

Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

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Legislation Text

File #: O2020-3200, Version: 1

ORDINANCE

VT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all the RT4 Residential Two-Flat, Townhouse and Multi*

Unit District symbols and indications as shown on Map No.9- N in the area bounded by

The alley next north of and parallel to West Belmont Avenue; a line 57 feet I east of and parallel to North Natoma Avenue; West Belmont Avenue; and j North Natoma Avenue,

to those of a Cl-1 Neighborhood Commercial District. I

SECTION 2. This ordinance shall be in force and effect from and after its passage! and due publication.!

Common addresses of property: 6620 West Belmont Avenue!,

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CITY 01- CHICAGO

APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

ADDRESS of the property Applicant is seeking to rezone: 6618-20 W. Belmont

Ward Number that property is located in: Ward 36

APPLICANT Belmont Capital LLC dba O&S Dental Inc.

STATE

ADDRESS 6620 W. Belmont IL

ZIP CODE 6Q6Ji4

CITY Chicago PHONE 773-407-RS1S

EMAIL humbertn@osdentalinn.com <mailto:humbertn@osdentalinn.com> CONTACT PERSON Humberto Huerla NO

Is the applicant the owner of the property? YES

If the applicant is not the owner ofthe property, please provide the following infonnation regarding the owner and attach written authorization from the owner allowing the application to proceed.

OWNER

ADDRESS

STATE

EMAIL

If the Applicant/Owner of the property has obtained a lawyer as their representative tor the rezoning, please provide the following information:

ATTORNEY Paul A. Kolpak

ADDRESS 6767 N Milwaukee Ave. Suite 202

ZIP CODE 60714

EMAIL pauligklgesq.i

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6. If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

Humberto Huerta

Magdalena Beer

Francisco J. Alvarez Del Real

Andres Sequra

- 7. On what date did the owner acquire legal title to the subject property? 09/16/2019
- 8. Has the present owner previously rezoned this property? If yes, when?

-No

9. Present Zoning District RT'4

- Proposed Zoning District ci-1
- 10. Lot size in square feet (or dimensions) 7,125 Sq. Ft.
- I 1. Current Use OI the property

Storage of inventory for OAS Dental, Inc inside an existing 1 story brick building

12. Reason for rezoning the property to allow the warehouse storage and preparation of orders provided to

O & S Dental, Inc. from 3rd party shippers.

13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and ¹ height ofthe proposed building. (BE SPECIFIC)

The building will have no dwelling units. There is approximately 6.000 square footage of commercial space. ! The height of the building is 24 feet. There are 0 parking spaces. The proposed use is to legalize an established;

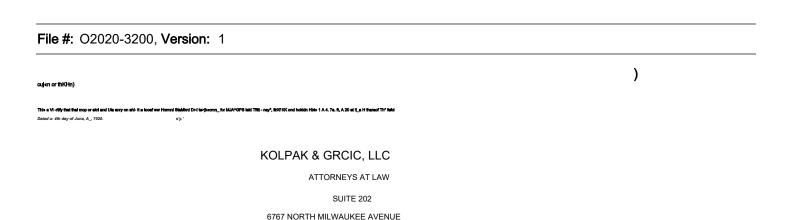
storage warehouse within the existing 1 story building.

14. The Affordable Requirements Ordinance (ARO) requires on-site affordable housing units and/or ¹ a financial

contribution lor residential housing projects with ten or more units that receive a zoning, change which, among other triggers, increases the allowable floor area, or, for existing Planned Developments, increases the number of units (see attached fact sheet or visit J www.ci(yofchicago.org/ARO http://www.ci(yofchicago.org/ARO for more information). Is this project subject to the ARO?

YES NO V

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COUNTY OF ILLINOIS	OF	COOK	STATE
statements and the statements Subscribed and Sworn to be	ts contained in the docum	st duly sworn on oath, states that all of the anents subm: .ted herewith are true and corr	
	fore me this	il day of $Q^{jl^{\wedge}}$	<u>, 20 (f</u>
Notary Public			
Date of Introduction: File No	umber:		
Ward:			
ALTA/NSPS LAND TIT			
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TELEPHONE (047) C47 - 033G FACSIMILE (84 7) 647-8107

June 9, 2020

NILES. ILLINOIS 60714

Honorable Thomas Tunney Chairman, Committee on Zoning 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

The undersigned, Paul A. Kolpak, being first duly sworn on oath deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such property owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line ofthe subject property located at 6618-6620 W. Belmont Ave. Chicago, IL exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 250 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address ofthe owner; and a statement that the applicant intends to file the application for a change in zoning on approximately June 11, 2020.

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the names and addresses of the people required to be served.

Subscribed and Sworn to before me this

June 9, 2020

To Property Owner:

In accordance with requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about June 11, 2020 the undersigned will file an application for a change in zoning from RT-4 to Cl-1 on behalf of the applicant, O&S Dental Inc. for the property located at 6618-20 W. Belmont Ave.

The applicant seeks to rezone the property to allow the warehouse storage and preparation of orders provided to O&S Dental Inc. from 3rd party shippers. The establishment will have no dwelling units, have 0 parking spaces, and have approximately 6,000 square footage of commercial space.

The applicant and owner is Belmont Capital LLC - dba O&S Dental Inc. Their address is 6620 W. Belmont Ave. Chicago, IL 60634. The contact person for this application is Attorney Paul A. Kolpak, Kolpak and Grcic, LLC. His address is 6767 N. Milwaukee Avenue, Suite 202, Niles, IL 60714. You can reach Paul Kolpak at 847 -647-0336.

Please note the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet of the property to be rezoned. Paul A. Kolpak

Sincerely,

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION |

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: j

Andres Segura Check ONE of the following three

boxes:

Indicate whether the Disclosing Party submitting this EDS is: '

1. the Applicant

. OR1

2. [] a legal entity currently holding, or anticipated to hold within six months after City action on

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	taking to which this EDS pertains (referred to below as the ! n excess of 7.5% in the Applicant. State the Applicant's legal	
3. [J a legal entity with a direct or indirect legal name of the entity in which the Disclosing	OR; ct right of control ofthe Applicant (see Section 11(B)(1)) State the ng Party holds a right of control:	
B. Business address of the Disclosing Party:	6620 w. Belmont Ave. Chicago. IL 60634	
C. Telephone: 773-407-3515 Fax: Email: humberto@ccenterio:humberto@osdentaiinc.com>		
D. Name of contact person: Humbert0 Huerta		
E. Federal Employer Identification No. (if you	u have one):	
F. Brief description of the Matter to which this if applicable):	s EDS pertains. (Include project number and location of property,	
zoning amendment to the property commonly known a	as 6618-6620 W. Belmont Ave.	
G. Which City agency or department is reque	sting this EDS? Department of zoning and Planning	
If the Matter is a contract being handled by the complete the following:	e City's Department of Procurement Services, please	
Specification #	and Contract #	
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SECTION II - DISCLOSURE OF OWNERS	SHIP INTERESTS	
A. NATURE OF THE DISCLOSING PART	TY 1. Indicate	
the nature of the Disclosing Party: Person [] Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership [] 1 rust	[] Limited liability company [] Limited liability partnership [] Joint venture [] Not-for-profit corporation (Is the not-for-profit corporation also a 501 (c)(3))? [j Yes] No [] Other (please specify)	

