PARK IL 60035
C. TelephoneB47-3n?-		ix: 312-7P4-1202 ilto:GODCOHEN@C	Email: COMCAST.NET>
D. Name of contact per	son: GIDON	COHEN	
E. Federal Employer Id	lentification No	. (if you have one): j	,
F. Brief description of t property, if applicable):		hich this EDS pertain	ns. (Include project number and location of
Permit Fee Waiver for pro 100-116 S Laflin G. Which City agency			/101-139 S Ashland / EDS? Dept. of Planning & Development
If the Matter is a contra please complete the foll	_	d by the City's Depart	tment of Procurement Services,
Specification #	[	and Contrac	et#
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SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

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A. NATURE OF THE DIS	SCLOSING PARTY									
[] Person - [] Publicly registered business [] Privately held business[.] Sole proprietorship [] General rjartnership []r Limited partnership [] Trust	corporation :[ ] Not-for-profit	ftX] Limited liability company  [] Limited liability partnership  [] Joint venture  corporation  ot-for-profit corporation also a 501(c)(3))?~  [JYes [] No.  [] Other (please specify)								
2. For legal entities, the sta	ate (or foreign country	y) of incorporation or organization, if applicable:								
DELAWARE	E									
3. For legal entities not orgin the State of Illinois as a		f Illinois: Has the organization registered to do business								
[] Yes	[X ] No	[] Organized in Illinois								
B. IF THE DISCLOSING	PARTY IS A LEGA	L ENTITY:								
entity; (ii) for not-for-profit members, write "no members, write administration administration and trustee, executor, administration and trustee, executor, administration and trustee, executor, administration and trustee	1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.									
NOTE: Each legal entity li	sted below must subr	nit an EDS on its own behalf.								
Name Title GIDON COH	EN MANAGER									
HILARY COHEN		MANAGFR								
current or prospective (i.e. excess of 7.5% of the Appl	within 6 months after licant. Examples of su	cerning each person or legal entity having a direct or indirect, r City action) beneficial interest (including ownership) in ach an interest include shares in a corporation, partnership of a member or manager in a								

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."
; :NOTE:-Each-legaUentity-listed-below-may.be <a href="http://listed-below-may.be">http://listed-below-may.be</a> required to submit an EDS on sown behalf.
Name Business Address Percentage Interest in the Applicant
GIDON.COHEN 290 MARSHMAN ST, HIGHLAND PARK IL 60035 ■ 99%
<u> </u>
SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS
Has the Disclosing Party provided any income or compensation to any City elected official during the
12-month period preceding the date of this EDS? [] Yes ^ No
Does the Disclosing Party reasonably expect to provide any income or compensation to any City
elected official during the 12-month period following the date of this EDS? [] Yes fx^ No
If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:
Decree City de de City de de la constant de la constant de City Discherie De de la constant de City de
Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in
Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?
[]Yes
If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).
SECTION TV » DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

# The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the

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Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must

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either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether	Business	Relationship to Disclosing Party	Fees (indicate whether
retained or anticipated	Address	(subcontractor, attorney,	paid or estimated.)
NOTE: to be retained)	•	lobbyist, etc.)	"hourly rate" or "t.b.d." is not an acceptable response.'

(Add sheets if necessary)

[X\$ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities." SECTION V - CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes [X]<] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes p] No

#### **B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as

help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party "and, if the Disclosing Party is a legal entity, all of those persons or entities identified infection 11(B)(1) of this EDS: -
- a. are riofcpresently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government; :";-
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense,
- b. adjudgecl guilty\(^\) or had'I-ciVil judgment rendered against them in connection with: obtaining,
- b. -attemptin^^ a public (federal, state or local) transaction or contract under a. public transactiori; a yiqlation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bnberypfalsificatiori-bf des of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have hot, during the 5 years before the "date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the Gity or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing

Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date ofthis EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's "official capacity;"
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23,

Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications); the Disclosing Party must explain below:

. -:- .- N/A/

Tf- the letters---NA-the-word-"None,"-or no response appears on the lines above, it will be conclusively-"presufnedlhaf rlie"Disx:16sih^ above statements.

- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the-Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes ofthis statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name

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of the City recipient.  N/A
C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION
1. The Disclosing Party certifies that the Disclosing Party (check one)
[] is gx] is not
a "financial institution" as defined in MCC Section 2-32^455(b).
2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."
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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):  N/A
If the letters "NA," the word "None," or no response appears on the lines above, it will beconclusively-presumed that the Disclosing Party certified to the above statements.
-D. CERTIPICATIONPvEGAPvDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[] Yes fcx] No
NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

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2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall, have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes f(X) No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party ^must disclose -belojwior in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in " $\blacksquare$ " r connection with the-Matter voidable by the City.

- XX 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of Hhe^Disclosing Party and any and all predecessor entities regarding records of investments or profits v .: from slavery or slaveholder insurance policies during the slavery era (including insurance policies -. " issued to slaveholders=that-provided coverage for damage to or injury or death of their slaves), and -the Disclosing Party has found ho such records.
- 2: The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of "any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If ho explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set . forth in paragraphs A(1) and A(2) above. '••
  - 4. The Disclosing Party certifies that either: (i) it is not an organization described in section---' 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
    - 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in -form

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and substance to paragraphs $A(l)$ through $A(4)$ above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the "duration of the" Matter and must make such certifications promptly available to the City upon request."
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

[] Yes fCX] No

If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[] Yes [] No

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

] Yes	[ ] No	[] Reports	not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes			N	C
-----	--	--	---	---

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that

- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate,
- C. any contract or other agreement in connection with which it is submitted may be rescinded or be void
- C. or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or
- C. void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter
- C. and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at
- C. law for a false statement of material fact may include incarceration and an award to the City of treble
- C. damages. .:>
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### - RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

fcrw/tJcL. lAtouVer f zoning uap auendeuent for proeprty located at This recertification is being submitted in connection wiuV""«5\*^nroe; wmm s ashland; foo-M slaflin [identify the Matter]. Under penalty of penury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments:

4-1 fa+fl-AKIQ QAPtTH- LLC(Print or type legal name of Disclosing Party)

Print or type name of signatory:

Title of signatory:

Signed and swom to before me on [date]

 $Ji jl < file: ///Ji/jl > //, lO f_tby$ 

MH<MJ- W  $\land \bullet \land c \land c \land c >$  ,at Cooic County, Xllinets [state].

Notary Public.

Commission expires:

)

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Parry must complete a new EDS with correct or corrected information)

Generally, for use wilh City Council matters. Not for City procurements unless requested.

Zoning Map Amendment for property heated at 1601-1555 W This recertification is being submitted in connection with Uonne; 101-lii S Aahltnd; 100-11\$SLanin [identify the Matter.]. Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Parry's original EDS ore true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete us ofthe date of this recertification, and (3) reaffirms its acknowledgments.

Hi btruMD O APfTTM, LUG (Print or type legal name of Disclosing Party)

(sign heig^ Print or type name of signatory:

&idor\ C^hen

Title of signatory:

Signed and sworn to before me on [date] v\\>haifkV-J^nf>p .at foVfc.

r.i P, icq? .bv

County, X /11 i\o\ if [state].

Notary Public.

Commission expires:.

#### OFFICIAL SEAL ANTIONETTE Y JOHNSON

NOTARY PUBUC - STATE OF ILLINOIS MY COMMISSION EXPIRES:04/04/19

#### CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City. sclosing Party)

#### HIFTHUUVP CAPITAL. LLC

(Sign^pefe)
(Print or type

11 f-y^Pt-eSi dsn f~

(Print or type name of person signing)

(Print or type title of person signing) Notary Public

Signed and swom to before me oo (date) Sg/Q if/T) b\*r 2\$, 261 > at CO/CfC County. ^/AOiC (state).

Commission expires:

OFFICIAL SEAL AMIONEMYJWNSON NOTARY PUBUC - ^\*TE OHL^OK

MY

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a, direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as~spl3use^f a^6mestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or

nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter:in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B. 1 .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal, entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-i 54-610, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[ ]Yes [4\*io

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		y traded on any exchange, is any officer or director of the Applicant oblem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	rXl The Applicant is not publicly traded on any exchange.
•	w or problem landlord	below the name of each person or legal entity identified as a and the address of each building or buildings to which the pertinen
prior to submission to		JR EDS. The purpose ofthis page is for you to recertify your EDS date of closing. If unable to recertify truthfully, the Disclosing Party rected information)
•	7 RECERTIFIC	
Generally, for use with ^ZL :.	•	Not for City procurements unless requested. ermit Fee waiver and zoning map amendement for proepsty located at
fkfrrfrL/bdQ QAPI (Print or type legal na	me of Disclosing Patty)	
(sign		

Print or type name of signatory:

#### <u>Title of signatory:</u> \( \frac{1}{2}Tftg > (t)garNTr \( \tag{2} \)

IWfWtS. [state!.

Signed and sworn to before me on [date] ff/ffi I•Q®!^ by Coujtity,

Notary Public.

Commission expires: ^j\*^?/^d^-l

"OFFICIAL SEAL"

SCOTT G PERDUE

Notary Public. State of IHjnofe

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. [x)J a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
  - 2. name: MONROE 1515 LLC

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	indirect right of control of the Applicant (see Section 11(B)(1)) ich the Disclosing Party holds a right of control:
B. Business address of the Disclosing P	arty: 1350 LAGOON AVE SUITE 830 MINNEAPOLIS, MN 55408
C. <u>Telephone:</u> <u>Fax</u> <a href="mailto:CSMITH@SLVMTN.COM"></a>	Email: CSMITH@SLVMTN.COM
D. Name of contact person: COLIN SM	IITH
E. Federal Employer Identification No.	(if you have one):
F. Brief description of the Matter to wh property, if applicable): [f	nich this EDS pertains. (Include project number and location of
100-116 S Laflin	at 1501-1555 W Monroe /101-139 S Ashland / is requesting this EDS? Dept. of Planning & Development
	by the City's Department of Procurement Services, please
Specification #	and Contract #
Ver.2017-1 SECTION n - DISCLOSURE OF OWN	Paget of 14 NERSHIP INTERESTS
A. NATURE OF THE DISCLOSING I	PARTY
[] Person [j Publicly registered business corporate [^Ptiviately held business corporation [j Sole proprietorship. [j General partnership-'v~ l^-a- Rx] Limited partnership [] Trust [] Limited liability company [] Limited hability partnership [] Joint venture t [] Not-for-profit corporation (Is the not-for-profit corporation also a final section of the corporation and the corporation of the corporation also a final section of the corporation and the corporation also a final section of the corporation and the corporation also a final section of the corporation also a final section of the corporation and the corporation also a final section of the corporation also a final section of the corporation also a final section of the corporation and the corporation also a final section of the corporatio	501(c)(3))?

- 2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

#### [ ] Yes No B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managingmem her, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (mehiding ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf. :

Name -- Business Address - Percentage Interest in the Applicant Slo %

•~. ■-..■ M»uajeapol-\s., kaki 55\*fo8 ' "p.-:--.:-

Wemcx/ U)\lau s/vrrri4 ftlakg- ^£-Hv» XsLg FTqam ,1.4-% .

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## SECTION ffl - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes T^No ~ Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes TN No If "yes" to either ofthe above, please identify below the name(s) of such City elected officials) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ ] Yes ^No If "yes," please identify below the name(s) of such City elected official(s) and/or spouse (s)/domestic partners) and describe the financial interests).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other persoitor entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Busmess - rRelationsrup to Disclosing Party retained or anticipated Address (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE; "hourly rate" or "tb.d." is not an acceptable response.

(Add sheets if necessary)

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Q(| Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[1] Yes T^sJ\*° [1] No person directly or mdhectly owns 10% or more of the Disclosing Party.

[] Yes	T^sJ*°	[] No person	n directly or mdhe	ectly owns	10% or mo	re of the D	isclosing Pa	arty.
If "Yes,"	has the	person entered	d into a court-app	roved agree	ement for p	ayment of	all support	owed and
is the per	son in c	ompliance wit	th that agreement	?				

Yes     No	Γ	Yes	Γ	No
------------	---	-----	---	----

#### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Patty and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, mcluding, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons-or entities" identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible Or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense,

adjudged guilty<sup>^</sup> or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery\*, bribery; falsification or destruction of records; making false statements; or receiving stolen property;

- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, mcluding actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Parry understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing, Party, or is; with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, mcluding the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is; Controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official: ofthe Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party;, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a

Contractor during the 5 years -before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter: ; '-;....; \ ".--

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, 7

ia pubUcjoffic^ governmentr

State of Illinois, or any agency of the federal

. orof any state.or-.local government in the United States of America, in that officer's or employee's official capacity; -

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(aX4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees,) officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation Of 720 TLCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that amtainsthe same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any AfHliated^Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [§ee MCC Chapter 1-23, Article I for applicabiUty arid defined terms] ofthe Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been, convicted oil, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bnbery, theft fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframesin this Section V.
- 9. [FOR APPLICANT ONLY] The ARpiicaht and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any cohtractors/subcdhtractors

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hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is finable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

- , : · · · · · · · · · \_

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official; ofthe City of Chicago (if none, indicate with "N/A" or "none"). ■

■ ■■■ -■■ : A/ K, , .

13. To the best of the Disclosing Parry's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Parly has given or caused to be given, at any time during the 12-month period preceding the execution date of mis EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes ofthis statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided; in the course of official City business and having a retail value of less than \$25 per recipient, or (iii), a political contribution, otherwise duly reported as required by law (if none, indicate with "N/A 1" or "none"). As to any gift listed below, please also list the name of the City recipient.

<u>. a//\*..</u>

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[ 3 is  $Tj((1^{is \text{ not}})$ 

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party-pledges:

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"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender fMy^resjidtrm tfie km; of the privilege of doing business with the City."

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If the Disclosing Party: is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

- Jf^Jett^ appears on the lines above, it will be, conclusively presumed mat the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best ofthe Disclosing Parry's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person Or entity in the Matter?

[]Yes T^i-No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), sMpItems D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning ofthis Part D.

Does the Matter involve a City Property Sale?

[]Yes []No

3;. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

**Business Address** 

Nature of Financial Interest

4. The Disclosing Party further certifies that no proWbit^.financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- )Q( 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (mcluding insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described-in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VL tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

<u>«-j/A</u>

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying^contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to-pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay-any, person or entity to influence or attempt to influence an officer or employee of any agency; as? d efihed

by applicable federal law, a member of Congress, an officer or employee of Congress\* or an^ernployee

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3\_. The Disclosing Party will submit an updated certification at the end of each calendar quarter in \_ which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- -**m**, A. The Disclosing Parry certifies that either: (i) it is not an organization described in section 5ul (c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5.. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? [] Yes No

# If "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) tilfr

[] Yes [] No '

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment C^portunity Commission all reports due under the applicable filing requirements?