foreign country) of i	incorporatio	n or organiz	ation, if applicable:	
	inois: Has th	e organizati	on registered to do business in	the
[j Yes		[] No	[] Organized in Illin	ois '
TY IS A LEGAL EN	NTITY:,			i
porations, all member which are legal entitien or similarly situated wility partnerships or	ers, if any, wes"); (iii) for d party; (iv) joint venture	which are leg trusts, estate for general c es, each gene	al entities (if there are no such es or other similar entities, the or limited partnerships, limited eral partner, managing member	r,
below must submit a	an EDS on it	s own behal	f.	
in 6 months after Cit . Examples of such a	ty action) bean interest in	neficial inter clude shares	rest (including ownership) in s in a corporation, partnership	ct,
	•		·	state
				I
ess Address		Percentage I	nterest in the Applicant i	
	ed in the State of Illity? j [j Yes TY IS A LEGAL End titles, if applicable porations, all member hich are legal entities, or similarly situated wility partnerships or legal entity that directly that directly that directly the submit a simple of such a venture, interest of a beneficial terms of a beneficial terms. Examples of such a venture, interest of a beneficial terms of a beneficial terms.	[j Yes TY IS A LEGAL ENTITY: , Ind titles, if applicable, of: (i) all porations, all members, if any, which are legal entities"); (iii) for or similarly situated party; (iv) folity partnerships or joint venture legal entity that directly or indirectly or indirectly or indirectly or indirectly of the months after City action) because the months after City action because the months after of a member or interest of a member or interest of a beneficiary of a true below may be required to submit the below may be required to submit the site of the state of the site o	ed in the State of Illinois: Has the organization ity? j [j Yes [] No TY IS A LEGAL ENTITY: , Ind titles, if applicable, of: (i) all executive of porations, all members, if any, which are legal entities"); (iii) for trusts, estate or similarly situated party; (iv) for general collity partnerships or joint ventures, each general entity that directly or indirectly control below must submit an EDS on its own behalf in 6 months after City action) beneficial interest. Examples of such an interest include sharest venture, interest of a member or manager in the interest of a beneficiary of a trust, estate or below may be required to submit an EDS on	[j Yes [] No [] Organized in Illin TY IS A LEGAL ENTITY:, Ind titles, if applicable, of: (i) all executive officers and all directors of j the porations, all members, if any, which are legal entities (if there are no such thich are legal entities"); (iii) for trusts, estates or other similar entities, the or similarly situated party; (iv) for general or limited partnerships, limited illity partnerships or joint ventures, each general partner, managing member legal entity that directly or indirectly controls the day-to-day management below must submit an EDS on its own behalf. information concerning each person or legal entity having a direct or indirecting of months after City action) beneficial interest (including ownership) in a Examples of such an interest include shares in a corporation, partnership venture, interest of a member or manager in a member or manager in a corporation of a beneficiary of a trust, estate or other similar entity. If none, below may be required to submit an EDS on its own behalf.

SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP B	SY, CITY ELEC	TED OFFIC	IALS
lias the Disclosing Party provided any income or compensation to any City 12-month period preceding the date of this EDS?	elected official o	during the' ty\ No j	
Does the Disclosing Party reasonably expect to provide any income or comp	pensation to any	Cily '	
elected official during the 12-month period following the date of this EDS?	[] Yes	√[No !	
If "yes" to either ofthe above, please identify below the name(s) of such City describe such income or compensation: !	y elected official	(s) and !	
:		i	
Does any City elected official or, to the best of the Disclosing Party's knowl inquiry, any City elected official's spouse or domestic partner, have a finance	•		j
Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing [IYes VfNo	`		I

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, j lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The I Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address lo be retained)

partner(s) and describe the financial interests).

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Relationship to Disclosing Parly (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE:
"hourly rate" or "t.b.d." is j
not an acceptable response.
\$3,500 + Cost fpaid) |

Paul A. Kolpak

Office of the City Clerk

6767 N Milwaukee Suite 202

attorney

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(Add sheets if necessary) i	
[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or	entities.
SECTION V - CERTIFICATIONS	i i
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE;	
Under MCC Section 2-92-415, substantial owners of business entities that contract wilh remain in compliance with their child support obligations throughout the contract's term.	the City must
Has any person who directly or indirectly owns 10% or more of the Disclosing Party bee	n declared in '

[| Yes t^No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

arrearage on any child support obligations by any Illinois court of competent jurisdiction?

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

[] Yes [] No . B. FURTHER

CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing i Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, ! investigative, or other similar skills, designated by a public agency to help the agency monitor the | activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).'
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to die City of Chicago, including, but not limited to, water 'and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing j Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Parly in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or enlily lhat, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party,, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity lo do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Enlily of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with! respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection wilh the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe-a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government | or of any slate or local government in the United States of America, in that officer's or employee's official capacity; I
- b. agreed or colluded with other bidders or prospective bidders, or been a parly to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders,; in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or ,
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of j record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance). i
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) j bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating. j
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United Slates Department of Commerce, State, or Treasury, or any successor federal agency. ¹
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC! Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for,! any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the Cily or any "sister agency"; and (ii) ' the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that 1 Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V. j
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their i subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal ¹ System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in ¹ Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such j

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contraclor/subcontraclor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify lo any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, il will be conclusively presumed that the Disclosing Party certified to the above statements. I

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a

complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed i official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[J is f^is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Parly IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge

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that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Parly is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):
If the letters "NA," the word "None," or no response appears on the lines above, il will be conclusively presumed that the Disclosing Party certified to the above statements.
D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS
Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.
1. In accordance with MCC Section 2-156-110: To the best ofthe Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?
[.1 Yes vf No
NOTE: If you checked "Yes" to Item $D(l)$, proceed to Items $D(2)$ and $D(3)$. If you checked "No" to Item $D(l)$, skip Items $D(2)$ and $D(3)$ and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.
Does the Matter involve a City Property Sale?
[] Yes
3. If you checked "Yes" lo Item D(l), provide the names and business addresses of the City officials on



employees having such financial interest and identify the nature of the financial interest:

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. I f the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- iqr 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI » CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1 Page 9 oi 15

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of a member of Congress, in connection with the award of any federally funded contract, making any: federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. i

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter iii which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section i 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying¹ Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Parly is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the | duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of

Office of the City Clerk Page 18 of 91 Printed on 6/19/2022

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negotiations.				
				1
Is the Disclosing Par	ty the Applicant	t?		
			[] Yes	[] No!
If "Yes," answer the	three questions	below:		
1. Have you develop regulations? (See 41 of 1) Yes			on programs pursuant to applica	able federal
	s, or the Equal l		ctor of the Office of Federal Co ommission all reports due unde	
3. Have you particip opportunity clause? [] Yes	ated in any prev [1 No	vious contracts or subcontra	cts subject to the equal	
	-	or (2) above, please provide	an explanation:	
Page 10ofl5				

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

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The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Parly understands that il musl comply wilh all statutes, ordinances, and regulations on which this EDS is based. j
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and j obligations on persons or entities seeking City contracts, work, business, or transactions. The full text\(^\) of this ordinance and a training program is available on line at www.cilyofchicago.org/Ethics \(^\) http://www.cilyofchicago.org/Ethics\(^\). and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, , (312) 744-9660. The Disclosing Party must comply fully with this ordinance.!