[JYes [JNo ]] Reports not required  $fJ/r^{\wedge}$ 

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

[JYes [JNo \(^/y\)]

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION VH - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution
- of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a trairiing program is available on line at www.dtyofcMcago.org/Ethics <a href="http://www.dtyofcMcago.org/Ethics">http://www.dtyofcMcago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, mcluding terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Parry to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award- to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Someor all ofthe information provided in, and appended to, this EDS -may be made publicly available on the Internet, in response to Freedom of Information Act request, or otherwise. By completing and sighing this EDS, the DiscjU%ing^arty waives and releases any possible; rights or claims which it may havelagah^t\*the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The infonnation provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Parry must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify-mimmlly the-Disciosing Party must complete a new EDS with correct or corrected information)

\_-.v.\_ -RECERTIFICATION"- '' '■■'"

Gerierallyj for use with City Council matters. Not for City procurements unless requested. ftzfmit-fcc Mj&iVeY- ZONING MAP amendement for proeprty located A7 75011 5\*\*w This recertification is being submitted in connection with U0NR0E \* ASHLAtlt >: mTM s [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) wan-ants that all certifications and statements contained in the Disclosing Party's original EDS are~trae7^ccwate^ date furnished to the City and continue to be true,

accurate and complete as ofthe date ofthis recertification, and (3) reaffirms its acknowledgments!

^\L^ET27Mo()AfTAfM r3ciai7Jg)£S L P (Print or type legal name of Disclosing Party)

(sign here)

Print or type name of signatory: Ct)LlrvJ^M.lTVv

Title of signatory:

Signed and sworn to before me on [date] Jfl/Y S, 10 (%. by Notary Public.

Commission expires:

\}(iU/\ ^MtHn JANUARY M THOMPSON Ver. 11-01-05 at ^Sln/,f/si County, MfMC^K [state].

Notary Public State of Minnesota Mu Commission Expires January 31,2020

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose ofthis page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing.Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning Map Amendment for property heated at 1S01-1555

W This recertification is being submitted in connection with Monroe; 10U139 S Ashland; S Laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date ofthis recertification, and (3) reaffirms its acknowledgments.

(Print or type legal name of Disclosing Party) By:

(sign here)

Print or type name of signatory:

# Co I //yf SrYttTVV

Title of signatory: Notary Public. Commission expires: Signed .and swom to before me on [date]  $Jtf^*/fa^*$  <sup>1</sup>/-20(2. by CcU\r\ SytrHn , at 4tyjfi»&p[tr\ County, ^/rtrti&f^Ustatel. JANUARY M THOMPSON

Notary Public State of Minnesota My Commission Expires January 31,2020

Vcr. 11-01-05

#### **CERTIFICATION**

Under penalry-ofpeijuryy the person signing below: (1) warrants that he/she is authorized to execute - this EDS, and Appendices A.and B (if applicable), on behalf of the Disclosing Party, and (-2) wagfSnfe that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

(Print Qfitypc^^^^oi^^c\osm\_ Party)
(Sign here)

Q> fr\*A S\*\*, TfVy (Print or type name of person signing)

r< "~

(Print or type'fitle of person signing)

Signed and sworn to-before me on (date) iW?T~24/Qfil 1,

at^WytVl ^County, UliA^SthA (state).

Notary Puphc Commission expires:

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a

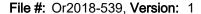
direct ownership interest in the Applicant exceeding It is not to be completed, by any legal entity which has only an indirect ownership interest in Ihe Applicant

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected chy official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Parry or any "Applicable Parry" or any Spouse or Domestic - Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of die following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, momer-ih-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ILB.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited parmership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.



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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest m<sup>^</sup> Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MGC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[JYes ^No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[JYes [JNo IK The Applicant is not publicly traded on any exchange.

3. If yes to (-1) or (2) above, please identify below the name of each person or legal entity identified as a building, code scofflaw or problem landlord and the address pf each building or buildings to which the pertinent code violations apply.

#### Page 14 of 14

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose ofthis page is tor you to recertify your EDS prior to submission Lo City Council or on the date of closing, [f unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

'"■ - """'RECERTIFICATION

Generally, for use with City Council matters. Not Cor City procurements unless requested.

Peimil I-'<x uiiivci'Kiid /ONING MAP AMIIND'.MKNT I-'OR PROEI'RTY

THO A T

This recertification is being submitted in connection withi50f«1555 w MONROE; 101-139 s ASHLAND; 100,115 s LAZIN

[identify the Matter). Under penalty of peljmy, the person signing below: (I) warrants that he/she is authOlized to execute this EDS recefiification on behalf of the Disclosing Patty, (2) wan\*ants that all certifications and statements contained in the Disclosing Party's original EDS aire true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

& 1 b/E72-/Mp t)PjT?rfisS •foeryjgies Date: (Print 4/&/1% or type legal name of Disclosing Pafiy)

(sign here)

Print or type name of signatory:

Title of signatory:

Lotin \mrtin <file:///mrtin> m Utjnfwpw Corny, AMrtMXrfaNotary Public.

JANUARY M THOMPSON

Notary Public State of Minnesota My Commission Expires January 31,2020

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFO RM ATION

A.	Legal	name	of	the	Disclosing	Party	submitting	this	EDS.	Include	d/b/a/	if	applicable
CS	SCPIILI	C											

## Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1; [] the Applicant OR
- 2. [] a legal entity holding a direct of indirect interest in the Applicant. State the legal name of the
- =---Applicant-in which the Disclosing Party holds an interest:
- 3. [)\$Xa legal entity with a right of control (see Section II.B.l.) State the legal name of the entity in which the Disclosing Party holds a right of control: MONROE 1515 LLC
- B. Business address of the Disclosing Party: 1020 W LAWRENCE AVE, SUITE 300 CHICAGO, IL 60640
- C. Telephone: 312-506-3203 Fax: 312-216-0718 Email: ASAMOYLOVICH@CEDARST.COM <mailto:ASAMOYLOVICH@CEDARST.COM>
- D. Name of contact person: ALEX SAMOYLOVICH
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains. (Include project number and location of property, if applicable):

Permit Fee Waiver for property located at 1501-1555 W Monroe /101-139 S Ashland /100-116 S Laflin

G. Which City agency or department is requesting this EDS'? DEPT. OF PLANNING & DEVELOPMENT

If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:

Specification # and Contract #

File #:	Or2018-539,	Version:	1
---------	-------------	----------	---

Page 1 of 13

Name Title

A lot ,^ArvUXjLo\AcH:

IAJ/lL kXvilPt&i

# SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS

A.	NAT	URE	OF	THE	DISC	CLO	SING	PARTY
----	-----	-----	----	-----	------	-----	------	-------

[]Terspn _	siness corporation ip ip	
2. For legal entities,	the state (or foreign country	) of incorporation or organization, if applicable:
3. For legal entities the State of Illinois a	•	Illinois: Has the organization registered to do business in
[ JYes	[ ] No	N/P Organized in Illinois
B. IF THE DISCLO	SING PARTY IS A LEGAL	ENTITY:
the entity; (ii) for not are no such members similar entities, the tra- limited partnerships, each general partner,	t-for-profit corporations, all s, write "no members which rustee, executor, administrat limited liability companies,	icable, of: (i) all executive officers and all directors of members, if any, which are legal entities (if there are legal entities"); (iii) for trusts, estates or other or, or similarly situated party; (iv) for general or limited liability partnerships or joint ventures, er or any other person or legal entity that directly or f the Applicant.
NOTE: Each legal e	ntity listed below must subm	it an EDS on its own behalf.