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- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate,' any contract or other agreement in connection with which it is submitted may be rescinded or be void | or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble j damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon ^ request. Some or all of the information provided in, and appended to, this EDS may be made publicly | available on the Internet, in response lo a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or [claims which it may have against the City in connection wilh the public release of infonnation | contained in this EDS and also authorizes the City to verily the accuracy of any information submitted in this EDS. j
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing | Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020. j

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute i this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all | certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City. j

Andres Segura '

(Print or type exact legal name of Disclosing Party);

(Sign here)

Andres Segura (Print or type name of person signing)

Individual/Owner (Print or type title of person signing)

File	File #: O2020-3200, Version: 1				
Sig	gned and sw	orn to before me	on (date) _		
at	C^b	County,	۸		
				DAVID MEOELUN f> Official Seal >	

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT j

APPENDIX A I

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS I

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a' direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial I relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or ¹ adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, i father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister. i

"Applicable Party" means (1) all executive officers Of the Disclosing Party listed in Section; II.B.I.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general

partnership; all general partners and limited partners of the Disclosing Party, if the \Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the j Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief! financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes (/No ¹

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head tol whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION '

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any; legal entity which has only an indirect ownership interest in the Applicant. I

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code | scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[j Yes

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2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant

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identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?	
[] The Applicant is not publicly traded on any exchange.	
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified building code scofflaw or problem landlord and the address of each building or buildings to which the per code violations apply.	
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT! APPENDIX C!

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION j

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amleaal.com http://www.amleaal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds inj consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a

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business on j City premises.	
On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certif Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screeni applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary his current or former employers. I also certify that the Applicant has adopted a policy that 'includes prohibitions.	ng job story from those
[J Yes	i i
[] No!	i
ii/N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. i	i
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). i	
If you checked "no" to the above, please explain. i	

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

File #: O2020-3200, Version: 1
A. Legal name ofthe Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Magdalena Beer
Check ONE of the following three boxes: j
Indicate whether the Disclosing Party submitting this EDS is: 1L/f the Applicant OR 2. [] a legal entity currently holding, or anticipated to hold within six months alter City action on i the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal i name: OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) Stale the legal name of the entity in which the Disclosing Party holds a right of control:
B. Business address of the Disclosing Party: 6620 w. BeimontAve
Chicago, IL 60634
/ I T i
E. Federal Employer Identification No. (if you have one):
F. Brief description of the Matter lo which this EDS pertains. (Include project number and location of property, if applicable):
zoning amendment to the property commonly known as 6618-6620 W. Belmont Ave.
G. Which City agency or department is requesting this EDS? Department of Zoning and Planning i
If the Matter is a contract being handled by the City's Department of Procurement Services, please j complete

the following:

Specification # and Contract #

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SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

Δ	NΔT	TIRE	OF THE	DISCI	OSING	PARTY
\boldsymbol{H}	INAI	1 / 1 / 1 /	 	171,30.7		FANI

1. Indicate the nature of the Disclosing Person
[J Publicly registered business corporation [] Privately held business corporation [] Sole proprietorship []
General partnership [] Limited partnership [J Trust
Party:
[] Limited liability company
[] Limited liability partnership
Joint venture
Not-for-profit corporation
(Is the not-for-profit corporation also a 501(c)(3))?
[J Yes [] No [] Other (please specify)
2. For legal entities, the state (or foreign country) of incorporation or organization, if applicable:
3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?
[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A LEGAL ENTITY:
1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% ofthe Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability "None."	company, or interest of a beneficiary	of a trust, estate or other simil	lar entity. If none, state
NOTE: Each leg	al entity listed below may be required	to submit an EDS on its own bel	nalf.
Name	Business Address	Percentage Interest in the	ne Applicant
OFFICIALS	- INCOME OR COMPENSATIO	*	
	ng Party provided any income or comp I preceding the date of this EDS?	pensation to any City elected offi	J^J No
elected official d	sing Party reasonably expect to provide uring the 12-month period following to of the above, please identify below the	the date of this EDS? [] Yes	{∧ No
City elected office Municipal Code If "yes," please i	ected official or, to the best of the Disc cial's spouse or domestic partner, have of Chicago ("MCC")) in the Disclosin dentify below the name(s) of such Ci	a financial interest (as defined in g Party? []Yes {/No	Chapter 2-156 of the
(s) and describe t	the financial interest(s).		ı
SECTION IV	DISCLOSURE OF SURCONTRAC	TORS AND OTHER PETAIR	NED PARTIES:

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as I the nature ofthe relationship, and the total amount of the fees paid or estimated to be paid. The | Disclosing Party is not required to disclose

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employees who are paid solely through the Disclosing Parly's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, Ihe Disclosing Parly musl either ask the Cily whether disclosure is required or make the ¹ disclosure.

to be retained)

Paul A. Kolpak
retained or anticipated Address (subcontractor, attorney, lobbyist, etc.)

6767 N. Milwaukee Suite 202 attorney
Niles, IL 60714
paid or estimated.) NOTE: j "hourly rate" or "t.b.d." is not an acceptable response. |

\$3.500 + Cost (paid)

[j Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must I remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

[] Yes t/No [] No person directly or indirectly owns 10% or more of the Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and \ is the person in compliance with that agreement?

[j Yes [] No '

B. FURTHER CERTIFICATIONS'

1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of i Procurement Services.] In the 5-year period preceding the date ofthis EDS, neither the Disclosing, Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the 'performance of any

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public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, ! investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they | can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water * and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue. !

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- 3. The Disclosing Party and. if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date ofthis EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply wilh the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;

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- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but nol limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls Ihe Disclosing Parly, is controlled by the Disclosing Party, or is, wilh the Disclosing Party, under common control of another person or enlily). Indicia of control include, without limilation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date ofthis EDS, or, with j respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years ¹ before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency ofthe federal government i or of any state or local government in the United States of America, in that officer's or employee's official capacity; I
- b. agreed or colluded wilh other bidders or prospective bidders, or been a party to any such agreement! or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or i
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of j record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, i officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) j bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating. j

- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the! United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] ofthe Applicant is currently indicted or ! charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, , any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, I perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges lhal compliance with Article I is a continuing requirement! for doing business with the Cily. NOTE: If MCC Chapter 1 -23, Article I applies to the Applicant, that ' Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V. '
- 9. | FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their
- 9. subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal
- 9. System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired
- 10. or to be hired in connection with the Matter certifications equal in form and substance to those in
- 10. Certifications (2) and (9) above and will not, without the prior written consent of the Cily, use any such

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contractor/subcontractor that docs not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify lo any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively' presumed that the Disclosing Party certified to the above statements. ¹

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a ! complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding

the date of this EDS, an employee, or elected or appointed official, of the City | of Chicago (if none, indicate with "N/A" or "none").

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affdiates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be ! conclusively presumed that the Disclosing Party certified to the above statements.

!

File #: O2020-3200, Version	n : 1			
D. CERTIFICATION RI	EGARDING FINANCIAL II	NTEREST IN CITY BU	SINESS	
Any words or terms defin	ned in MCC Chapter 2-156 h	nave the same meanings i	if used in this Par	t D.
reasonable inquiry, does	CC Section 2-156-110: To the any official or employee ofth person or entity in the Matter	ne City have a financial in	-	
] Yes	(/No I
NOTE: If you checked "'skip Items D(2) and D(3)	Yes" to Item D(l), proceed to and proceed to Part E.	Items D(2) and D(3). If	you checked "No	o" to Item D(l),
or employee shall have a other person or entity in t assessments, or (iii) is so	fo a process of competitive be financial interest in his or he the purchase of any property ld by virtue of legal process a ty taken pursuant to the Citylong of this Part D.	er own name or in the nar that (i) belongs to the Ci at the suit of the City (co	me of any ity, or (ii) is sold ollectively, "City	for ' taxes or Property Sale").
Does the Matter involve	a City Property Sale?!			
[] Yes	t/No i			
	to Item D(l), provide the nancial interest and identify			tity officials or
Name	Business Address	Nature of Finar	ncial Interest ¹	i
				ı
		i		I

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be \ acquired by any City official or employee.

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E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. IP the Disclosing Party checks (2), the Disclosing Parly must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the

File	#•	02020 - 3	3200 \	Version:	1
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City.

- 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Parly and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting Ihe search in step (I) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Vcr.2018-1

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of a member of Congress, in connection wilh the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement. j		
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above. ¹		
4. The Disclosing Party certifies that either: (i) it is not an organization described in section j 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal		

- of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended. \(^1\)
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in j form and substance lo paragraphs A(l) through A(4) above from all subcontractors before it awards ¹ any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the \blacksquare duration of tire Matter and must make such certifications promptly available to the City upon request. ¹

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

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If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of I negotiations.

Is the Disclosing Party the	Applicant?	•
[] Yes	[] No	
If "Yes," answer the three	questions b	elow:
1. Have you developed an regulations? (See 41 CFR I	•	eve on file affirmative action programs pursuant to applicable federal
<u> </u>	-	rting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the applicable
[] Yes	f]No	[] Reports not required
3. Have you participated i opportunity clause?	n any previ	ous contracts or subcontracts subject to the equal
[] Yes	[] No	
If you checked "No" to que	estion (1) or	(2) above, please provide an explanation:

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SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

'Hie Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements lo the City's execution of any contract or taking other action with respect lo the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

13. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full texf of this ordinance and a training program is available on line at www.cityofchicaao.org/Ethics http://www.cityofchicaao.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610,; (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

C. If the Cily determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void; or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter I and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble! damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly j available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or j claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted' in this EDS. j

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a ! contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter ¹ 1 -23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required ■ by MCC Chapter 1 -23 and Section 2-154-020.

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CERTIFICATION!

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute j this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate ! and complete as of the date furnished to the City.

Magdalena Beer

(Print or type exact legal name of Disclosing Party)

Magdalena Beer

(Print or type name of person signing)

Individual/Owner

(Print or type title of person signing)

Signed and sworn to before me on (date)

C<J&^v. County,

Commission expires:

P«m> 17 r>f 15

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.'

Under MCC Section 2-154-015, the Disclosing Parly must disclose whether such Disclosing Party; or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any cily department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, i father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to! which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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AND AFFIDAVIT j	CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT APPENDIX B	
BUILDING CODE SC	COFFLAW/PROBLEM LANDLORD CERTIFICATION	i
This Appendix is to be completed only	by (a) the Applicant, and (b) any legal entity which has a direct	

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416? j

MYes t/No!

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of \mid the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?;

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which! the pertinent code violations apply.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com http://www.amlegal.com); generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in' consideration for services, work or goods provided (including for legal or other professional services),, or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on I City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that; includes those prohibitions.

[] Yes [J No

\s/fWA -1 am nol an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). ¹

If you checked "no" to the above, please explain. '

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		I
	CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT	
SECTION I - GENERAL INFORMATION	N	
A. Legal name of the Disclosing Party subn	mitting this EDS. Include d/b/a/ if applicable:	

Humberto Huerta

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: i

1. the Applicant

OR |

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the !
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

~

- 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1))¹ State the legal name of the entity in which the Disclosing Party holds a right of control:
- B. Business address of the Disclosing Party:

C. Telephone: 773-407-8515 Fax: Email: humberto@QSdentalinexom

- D. Name of contact person: Humberto Huerta
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of j property, if applicable):

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zoning amendment to the property	commonly known as	j s 6618-6620 W. Relmont Ave
G. Which City agency or depart	artment is reques	ting this EDS? Department of Zoning and Planning I
If the Matter is a contract being the following:	ng handled by th	e City's Department of Procurement Services, please I complete
Specification #		and Contract #
Ver.2018-1	P	age 1 of 15
SECTION II DISCLOSU	RE OF OWNEJ	RSHIP INTERESTS
A. NATURE OF THE DISCI	LOSING PARTY	•
I. Indicate the nature of the \$∧ Person [] Publicly registered business [] Privately held business cor [] Sole proprietorship [] General partnership [] Limited partnership [] Trust 2. For legal entities, the state (es corporation rporation	ly: [] Limited liability company [] Limited liability partnership j] Joint venture f Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? Yes
3. For legal entities not organithe State of Illinois as a foreign		of Illinois: Has the organization registered to do business in
[] Yes] No	[] Organized in Illinois
B. IF THE DISCLOSING PA	RTY IS A LEGA	AL ENTITY:
entity; (ii) for not-for-profit comembers, write "no members trustee, executor, administrato liability companies, limited lia	orporations, all m which are legal e or, or similarly sit ability partnership	licable, of: (i) all executive officers and all directors of the sembers, if any, which are legal entities (if there are no such entities"); (iii) for trusts, estates or other similar entities, the mated party; (iv) for general or limited partnerships, limited part or joint ventures, each general partner, managing member, it directly or j indirectly controls the day-to-day management

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

ofthe Applicant.