Office of the City Clerk Page 82 of 151 Printed on 4/4/2022

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 $HMAe^{\wedge^2}$ -

File	#•	Or201	8-539	Version:	1
	$\pi$ .	OIZU	10-000.	V CI SIUII.	

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% ofthe Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

Page 2 of 14

limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

• NOTE: Each legal entity listed belowimay beVre'quired to submit an EDS on its own behalf.

Name Business Address

Percentage Interest in the Applicant

 $VvYH 6\&\pounds P *hxD/< U6S r **iP *t \& l iLf u^iO, rTvaM-f$ 

2Q %

#### SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes [7\$ No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City - - -elected official during the 12-month period following the date of this EDS? [] Yes No

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes f^]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner(s) and describe the financial interest(s).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AIND OTHER RETAINED PARTIES

File	#•	Or201	18-539	Version:	1

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)

Relationship to Disclosing Party Fees (indicate whether paid or estimated.) NOTE:

lobbyist, etc.)

paid or estimated.) NOTE:

"hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

ty\ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities. SECTION V - CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain iri compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes p>o] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[]Yes []No

#### **B. FURTHER CERTIFICATIONS**

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor

any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

.excluded from any-transactions by any federal, state or local unit of government;

- b. have not, djiring;thfe5jwjars-before the date of this EDS, been convicted of a criminal offense,
- b. .adjudged guilty^ against them in connection with: obtaining,
- b. lattggtpjmgjto^^ state or4ocal)itransaetion or contract under a public transaction;, a y federal or state antitrust statutes; fraud; embezzlement; theft; forgery; ittibjgfj^^ making false statements;.or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d." have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. : Certifications (5), (6) and (7) concern:
  - the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

#### Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years -before the date of such Contractor's or. Affiliated Entity's contract or engagement in connection with the Matter: --- -

- .aj .. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's: official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same

elements as the offense of bid-rigging or bid-rotating.

- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Appl icant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such Page 6 of 14

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

Al. i Jf me.Disclosmg-Party.is unable to certify to any of the above statements in this Part B (Further - Certifications);-the Disclosing Party must explain below:

U Ar

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing" Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). /

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of

all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipie.

<u>nent.</u> <u>/J/A-</u>

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters. "N A, " the word "None, ",,or\_no response appears on the lines above, it will be conclusively presumed that the.Djsclpsing Party certified to the above statements.

# ...,-v, •

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after

reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

# []Yes E^No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[]Yes	M No
Yes	IVI INC

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E, CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (J) or (2) below. If the Disclosing Party checks (2), the Disclosing Party-... "" 'must'disdibse'below^ orih-an atfacnihefit to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with"the Mattervoidable by the City.

1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of "the Disclosing Party and any and all predecessor entities regarding records of investments OR profits-" from slavery or slayehold nnsurance policies during the slavery era (including insurance policies "issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2: The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary): \

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

Page 9 of 14

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract,- grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar" quarter in
- 3. which there occurs any event that materially affects the accuracy of the statements and information set

3. forth in paragraphs A(l) and A(2) above.
4. The Disclosing Party certifies that either: (i) it is not an organization describedifrs^ction ~" 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all propose subcontractors to submit the following information with their bids or in writing at the outset onegotiations.
Is the Disclosing Party the Applicant?
[] Yes jXl No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [] Yes  [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes  [] No  [] Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?  [] Yes  [] No
If you checked "No" to question (1) or (2) above, please provide an explanation:
Page 10 of 14

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands, and agrees that:

A-^The certifications,-disclosures, andacknowledgments contained in this EDS will become part of any ;C.ontract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based. -

- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

.(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose ofthis page is for you to

. recertify.your. EDS. prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information);--.:

#### - , RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

\*\*Gi7t1ti--fTA UJa.Ui-r- 4. ZONING MAP AMENDEMENTFOR PROEPRTY LOCATED AT

This recertification is being submitted in connection wiuV50\*-\*«5 wmonroe: w-rn s ashland; m-ms laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf ofthe Disclosing Party, (2) wan-ants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acknowledgments.

- . - - ~

### JIT LLP

(Print or type legal name of Disclosing Party) By:

(sign here) Print or type name of signatory:

Title of signatory:

# MAWA€rB&-7dj, by

Signed and sworn to before me on [date] vJ(jHf\* AW Sc^tijWliV at Cf^E County, IL

Notary Public.

Commission expires: \^'[Gf(jp 63 2i)^f

Ver. 11-01-05

"(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected

information).. .

#### "IT RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning Map Amendment for property located at 1501-1555 W This recertification is being submitted in connection with Monroe; 101-139 S Ashland; 100-116 S Laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf ofthe Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acknowledgments.

CSC? IL LLC Date: (Print or type legal name of

Disclosing Party)

Print or type name of signatory:

Title of signatory:

MAr\j A£r©2-

mission expires:

Signed and swom to before me on ocotf- &- Perda€^ n, at

[date] (XC\*d lifted , by . Gofi\/L County, iLU/UfltS [state].

Notary Public.

f"OFFICIAL SEAL"
JSCOTT G PERDUE
<Notary Public. State of IHjnota
|My Commission Exoires 4/26/ZOZI

Ver. 11-01-05

## **CERTIFICATION**

Under penalty of perjury, the person signing-below: (1) warrants that he/she is authorized to execute this EDS, and Appendices A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as ofthe date furnished to the City/

IAhcJb-r-

(Print or type name of -person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date) Sgpfr  $Z\sim T$ ,  $Zp\setminus 1$ 

at Coolc- County, /LWAto /S ^--f state).

Notary Public Commission expires: ^[Z-^j^jOT^l

# f "OFFICIAL SEAL"

m SCOTT G PERDUE

Notary Public, State of IHinojs My Commission Expiresj\\\ 126\\ 12m^\\\

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

k .....

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any iegai entity which

### has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent; child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

FIIE #: Of	r2018-539, <b>version</b> :	: 1		

- 1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
- 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes L/^No &Q] The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

# Page 14 of 14

#### RECERTIFICATION

Generally, for use with City Council matters. -Not for City procurements unless requested.

Permit Fee waiver and zoning map amendment for proeprty located at This recertification is being submitted in connection with\*50""\* wmonroe; 101.133 s ashland; m-m s laflin

[identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

CSCPILLC
(Print or type legal name of Disclosing Party)

By:
(sign here)

Print or type name of signatory;

ALEX SAMOYLOVICH

Title of signatory:

"OFFICIAL SEAL"

SCOTT G PERDUE

Notary Public, State of Illinois I\_MyCommis8lon Expires 4/28/2021

Ver. 11-01-05

**MANAGER** 

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

MR CSCP HOLDING COMPANY LLC '•

# Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: 1...

- [] the Applicant . ... OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on

File #: Or2018-539, Vers	sion: 1		
[] Not-for-profit corpo (Is the not-for-profit co []Yes []N			
2. For legal entities, the	e state (or foreign co	ountry) of incorporation or	organization, if applicable:
3. For legal entities not the State of Illinois as a	_	tate of Illinois: Has the orga	anization registered to do business in
[] Yes	[ ] No	fcx] Organized in	Illinois
B. IF THE DISCLOSI	NG PARTY IS A L	EGAL ENTITY:	
(ii) for not-for-profit co write "no members wh executor, administrator companies, limited liab any other person or leg	orporations, all memich are legal entities r, or similarly situate pility partnerships of gal entity that directle	nbers, if any, which are legates"); (iii) for trusts, estates of the party; (iv) for general or or joint ventures, each general	utive officers and all directors of the entity gal entities (if there are no such members, or other similar entities, the trustee, r limited partnerships, limited liability ral partner, managing member, manager or day-to-day management of the Applicant.
	ty listed below mus	at submit an EDS on its owr	n benaii.
Name <u>Title MANAGER</u>			MARK HEFFROM
current or prospective (of 7.5% of the Applica	(i.e. within 6 month nt. Examples of suc	s after City action) benefic	or legal entity having a direct or indirect, ial interest (including ownership) in excess in a corporation, partnership interest in a
Page 2 of 14			
limited liability comp "None."	oany, or interest of	a beneficiary of a trust, es	estate or other similar entity. If none, state

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

File #: Or2018-539, <b>Version:</b> 1							
Name	- Business Address	Percentage Interest in the Applicant					
-MARK HEFFRON	"1303 MAPLE AVE,-WILMEHE,:IL60019	100% ■ ■					

# SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City	elected official durin	g the
12-month period preceding the date of this EDS?	[] Yes	[X] No
Does the Disclosing Party reasonably expect to provide any income or comp	ensation to any City	
elected official during the 12-month period following the date of this EDS?	[] Yes	[X] No .
If "yes" to either of the above, please identify below the name(s) of such City	y elected official(s) a	nd describe

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes £x]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

#### SECTION IV ~ DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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such income or compensation:

Name (indicate whether Business - retained-or anticipated Address to be retained) Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.) Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

File #: Or2018-539, Version: 1
riot an acceptable response=
■ (Add sheets if necessary) -
fcx] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or
entities. SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
-Under MCC Section 2-92-415, substantial owners of business entities that contract with the City mus remain in compliance: with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [x)(No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS
1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] <sup>1</sup> In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity

- compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are hot presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily
- a. excluded from any transactions by any federal, state or local unit of government; \_\_. / \_ ••-
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a,, public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date ofthis EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any

Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect-to aContractory an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years -before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a publicofficer or employee of the City,~the State of Illinois, or any agency of the federal government ^f of any-~state~dT^ States of America, in that officer's or employee's official capacity; • • .
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecute'd for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to • believe has not provided or cannot provide truthful certifications.

- 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further . -
- . Certifications), the Disclosing Party must explain below:
- .. ..N/A

If the letters "NA,!' the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

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1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is gx] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain-here (attach additional pages if necessary):

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be "conclusively^pres'urMd'th"atkthe Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1-. In accordance-with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or -^her Own name or in the name of any otherperson or entity in the Matter?

[] Yes fcX]No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

File	#•	Or201	18-539.	Ver	sion.	1

Does the Matter involve a City Property Sale?

[] Yes fcx] No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

**Business Address** 

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

- ~; Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
- XX<sup>r</sup> 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of-- the Disclosing Party and any and all predecessor entities regarding records of investments or profits -from slavery, or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded,

proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities, registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, , amend, pr.modify any federally.funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will- submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section ......

  j\_501(c)(4) of the^ Code of 1986; or (ii) it is an organization described in section

  501(c)(4) of theTnternal Revenue Code of=f986 but-has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
  - 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the -Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

subcontractors to sub negotiations.	mit the follow	ving inform	nation with	their	bids	or i	in writ	ing at	the	outset	of
Is the Disclosing Party	the Applicant?										
[] Yes	fex] No										
If "Yes," answer the thr	ee questions be	low:									
Have you developed regulations? (See 41 CF)  []Yes	-	ve on file af	firmative ac	tion pr	ogram	s pur	suant to	applic	able :	federal	
2. Have you filed with Compliance Programs, filing requirements?	-	_									ble
[] Yes	[] No	[] Report	s not require	d							
3. Have you participate opportunity clause?	ed in any previo	ous contracts	s or subconti	acts su	ıbject	to the	equal				
[]Yes	[] No										
If you checked "No" to	question (1) or	(2) above, p	olease provid	e an ex	xplana	tion:					

### Page 10 of 14

#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with alf statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2^156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance!
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any

contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded-or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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<sup>T</sup>(D0 NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to - <sup>1</sup> recertify your EDS prior to submission to City Council or on the date of closing. If unable to je^ertify"truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### .V . '• RECERTIFICATION

Generally, for use with City Council matters. Not for City, procurements unless requested.

f&nntf-fte- U/eu)/e.r j zoning map amendement for proeprty located at This recertification is being submitted in connection with^-?ss5 wmonroe; ioi

-w s ashland; 100-115 s laflin

[identify the Matter]. Under penalty of perjury, the person signing below: (1)

warrants that

he/she is authorized to execute this EDS recertification on behalf of the

Disclosing Party, (2)

wan-ants that all certifications and statements contained in the Disclosing

Party's original EDS

### File #: Or2018-539, Version: 1 are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments. ~ \_\_\_TM=\_\_^=> Mr4 CSC? h\t>LD)\*\&& Cb(AP^ U^C- Date: fa/^/ (Print or type legal name of Disclosing Party) (sign here) Print or type name of signatory: Title of signatory: Signed and sworn to before me on [date] Ckt/7£- by ^iffrJ&d^ de>%(^ County. , at ~^LU/dO/S[state]. 1^/f^/^^4^ Notary Public. ^l^bl^OM ■ "OFFICIAL SEAL" Commission expires:

Ver. 11-01-05

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose ofthis page is for you to recertify your EDS-prior to-submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing.Party must complete a new EDS with correct or corrected information)

uj

SCOTTG PERDUE

Notary Public, State of Illinois My Commission Expires 4/26/2021

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

- Zoning Map Amendment for property located at 1501-1555 WThis recertification is being submitted in connection with Monroe; 101-139 S Ashland; 100-116 S
Laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

#### Hft dSC-P \^LQ/h}&S(I0M.PM^I LLC- Date:

(Print or type legal name of Disclosing Party) By:

(sign here)

Print or type name of signatory: Title of signatory: Mgnea ana sworn to De at

Signed and sworn to before me on [date] (LqO\C County,  $llUiS'Ol_{\ \ \ }$ [state].

Notary Public.

"OFFICIAL SEAL- SCOTT G PERDUE Notary PubteStatBoMBjo^

Ver. 11-01-05

5

#### **CERTIFICATION**

Under penalty, of peiju<sup>^</sup> signing below: (1) warrants that he/she is authorized to execute ■

F\H CSC? H-Ou-DjjJlrS Co\*PA»W utc, (Print or type exact legalname of Disclosing Party)

(Sign here) (print or type name of person

signing) (Print or type title of person signing)

Signed and swom to before me on (date) £tf>+. 27, 2£/~t,

File #: Or2018-539, Version: 1

at Copt£. County, /LUaJoiS (state).