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Name		Title;	
current or prospec of 7.5% of the App	tive (i.e. within 6 months after Cily ac	each person or legal entity having a direction) beneficial interest (including own nclude shares in a j corporation, partner nager in a	nership) in excess
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			11
limited liability c "None."	company, or interest of a beneficiary	of a trust, estate or other similar entit	ty. If none J state
NOTE: Each lega	l entity listed below may be required	to submit an EDS on its own behalf.	
Name	Business Address	Percentage Interest in the App	olicant
			,
SECTION III I	NCOME OR COMPENSATION TO,	OR OWNERSHIP BY, CITY ELECT	ED OFFICIALS
	g Party provided any income or comp preceding the date of this EDS?	ensation to any City elected official du	uring the! ,L/J No
	ing Party reasonably expect to provide uring the 12-month period following th	any income or compensation to any Che date of this EDS? [] Yes	City L/fNo '<
If "yes" to either of such income or co	- · · · · · · · · · · · · · · · · · · ·	name(s) of such City elected official(s	s) and describe
City elected offici		losing Party's knowledge after reasona a financial interest (as defined in j Chap	1 0
mamorpai Code o	1 cineago (11100)) in the Disciosing	[]Yes	$V?^{No}$,
If "yes," please ide	entify below the name(s) of such City	elected official(s) and/or spouse(s)/dor	mestic partner(s)

and describe the financial interest(s).

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					j
SECTION IV	- DISCLOSURE	OF SUBCONTRA	ACTORS AND	OTHER RETAINED PART	IES
lobbyist (as def whom the Discl the nature of the Disclosing Part Party's regular p	fined in MCC Char losing Party has re e relationship, and by is not required to payroll. If the Disc	oter 2-156), accountained or expects to the total amount of disclose employee losing Party is unce	tant, consultant a retain in connect the fees paid or c es who are paid s ertain whether a	each subcontractor, attorney, and any other person or entity etion with the Matter, as well a estimated to be paid. The olely through the Disclosing disclosure is required under the ture is required or make the	as i
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					II
retained or		ess Relationship to Address	0	ty Fees (indicate whether I ctor, attorney, paid or	estimated.)
NOTE: to be retained)		lobbyist, etc.)	"hourly rate" or "t.b.d."	•
Paul A Kolpak		aukee Suite 202 iiesJL60714	attorney	\$3.500 + Cost (paid)	~ "i~
(Add sheets if	necessary)				
[Check here	if the Disclosing P	arty has not retaine	d, nor expects to	retain, any such persons or er	ntities.
SECTION V -	- CERTIFICATIO	ONS			I i
A. COURT-OI	RDERED CHILD	SUPPORT COMP	LIANCE		
				s that contract with the City method the contract's term.	
	=	=		Disclosing Party been declared impetent jurisdiction?	1 d in

j/No [] No person directly or indirectly owns 10% or more of the Disclosing Party, i [I Yes

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and i is the person in compliance with that agreement?'

[]Yes []No i

B. FURTHER CERTIFICATIONS!

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of. Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing i Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector 'inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the i activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress). !
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, ! tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue. ¹

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes: fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, ' state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and j
- e. have not, during the 5 years before the dale ofthis EDS, been convicted, adjudged guilty, or found . liable in a civil proceeding, or in any criminal or civil action, including actions concerning I environmental violations,

instituted by the City or by the federal government, any state, or any other unit of local government. I

- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or slate or local government, including the Cily, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term'Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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1

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date ofthis EDS, or, withi respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years j before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter: ,

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government¹, or of any state or local government in the United States of America, in that officer's or employee's official capacity; I
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders[^] in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or j
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or i

- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base i Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, j officials, agents or partners, is barred from contracting with any unit of state or local government as a ¹ result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2)! bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating. I
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency. ■
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC J Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, | any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the Cily or any "sister agency"; and (ii)! the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1 -23, Article I applies to the Applicant, that; Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.j
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their I subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal, System for Award Management ("SAM"). ¹
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired \ or to be hired in connection with the Matter certifications equal in form and substance to those in j Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such:

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contractor/subcontractor (hat does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

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12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a 'complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

N/A

i

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, all any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in; the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or i "none"). As to any gift listed below, please also list the name of the Cily recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION i

- 1. The Disclosing Party certifies that the Disclosing Party (check one) i $\int \int ds \, ds$ is $\int \int ds \, ds$
 - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: !

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further 'pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a! predatory lender may result in the loss ofthe privilege of doing business with the Cily."

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If the Disclosing Parly is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

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Any words or terms defined in MCC Chanter 2 156 have the same magnings if used in this P.	_

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[]	Yes	(vfNo
----	-----	-------

NOTE: If you checked "Yes" lo Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property' Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes (/No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any Cily official or employee.

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E. CER TIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment lo this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection wilh the Matter voidable by the City.

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- ^/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Parly and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Parly has found no such records.
- _2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the Cily and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Parly with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally

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funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.'
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in. which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(l) and A(2) above.
4. The Disclosing Party certifies that either: (i) it is not ah organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section \ 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended. !
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in: form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Parly must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing all the outset of negotiations.
Is the Disclosing Party the Applicant? [] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
[] Yes [] No] Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [No
If you checked "No" to question (1) or (2) above, please provide an explanation:

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SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Parly understands and agrees that: I

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and, obligations on persons or entities seeking City contracts, work, business, or transactions. The full text' of this ordinance and a training program is available on line at www.citvofchicago.ora/Ethics http://www.citvofchicago.ora/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, > any contract or other agreement in connection with which it is submitted may be rescinded or be void i or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter ' and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages. ¹
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon! request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or | claims which il may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted > in this EDS. I
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing \
- E. Party must supplement this EDS up to the time the City takes action on the Matler. If the Matter is a
- E. contract being handled by the City's Department of Procurement Services, the Disclosing Party must I
- E. update this EDS as the contract requires. NOTE: Wilh respect to Mailers subject to MCC Chapter
- E. I-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the
- E. information provided herein regarding eligibility must be kept current for a longer period, as required

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E. by MCC Chapter 1-23, and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

Humberto Huerta

Humberto Huerta

(Print or type name of person signing)

Individual/Owner

(Print or type title of person signing)

Signed and sworn to before me on

County, 4-"f -

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1

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

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Under MCC Section 2-154-015, the Disclosing Parly must disclose whether such Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial! relationship" with any elected cily official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city; department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or I stepdaughter, stepbrother or stepsister or half-brother or half-sister.