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## CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND

**AFFIDAVIT** 

#### APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the

#### File #: Or2018-539, Version: 1

Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[ JYes b^No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

Thisi'Appendix is to be oltripleted only by (a) the Applicant, and (b) any legal entity which has a direct /ownership interesj in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any "iegai" enfity hich in indirect ownership interest in the Applicant.

1.	. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building	code scot	fflaw
or	r problem "landlord pursuant to MCC Section 2-92-416?		

[] Yes LXJNo ...

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

] Yes	[ ] No	[MThe Applicant	is not publicly traded	on any exchange.
-------	--------	-----------------	------------------------	------------------

File #: Or2018-539, Version: 1
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.
Page 14 of 14
(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)
Generally, for use with City Council matters. Not for City procurements unless requested.  **Permit Fee Waiver and ZONING MAP AMENDEMENT FOR PROEPRTY LOCATED AT*  This recertification is being submitted in connection witty wmonrqe; 101-m s ashland; 100-115 s laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acJmowledgments.

MH CSCP HOLDINGS COMPANY LLC

Date:

(Print or type legal name of Disclosing Party) By:

(sign here)

Print or type name of signatory:

**MARKHEFFRON** 

Title of signatory:

**MANAGER** 

Signed and sworn to before me on [date] 9/z//£0/&, by Mo/fr- W^rfrrQrl at £o<9 k-County, IlLJ^SO/^ [state].

d^JlZfc^/ ^QutfkuL-Notary Public.

Commission expires: rfyt&b /<£ Oatf, ( "OFFICIAL SEAL" '

> SCOTT G PERDUE

< Watery Public. State of Illinois
I My Commission Expires 4/280021

Ver. H-01-05

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

: 7 AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

WM CSCP HOLDING COMPANY LLC

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant OR

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<ul> <li>2. [] a legal entity currently holding-, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name:  OR  3. [xx] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B) (1)) State the legal name of the entity in which the Disclosing Party holds a right of control:  CSCP II LLC  _J</li> </ul>
B. Business address of the Disclosing Party: 1855 DAYTON, CHICAGO IL 60614
Di Business du une Biseresing i une, l'illeri, emeries il ever .
C. <u>Telephone: 312-506-3242</u>
WMURPHY@CEDARST.COM <mailto:wmurphy@cedarst.com></mailto:wmurphy@cedarst.com>
D. Name of contact person: WILL MURPHY
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):
Permit Fee Waiver for property located at 1501-1555 W Monroe /101-139 S Ashland / 100-116 S Laflin G. Which City agency or department is requesting this EDS? Dept. of Planning & Development
If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:
Specification # and Contract #
Ver.2017-1 Page 1 of 14
SECTION II - DISCLOSURE OF OWNERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY
- 1. Indicate the nature of the Disclosing Party:  [] Person fcx]  [] Publicly registered business corporation []  [] Privately held business corporation []  [] Sole proprietorship . ;

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[ ] General partnership [ ] Limited partnership [ ] Trust		(Is []
<u> </u>	• • •	tnership Joint venture rporation also a 501(c)(3))?
2. For legal entities, the s	state (or foreign country	y) of incorporation or organization, if applicable:
3. For legal entities not of the State of Illinois as a fe	_	f Illinois: Has the organization registered to do business in
[] Yes	[ ] No	fex] Organized in Illinois
FJ. IF THE DISCLOSIN	G PARTY IS A LEGA	L ENTITY:
(ii) for not-for-profit corp write "no members which executor, administrator, of companies, limited liability	porations, all members, h are legal entities"); (iii or similarly situated par ity partnerships or joint	cable, of: (i) all executive officers and all directors of the entity; if any, which are legal entities (if there are no such members, ii) for trusts, estates or other similar entities, the trustee, ty; (iv) for general or limited partnerships, limited liability eventures, each general partner, managing member, manager or indirectly controls the day-to-day management of the Applicant.
NOTE: Each legal entity	listed below must subm	nit an EDS on its own behalf.
Name Title WILL MURPHY		MANAGER
current or prospective (i.e	e. within 6 months after Examples of such an in	cerning each person or legal entity having a direct or indirect, r City action) beneficial interest (including ownership) in excess needs include shares in a corporation, partnership interest in a er or manager in a
Page 2 of 14		

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limited liability "constate "None."	mpany^ or intere	st of a benefician	ry of a trust, est	ate or ot	her similar entit	y. If none,
^NOTE^Each-legal^			to submit	an EDS	on its own beha	lf.
Name Business Add WILL MURPH 100%	•	Interest in the Ap DAYTON;	oplicant CHICAGO ;v	!!:	60614	,
SECTION m - INCO	OME OR COMP	ENSATION TO	, OR OWNERS	SHIP BY	, CITY ELEC	ΓED OFFICIALS
Has the Disclosing 12-month period pro	• •	<u> </u>	npensation to a	ny City	elected official	during the [X] No
Does the Disclosing elected official during	-		•	-	<u>-</u>	City - [X] No
If "yes" to either of such income or com	_	e identify below	the name(s) of s	such City	y elected officia	al(s) and describe
Does any City electroninquiry, any City electronic Chapter 2-156 of the [] Yes	ected official's sp	ouse or domestic	e partner, have	a financi	al interest (as d	
If "yes," please id partner(s) and descri	•	` '	such City elec	eted offi	cial(s) and/or	spouse(s)/domestic
SECTION IV - DIS	CLOSURE OF S	SUBCONTRAC	TORS AND OT	ΓHER R	ETAINED PAR	RTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Page 3 of 14	
Name (indicate whether Business Relationship to Disclosing Party retained or anticipated to be retained)	Fees (indicate whether  paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is  not an acceptable response: <sup>r</sup> r
(Add sheets if necessary)	
kx] Check here if the Disclosing Party has not retained, nor ex	xpects to retain, any such persons or
entities SECTION V - CERTIFICATIONS	
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE	
Under MCC Section 2-92-415, substantial owners of business entities in compliance with their child support obligations throughout the contra	
Has any person who directly or indirectly owns 10% or more of the Di on any child support obligations by any Illinois court of competent juri	
[] Yes [x) <no 10%="" []="" directly="" indirectly="" n<="" no="" or="" owns="" person="" td=""><td>nore of the Disclosing Party.</td></no>	nore of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for person in compliance with that agreement?	ayment of all support owed and is the
[]Yes []No	
B. FURTHER CERTIFICATIONS	
1. [This paragraph 1 applies only if the Matter is a contract being hand Procurement Services.] In the 5-year period preceding the date of this later.	

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or

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other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

Page 4 of 14

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:,
- a;, are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, \_ Adjudged'guilty rendered against them in connection with: obtaining, attemptingWob'taih; for performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsificat^ of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date ofthis EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term

Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;

• any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity<sub>5i</sub>or. an Affiliated Entity of a Contractor during the 5 years before the date of such: Contractor's or Affiliated Entity's contract or engagement in connection with the Matter: - • - •

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to briber a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's; } S official capacity; .
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7: Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges

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that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has-not provided opcannotprovide truthful certifications. -

- 11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing.Party.mustLexplain below:
- -If=the letters "NA,""~the wOrd "None," or'no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.
- 12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is gx] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:.