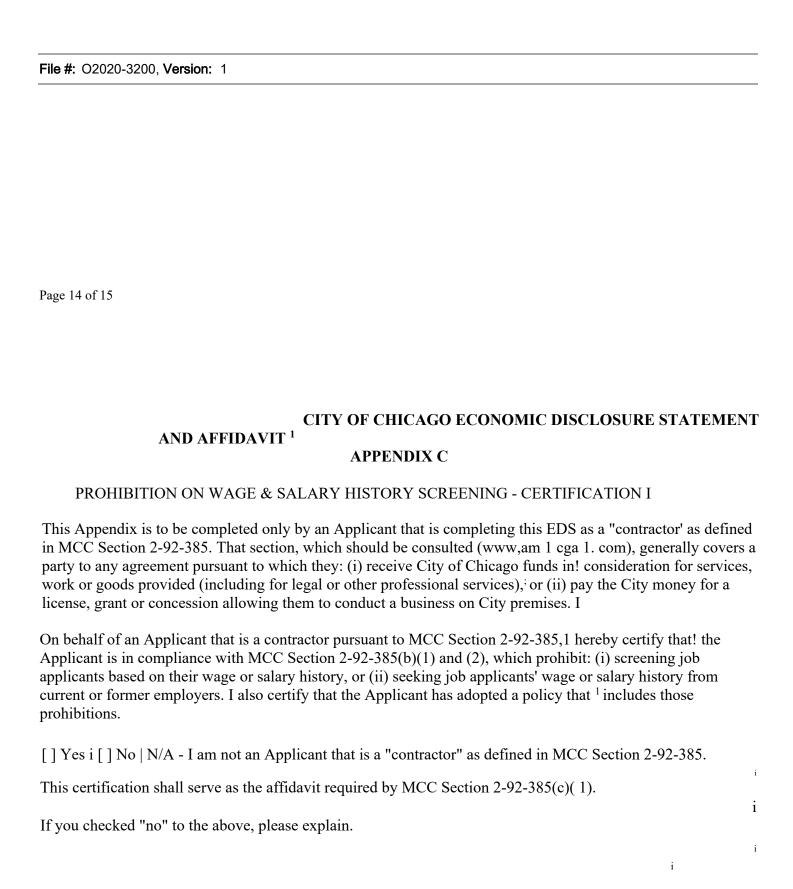
"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section ¹ II.B.I.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the I Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the 'Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing j Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority. '

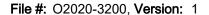
Does ihe Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head? ;

[]Yes

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship, i

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CITY OF CHICAGO , ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT $^{\rm 1}$ APPENDIX B
BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION '
I his Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant. I
1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code j scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[] Yes
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[] The Applicant is not publicly traded on any exchange.
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and die address of each building or buildings to whi the pertinent code violations apply.





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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Parly submitting this EDS. Include d/b/a/ if applicable:

Francisco J. Alvarez Del Real

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is: ;

1. the Applicant

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or .other undertaking lo which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

- 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1))' Slate the legal name of the entity in which the Disclosing Parly holds a right of control:
- B. Business address of the Disclosing Party: 6620 w. Belmont Ave

Chicago, IL 60634

C. Telephone: 773-407-6515 Fax: Email: humberto@osdentalinccom

D. Name of contact person: Humberto Huerta

E. Federal Employer Identification No. (if you have one):

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property, if applicable):,	Mailer to which this EDS		project number and location of
G. Which City agency or do	epartment is requesting this E	EDS? Department of Z	Zoning and Planning
If the Matter is a contract be the following:,	peing handled by the City's D	Department of Procure	ement Services, please i complete
Specification #	and Con	tract #	
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			ı
Person [] Publicly registered busin f] Privately held business c [Sole proprietorship [] General partnership [] Limited partnership [] Trust] Limited liability company [] Limited liability partners [] Joint venture [j Not-for-profit corporation (Is the not-for-profit corporation	ess corporation orporation y ship	RESTS	
2. For legal entities, the sta	te (or foreign country) of inco	orporation or organiza	ation, if applicable:
3. For legal entities not org. State of Illinois as a foreign		: Has the organization	registered to do business in the
	[] Yes	[] No	[] Organized in Illinois I
B. IF THE DISCLOSING I	PARTY IS A LEGAL ENTIT	TY:	i

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List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there! are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other, similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or! limited partnerships, limited liability companies, limited liability partnerships or joint ventures,, each general partner, managing member, manager or any other person or legal entity that directly or 'indirectly controls the day-to-day management of the Applicant.
NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including \ ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a j

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name

Business Address

Percentage Interest in the Applicant

SECTION HI - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS I

Has the Disclosing Party provided any income or compensation to any City elected official during the I

12-month period preceding the date of this EDS?

[]Yes

L/] No;

Does the Disclosing Party reasonably expect to provide any income or compensation to any City i elected official during the 12-month period following the date of this EDS? f] Yes \$∧ No ■

If "yes" to either of the above, please identify below the name(s) of such Cily elected official(s) and t describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in 'Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes {/No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic, partner (s) and describe the financial interests). ¹

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as I the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this; Section, the Disclosing Parly must either ask the City whether disclosure is required or make the disclosure. "

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Name (indicate whether Business Relationship to Disclosing Party Fees (indicate whether i retained or anticipated Address (subcontractor, attorney, paid or estimated.) NOTE:' "hourly rate" or "t.b.d." is to be retained) lobbyist, etc.) not an acceptable response. Paul A. Kolpak 6767 N. Milwaukee Suite 202 attorney \$3,500 + Cost (paid) Niles, IL 60714

(Add sheets if necessary)

[] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

SECTION V -- CERTIFICATIONS I

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE i

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must¹ remain in compliance with their child support obligations throughout the contract's term. i

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in i arrearage on any child support obligations by any Illinois court of competent jurisdiction?

{/No f] No person directly or indirectly owns 10% or more of the Disclosing Party. I]Yes

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If "Yes," has the person entered into a court-approved agreement for payment of all support owed and | is the person in compliance with that agreement?

[JYes []No

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of; Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector j inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they . can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water i and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Parly is a legal entity, all of those persons or entities, identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, stale or local unit of government;
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, I attempting to obtain, or performing a public (federal, stale or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery-bribery; falsification or destruction of records; making false statements; or receiving stolen property; ¹
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, ¹ state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a

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civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business wilh federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing j

i Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or,

with

respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years i before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government | or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded wilh other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or

!

prospective bidders,! in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or j

- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of i
- c. record, but have nol been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base
- d. Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees,
- 6. officials, agents or partners, is barred from contracting with any unit of state or local government as
- 6. result of engaging in or being convicted of (1) bid-rigging in violation of 720 I LCS 5/33E-3; (2) j
- 6. bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United
- 6. States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency. !
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC > Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or ! charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for. , any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) | the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1 -23, Article I applies to the Applicant, that |

Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V. j

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their | subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal

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System for Award Management ("SAM").

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10. | FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired ' or to be hired in connection with the Matter certifications equal in form and substance to those in i Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such i

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to; believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further | Certifications), the Disclosing Party must explain below:

N/A

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If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a ! complete list of all current employees of the Disclosing Party who were, at any time during the 12- \ month period preceding the dale ofthis EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). i

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during ¹ the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes ofthis statement, a "gift" does not include: (i) anything; made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a j political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION 1

- 1. The Disclosing Party certifies that the Disclosing Party (check one) ¹
 I] is Fvf is not
 - a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges: j

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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If the Disclosing Party is unable lo make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

I f the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Parly's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes IvfNo.