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain ^ here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[ ] Yes P\ No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property

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taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes p\ No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

- \_.-\_ Please check either (1) or (2) below, If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply wim "these .disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.
- -XX-1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of: the Disclosing Party and any and all predecessor entities regarding records of investments or profits, from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to .slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1

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of a member of Congress, in connection with the award of any federally funded contract, making any - federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, j amend; or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(l) and A(2) above. "-•
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
  - 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

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opportunity clause? []Yes[JNo

#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

If you checked "No" to question (1) or (2) above, please provide an explanation:

3. Have you participated in any previous contracts or subcontracts subject to the equal

the Disclosing Party understands and agrees that:

A. The certifications 7 disclosure is, -and "acknowledgments contained in this EDS will become part of any "contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this-EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610,

of

(312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

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(DO NOT SUBMIT THIS PAGE, WITH YOUR EDS. The purpose ofthis page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information) ",

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

f^r/nrf-fte- U/tuYcr j zoning map amendement for proeprty located Ai This recertification is being submitted in connection with\*50"555 wmonroe: w-m s ashland; 100-115 s laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acknowledgments. •

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^AJM CJzzCj? f+OLOffOffr^ CbM.QWy LiC^ Date: (Print or type legal name of Disclosing Party)

By:

(sign here) Print or type name of signatory:

#### WILLI/Wt

Title of signatory:

V\\\Um VW9h^, at doo\c.

Signed and sworn to before me on [date], )Ul\e. S .7Q\l by County, J

Notary Public.

Commission expires: M&rrJn 0 j> \*Z-q2-1

Vcr. 11-01-05

(DO-NOT SUBMIT-THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS~.prio.rto <a href="http://EDS~.prio.rto">http://EDS~.prio.rto</a> submission to City Council or on ihe date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected

-information)::;:::" -§

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Zoning Map Amendment for property located at

1501-1555 W This recertification is being submitted in connection with Monroe; 101-139 S Ashland; 100-115 S Laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf ofthe Disclosing Patty, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS ,are.crue,-accurate and .complete as of the date furnished to theCity and continue to be true, accurate and complete as of the date ofthis recertification, and (3) reaffirms its acknowledgments.

(Print or type legal name of Disclosing Party)

(sign here) Print or type name

By:

Title of signatory:

Signed and swom to before me on [date]  $SCJ6tt\&-T^{\geq} < tftiu\poundss'$ , at C&)U

County. /LUr/fitS [state].

Notary Public.

dftfd lb,00/6, byZL County./LL>/\/)L

- I "OFFICIAL SEAL"
- g SCOTT G PERDUE

CERTIFICATION

L

Notary Public, State of Illinois My Commission Expires 4/26/2021

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EpS,: and Appendices A and B (inapplicable), on behalf ofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are true, accurate and complete as of the date furnished to the City.

, WM ds£P, .frfcoLD/Kl 6r6 ;Qoyyv PA/viy uuC (Print or type exact legal name of Disclosing Party)

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date)

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## CITY OF CHICAGO ~ ECONOMIC DISCLOSURE STATEMENT

AND AFFIDAVIT

#### APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse of domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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such person is connected	d; (3) the name and	e and title of such person, (2) the name ofthe legal entity to which title ofthe elected city official or department head to whom such e precise nature of such familial relationship.
Page 13 of 14		
	CITY OF CHIC	CAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B
BUILDIN	G CODE SCOFFLA	AW/PROBLEM LANDLORD CERTIFICATION
	Applicant exceeding	the Applicant, and (b) any legal entity which has a direct ag 7.5% (an "Owner"). It is not to be completed by any legal, entity at in the Applicant.
1. Pursuant to MCC Sec or problem landlord purs	·	he Applicant or any Owner identified as a building code scofflaw in 2-92-416?
[ JYes	[^No -	
		traded on any exchange, is any officer or director of the Applicant olem landlord pursuant to MCC Section 2-92-416?
[] Yes	[ ] No	L^The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a

code violations apply.

building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent

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(DO NOT SUBMIT THrS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDSprioFto submission lo City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information):

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Permit Fee waiver and zoning map amendementforproeprty located at This recertification is being submitted in connection with\*50""\* "monroe; wmm s ashland; mm s laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

WM CSCP HOLDINGS COMPANY LLC

(Print or type legal name of Disclosing Party) By: (sign here)

Print or type narrle of signatory:

WILL MURPHY

Title of signatory:

MANAGER at

at Qjtrllt- 'County.

Signed.and swom to before me on [date] /UfMOIS Tstatel.

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r

Ut Notary Public.

Commission expires:

#### "OFFICIAL SEAL"

SCOTT G PERDUE Notary Public, State of Illinois Mv Commission Expires 4/26/2021

LUiffnniTrViiiirirririiiw' \*

Vec. 11-01-05

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable:

#### ALEX HOLDINGS T LLC

#### Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
  - 2. name:

OR

3. [®f a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B) (1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

#### **CSCP II LLC**

B. Business address of the Disclosing Party: 1020 W LAWRENCE AVE SUITE #300, CHICAGO IL 60640

C. Telephone: 312-506-3208 Fax: 312-216-0718 Email:

File #: Or2018-539, Version: 1	
ASAMOYLOVICH@CEDARST.COM <n< th=""><th>nailto:ASAMOYLOVICH@CEDARST.COM&gt;</th></n<>	nailto:ASAMOYLOVICH@CEDARST.COM>
D. Name of contact person: ALEX SAMO	YLOVICH
E. Federal Employer Identification No. (if	you have one): 37-1830416
F. Brief description of the Matter to which property, if applicable):	this EDS pertains. (Include project number and location of
100-116 S Laflin	1501 -1555 W Monroe /101 -139 S Ashland / requesting this EDS? Dept. of Planning & Development
If the Matter is a contract being handled by complete the following:	the City's Department of Procurement Services, please
Specification #	and Contract #
Ver.2017-1 Page 1 of 14	
SECTION II - DISCLOSURE OF OWNERSH	IIP INTERESTS
A. NATURE OF THE DISCLOSING PARTY  . 1. Indicate the nature of the Disclosing Par  [ ] Person  [ j Publicly regis^  [.J Privately heldhu^j^ness corporation[. J.So.le,proprietprship, ^^a^r}.^  [ J General partnership , •  [ ] Limited partnership  [ ] Trust  2. For legal entities, the state (or foreign country)	
3. For legal entities not organized in the State of the State of Illinois as a foreign entity?	of Illinois: Has the organization registered to do business in

#### B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:

[] No

[]Yes

[X>5 Organized in Illinois

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1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.
NOTE: Each legal entity listed below must submit an EDS on its own behalf.
Name Title ALEX SAMOYLOVICH MANAGER
2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in
excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a
Page 2 of 14
limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."
NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.
Name Business Address Percentage Interest in the Applicant SAMOYLOVICH 2016 FAMILY GIFT TRUST 1025.WSUNNYSIDE #300 CHICAGO IL 60640 100%
SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS
Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?  [] Yes f;x] No
Does the Disclosing Party reasonably expect to provide any income or compensation to any City

If "yes" to either of the above, please identify below the name(s) of such City elected official(s) and describe

elected official during the 12-month period following the date of this EDS? [] Yes

L^] No

1 110 11. 012010 000, 10101011.	File #:	Or2018-539,	Version:	1	
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such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

[] Yes DOJ No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Tetained.or anticipated to be retained)

Business Relationship to Disclosing Party

Address (subcontractor, attorney, lobbyist, etc.)