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or

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employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[1 Yes 1[^]fNo

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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E. CERTIFICA TION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure lo comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or lo extend, continue, renew; amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. I he Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
 - 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form

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and substance to paragraphs $A(l)$ through $A(4)$ above from all subcontractors before it awards j any subcontra and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter at must make such certifications promptly available to the City upon request. I	
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY,	
If the Matter is federally funded, federal regulations require the Applicant and all propos subcontractors to submit the following information with their bids or in writing at the outset negotiations.	
Is the Disclosing Party the Applicant? []Yes []No	
If "Yes," answer the three questions below:	
1. Flave you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.): [] Yes [J No	i
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission.all reports due under the applicable filing requirements? 1	
[] Yes [] No [] Reports not required	i
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause? [] Yes [] No	
If you checked "No" to question (1) or (2) above, please provide an explanation:]
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SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION	
The Disclosing Party understands and agrees that: I	

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other Cily action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text¹ of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics http://www.cityofchicago.org/Ethics,

and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, '(312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate,! any contract or other agreement in connection with which it is submitted may be rescinded or be void: or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter | and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble! damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon j request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By i completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information! contained in this EDS and also authorizes the City to verify the accuracy of any information submitted i in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must, update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the i information provided herein regarding eligibility must be kept current for a longer period, as required, by MCC Chapter 1-23 and Section 2-154-020.!

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Francisco J. Alvarez Del Real

(Print or type exact legal name of Disclosing Party)

By:

Francisco J. Alvarez Del Real

(Print or type name of person signing)

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Individual/Owner

(Print or type title of person signing) Signed and

sworn to before me on (date)

al $C^{\circ}o^{1}$ - County, - (state).

Commission expires:

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Parly must disclose whether such Disclosing Party' or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial ■ relationship" with any elected cily official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, Ihe city clerk, the city treasurer or any city j

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department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, , father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or , stepdaughter, stepbrother or stepsister or half-brother or half-sister.

i

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.I .a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing: Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the i Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the j Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof | currently have a "familial relationship" with an elected city official or department head? ¹

[]Yes MfNo!

If yes, please identify below (1) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title ofthe elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT

AND AFFIDAVIT |

APPENDIX B

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant. i

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1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[] Yes
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[] The Applicant is not publicly traded on any exchange.
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to whi the pertinent code violations apply.
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT ${\bf APPENDIX} \ {\bf C}$

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www, am legal .com), j generally covers a party to any agreement pursuant to which they: (i) receive Cily of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises. j

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify thati the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes		
[]No	I	
N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.		
This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l).	i	
If you checked "no" to the above, please explain.	i	

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosin	g Party	y submitting	this EDS.	Include d/b/a/	if applicable:
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Check	ONE	ofthe	following	three	hoxes:
CHUCK	OLLE	UILLIC	10110 11 1112		DUALS.

SECTION 1 GENERAL IN ORGANITON
A. Legal name ofthe Disclosing Party submitting this EDS. Include d/b/a/ if applicable:
Belmont Capital, LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. [] the Applicant '
OR; 2. L/f a legal entity currently holding, or anticipated to hold within six months after City action on 1 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the i 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. Slate the Applicant's legal 2. name: OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: i
B. Business address of the Disclosing Party: 6620 w. Belmont Ave. Chicago, il 60634
o - , , , ^ tl humberto@osdentalinc.com <mailto:humberto@osdentalinc.com> C. Telephone: 773-407-8515 Pax: Email: _</mailto:humberto@osdentalinc.com>
D. Name of contact person: Humberto Huerta
E. Federal Employer Identification No. (if you have one): '_
F. Brief description of the Matter lo which this EDS pertains. (Include project number and location of i properly, if applicable):
zoning amendment to the property commonly known as 6618-6620 W. Belmont Ave. ,
G. Which City agency or department is requesting this EDS? Department of zoning and Planning ;

If the Matter is a contract being handled by the City's Department of Procurement Services, please ' complete the following: I

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Specification #	and Contract /?'	J
•	Page 1 of 15	·
	- 	I
SECTION II - DISCLOSURE OF OWNERS	HIP INTERESTS	
A. NATURE OF THE DISCLOSING PARTY	Y	
1. Indicate the nature of the Disclosing Parl] Person [] Publicly registered business corporation [] Privately held business corporation] Sole proprietorship [J General partnership [] Limited partnership [] Trust	f^jf Limited liability compan [] Limited liability partnersh) Joint venture \] Not-for-profit corporation (Is the not-for-profit corporation [] Yes	nip tion also a 501 (c)(3))?
2. For legal entities, the state (or foreign cou	intry) of incorporation or organi	zation, if applicable:
Illin	ois	
3. For legal entities not organized in the State of Illinois as a foreign entity? !	ite of Illinois: Has the organiza	ition registered to do j business in
[]Ye	es [] No	[] Organized in Illinois '
B. IF THE DISCLOSING PARTY IS A LEG	SAL ENTITY:	
1. List below the full names and titles, if application (ii) for not-for-profit corporations, all members write "no members which are legal entities executor, administrator, or similarly situated companies, limited liability partnerships or journal of the person or legal entity that direct Applicant.	ers, if any, which are legal entities"); (iii) for trusts, estates or eleparty; (iv) for general or ! limbint ventures, each general partn	es (if there; are no such members, other similar entities, the trustee, nited partnerships, limited liability ter, managing member, manager or
NOTE: Each legal entity listed below must su	ıbmit an EDS on its own behalf.	Name
Title Humberto Huerta, Francisco J. Alvarez Del Real ^~ _n ~-	∼ <i>i_n∼</i> managing members	
Andres Segura, Magdalena Beer	managing members j	

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Office of the City Clerk

2. Please provide the following information concerning each person or legal entity having a direct or i indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including 'ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a j corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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j

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limited liability compan "None."	y, or interest of a beneficiar	ry of a trust, estate or o	ther similar e	•	state
NOTE: Each legal entity	listed below may be require	ed to submit an EDS on	its own behal	ii f.	
Name Humberto Huerta 20%	Business Address	Percentage I	nterest in the	Applicant	
Francisco J. Alvarez Del Real		20% 1			• -
Andres Segura 20% Magdalena B	3eer 40%				
SECTION III - INCO OFFICIALS	OME OR COMPENSATI	ON TO, OR OWNE	ERSHIP BY,	, CITY ELECT	ſED
	provided any income or coring the date of this EDS?	npensation to any City	elected officia	al during the i fy] No	
Does the Disclosing Part	y reasonably expect to provi	de any income or comp	ensation to an	ıy City	
elected official during th	e 12-month period following	g the date of this EDS?	[] Yes	-j/ No	
If "yes" to either of the a such income or compens	bove, please identify below tation:	the name(s) of such City	elected offic	ial(s) and describ	e i
City elected official's spo Municipal Code of Chica	ficial or, to the best ofthe Dispuse or domestic partner, having ("MCC")) in the Disclosing below the name(s) of see financial interest(s).	re a financial interest (asing Party? {] Yes No	s defined in C	hapter 2-156 ofth	ne

SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, ! lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity; whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Paul A. Kolpak
attorney
retained or anticipated Address (subcontractor, attorney,