<u>Fees (indicate whether</u> paid or estimated.) NOTE: "hourly rate" or "t.b.d." is

(Add sheets if necessary)

[)\$ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities

#### SECTION V - CERTIFICATIONS

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

File #: Or2018-539, Version: 1			
•	•	o directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage to obligations by any Illinois court of competent jurisdiction?	
[ JYes	r <x] no<="" th=""><th>[] No person directly or indirectly owns 10% or more of the Disclosing Party.</th></x]>	[] No person directly or indirectly owns 10% or more of the Disclosing Party.	

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the

[] Yes XX] No

#### **B. FURTHER CERTIFICATIONS**

person in compliance with that agreement?

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:
- a. are riot presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily
- a. excluded from any transactions by any federal, state or local unit of government; .\_ .\_ .\_
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, . adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violatiqn^of.federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of 14

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with . respectrto a-Contractor-,- an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before-the date of Such GorttractOr's or Affiliated Entity's-contract-or engagement-in connection with the -Matter:

- " .-..-

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe,
- --a publicofficer or employee of the City^ the State of Illinois, or any agency of the federal government or of any state or local government: in the United States of America, in that officer's or employee's official capacity;:r..z:zr- ^^:,ztz^...z ^-l:z^:.

- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further^:\_\_ Certifications), the Disclosing Party must explain below:

N/A ■■

If the letters V'NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything ' made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is f(X) is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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Tf the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined ih MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain-here (attach

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additional pages if ne	cessary):	
	e word "None," or no response ap medthat.the,Disclosing Party certi	<del>-</del>
D. CERTIFICATION	REGARDING FINANCIAL INT	TEREST IN CITY BUSINESS
Any words or terms d	efined in MCC Chapter 2-156 hav	ve the same meanings if used in this Part D.
reasonable inquiry, do		best of the Disclosing Party's knowledge after City have a financial interest in his or her own name er?
[] Yes " " '	^ gx] No	
•	d "Yes'-' to Item D(l), proceed to lo(3) and proceed to Part E.	Items D(2) and D(3). If you checked "No" to Item D(l),
employee shall have a the purchase of any proby virtue of legal proc	financial interest in his or her ow coperty that (i) belongs to the City cess at the suit of the City (collection	Iding, or otherwise permitted, no City elected official or in name or in the name of any other person or entity in , or (ii) is sold for taxes or assessments, or (iii) is sold vely, "City Property Sale"). Compensation for property s not constitute a financial interest within the meaning
Does the Matter invol	ve a City Property Sale?	
[] Yes	gx] No	
	Yes" to Item D(l), provide the shiftness and identify the	names and business addresses of the City officials or ne nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4. The Disclosing Pa by any City official or	•	ibited financial interest in the Matter will be acquired

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2). below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure-requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

XX 1The Disclosing Party verifies that the Disclosing Party has searched any and all records of "-the Disclosing Party and any and all predecessor entities regarding records of investments or profits\_\_ from slavey or slaveholder insurance policies during the slavery era (including insurance policies. issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records," including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay

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any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2017-1 Page 9 of 14

of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, pr modify any federally funded contract, grant, loan, or cooperative agreement.

- .3. The Disclosing Partywill submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
  - 4. "The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of toe Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
  - 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

#### B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party	the Applicant?	
[] Yes	ffl No	
If "Yes," answer the th	aree questions be	elow:
Have you develope regulations? (See 41 C     [] Yes	•	ave on file affirmative action programs pursuant to applicable federal
•	, or the Equal E	rting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the
[]Yes		[ ] Reports not required

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<ol><li>Have you partic</li></ol>	ipated in any previous	s contracts or subcontracts subject to the equal
opportunity clause?		
[] Yes	[ ] No	

If you checked "No" to question (1) or (2) above, please provide an explanation:

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement; City assistance, or other City action, and are material inducements to the City's execution of any Contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Infonnation Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing

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PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.
Page 11 of 14  (DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS priprjo submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)
RECERTIFICATION
'Generally, for use with City Council matters. Not for City procurements unless requested. This recertification is being submitted in connection with*""** wuonroe; ioi-msashland; m-uss laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) wan-ants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as ofthe date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its "acknowledgments. ~
(Print or type legal name of Disclosing Party)
By:
Print or type name of signatory:
Title of signatory:
I 77 77 1 1 1

Notary Public.

CZJ>

Commission expires:

Ver. 11-01-05

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information) -

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.
Zoning Map Amendment for property located at
1501-1555 This recertification is being submitted in connection with Monroe; 101-139 S Ashland; 100-115 S
Laflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is
authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all
certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete
as of the date furnished to the City and continue to be true, accurate and complete as of the date of this
recertification, and (3) reaffirms its
ackhowledkfnents!
Drivet and type 1 and name of Disalasing Days

(Print or type legal name of Disclosing Party) By:

(sign here) Print or type name of signatory:

Title of signatory:

Signed and sworn to before me on [date]  $C^tp^j$ , lip, by  $J^r<Ffa-\$er&JULt^n$ , at  $CUe^*?^n$  County, UuAlriiS [state].

Notary Public.

#### "OFFICIAL SEAL"

SCOTT G PERDUE Notary Public, State of Illinois My Commission Expires 4/26/2021

Ver. 11-01-05

#### **CERTIFICATION**

Under penalty of penury, the person signing below: (1) warrants that he/she is authorized to

#### execute

^this EDS, and Appendices. A and B (if applicable), on behalf of the Disclosing Party, and (2) warrants

that all certifications and statements contained in this EDS, and Appendices A and B (if applicable), are

true, accurate and complete as of the date furnished to the City.

Al&x.  $k \mid o$  UP/rvJ(£rfS  $T \sim LLC$ (Print or type exact legal name of Disclosing Party)

(Print or type name of person signing) (Print or type title of person signing)

Signed and sworn to before me on (date) ^epf". 27^ 2011

at (loO)e- County, iLUtfQlS (state).

Notary Public ^jui^^njijijj\_L.n.

1 "OFFICIAL SEAL £ SCOTT G PERDUE

Commission expires: -tefr/ttW

 ${n^{SS}-j^{t}A}$ 

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#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

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#### -APPENDIX A

### FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a ■ direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal-entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes  $\pounds X]$  No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completedLdhly by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any '4egaT' entity which has only antihdirect ownership interest in the Applicant-

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code

sco	scofflawor problem landlord pursuant to MCC=Section 2-92-416?			
	[ ]Yes fCXINo			
2.	If the Applicant is a lega	al entity publi	icly traded on any exchange, is any officer or director of	
2.	the Applicant identified	as a building	code scofflaw or problem landlord pursuant to MCC Section	
2.	2-92-416?	-■		
	[] Yes	jjj^] No	^The Applicant is not publicly traded on any exchange.	

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected information)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested. Permit Fee waiver and ZONING MAP AMENDEMENT FOR PROEPRTY LOCATED AT This recertification is being submitted in connection winy<sup>50</sup>""\* wmonrge; ioi-m sashland; mo-usslaflin [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of the date of this recertification, and (3) reaffirms its acknowledgments.

ALEX HOLDINGS T LLC . Date: (Print or type legal name of Disclosing Party) By: (sign here) Print or type name of signatory: ALEX SAMOYLOVICH Title of signatory: MANAGER Signed and sworn to before me on [date] V/<> Tstate]. "OFFICIAL SEAL" LNotary kuoiic. j My Commission E

SCOTT G PERDUE

Notary Public, State of Illinois " - rireo 4/26/2021

Vtr. 11-01-05