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to be retained)	lobbyist, etc.)	
6767 N. Milwaukee Sui Niles, IL 60714 \$3.500 + Cost (paid)	paid or estimated.) NOTE: "hourly rate" or "t.b.d." is ' not an	acceptable response.!
(Add sheets if necessary)		j
[] Check here if the	Disclosing Parly has not retained, nor expects to retain,	any such persons or
entities. SECTION V	CERTIFICATIONS	
A. COURT-ORDERED	CHILD SUPPORT COMPLIANCE '	i
	92-415, substantial owners of business entities that contract their child support obligations throughout the contract's term	
• •	ctly or indirectly owns 10% or more ofthe Disclosing Party beer pport obligations by any Illinois court of competent jurisdiction	
[] Yes f/No [] No pe	erson directly or indirectly owns 10% or more of the Disclosing	Party.
If "Yes," has the person is the person in complian	n entered into a court-approved agreement for payment of a ce with that agreement?!	ll support owed and
[] Yes [] No		
B. FURTHER CERTIFIC	CATIONS	
Procurement Services.] In nor any Affiliated Entity [any public contract, the se integrity compliance cons similar skills, designated by vendors as well as help th	lies only if the Matter is a contract being handled by the City's En the 5-year period preceding the date of this EDS, neither the Description in (5) below] has engaged, in connection with the ervices of an integrity monitor, independent private sector inspectulant (i.e., an individual or entity with legal, auditing, j investig by a public agency to help the agency monitor the activity of species vendors reform their business practices so they i can be considered to the contract in progress.	isclosing Party e i performance of ector general, or gative, or other ecified agency
2 The Disclosing Party	and its Affiliated Entities are not delinquent in the navment of a	ny fine fee i tay

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, j tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing > Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

3. The Disclosing Party and, if the Disclosing Parly is a legal entity, all of those persons or entities ¹ identified in Section 11(B)(1) of this EDS: ■

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- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government; j
- b. have not, during the 5 years before the date ofthis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, ! attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, ¹ stale or local) with committing any ofthe offenses set forth in subparagraph (b) above;

d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and j

- e. have nol, during the 5 years before the date ofthis EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning I environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC | Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern: '
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in | connection with the Matter, including but not limited to all persons Or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties"); i
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: ! interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following I the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the j ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity; i
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, j acting pursuant

to the direction or authorization of a responsible official of the Disclosing Party, i any Contractor or any Affiliated Entity (collectively "Agents"). j

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with! respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years; before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter: j

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any slate or local government in the United Slates of America, in that officer's or employee's | official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of | record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, ¹ officials, agents or partners, is barred from contracting with any unit of state or local government as a; result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) 'bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency. !
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC! Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for,! any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) ' the Applicant understands and acknowledges that compliance with Article 1 is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.!
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their! subcontractors lo use, any facility listed as having an active exclusion by the U.S. EPA on the federal j System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired ' or to be

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hired in connection with the Matter certifications equal in form and substance to those in } Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such '

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any ofthe above statements in this Part D (Further Certifications), the Disclosing Party must explain below:

N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements. j

12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a | complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). ¹

N/A

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything | made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a j political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or I "none"). As to any gift listed below, please also list the name ofthe City recipient.

N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further piedge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best ofthe Disclosing Party's knowledge after reasonable inquiry, does any official or employee ofthe City have a financial interest in his oilier own name or in the name of any other person or entity in the Matter?

[]Yes [/No

NOTE: If you cheeked "Yes" lo Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning ofthis Part D.

Does the Matter involve a City Property Sale?

[.1 Yes L/No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or

employees having such financial interest and identify the nature of the financial interest:

Name Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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E. CER TIFICATION REGARDING SLAVERY ERA BUSINESS

Please check, either (1) or (2) below. I f the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with die City in connection with the Matter voidable by the City.

- ^/ 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, il will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew; amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section ¹ 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501 (c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available lo the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant?

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[]Yes	[] No
If "Yes," answer the three	questions below:
 Have you developed an regulations? (See 41 CFR I []Yes 	nd do you have on file affirmative action programs pursuant to applicable federal Part 60-2.) []No
Compliance Programs, or t filing requirements?	e Joint Reporting Committee, the Director of the Office of Federal Contract the Equal Employment Opportunity Commission all reports due under the applicable
[] Yes	[] No [] Reports not required
3. Have you participated i opportunity clause?	n any previous contracts or subcontracts subject to the equal
[] Yes	1 No
If you cheeked "No" to que	estion (1) or (2) above, please provide an explanation:
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SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION |

The Disclosing Party understands and agrees that: I

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection wilh the Matter, whether procurement, City assistance, or other City action, and are material inducements lo the City's execution of any contract or taking other action with respect lo the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.'
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text! ofthis ordinance and a training program is available on line at www.citvofchicago.org/Elhics http://www.citvofchicago.org/Elhics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, '(312) 744-9660. The Disclosing Party must comply-fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the

Disclosing Party to participate in other City transactions. Remedies at , law for a false statement of material fact may include incarceration and an award to the City of treble! damages.

- D. It is the City's policy to make this document available to the public on its Internet site and/or upon i request. Some or all of the information provided in, and appended to, this EDS may be made publicly; available on the Internet, in response to a Freedom of Information Act request, or otherwise. By J completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the Cily in connection with the public release of information contained in this EDS and also authorizes the City lo verify the accuracy of any information submitted! in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing! Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must j update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter
- 1 -23, Article 1 (imposing PERMANENT INELIGIBILITY for certain specified offenses), the , infonnation provided herein regarding eligibility must be kept current for a longer period, as required 'by MCC Chapter 1 23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf ofthe Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

ign here) Humberto Huerta

(Print or type name of person signing) Managing Member (Print or type title of person signing)

Signed and sworn to before me on (date)

(~(<

 $CXr&l^{\ }County$, 71-

DAVID MEDELLIN Official Seal Notary Public - State of Illinois My Commission Expires Jan 24, 2020 -<v ^ 'v v

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the cily treasurer or any city! department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, i father-in-law, mother-in-law, son-in-law, daughler-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.;

"Applicable Party" means (1) all executive officers of the Disclosing Parly listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the ; Disclosing Party is a limited partnership; all managers, managing members and members of the \Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the , Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[J Yes
If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.'
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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B i
BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION
This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any < legal entity which has only an indirect ownership interest in the Applicant.
1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code \ scofflaw

or problem landlord pursuant to MCC Section 2-92-416?

[J Yes {/No i

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of 'the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?,

[]Yes |] No Ivf The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which! the pertinent code violations apply.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION;

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (wvvw.andcgal.com http://wvvw.andcgal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in] consideration for services, work, or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on , City premises. i

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that! the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. 1 also certify that the Applicant has adopted a policy that includes those prohibitions.:

K I

[] Yes : [] No i

f/N/A -1 am nol an Applicant that is a "contractor" as defined in MCC Section 2-92-385. i

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This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). j If you checked "no" to the above, please explain.

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