

## Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## **Legislation Text**

File #: O2021-454, V	ersion: 1			
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#### **ORDINANCE**

WHEREAS, the City of Chicago (the "City") is a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois authorized to exercise any power and perform any function pertaining to its government and affairs; and

WHEREAS, the Cook County Board of Commissioners has enacted the Cook County Tax Incentive Ordinance, Classification System for Assessment, as amended from time to time (the "County Ordinance"), which provides for, among other things, real estate tax incentives to property owners who build, rehabilitate, enhance and occupy property which is located within Cook County, Illinois and which is used primarily for industrial purposes; and

WHEREAS, the City, consistent with the County Ordinance, wishes to induce industry to locate and expand in the City by supporting financial incentives in the form of property tax relief; and

WHEREAS, NP Avenue O, LLC, a Missouri limited liability company (the "Applicant"), owns certain real

estate located generally at 3408 E. 118th Street - Building A, Chicago, Illinois, as further described on Exhibit A hereto (the "Subject Property"); and

WHEREAS, the Applicant will construct an approximately 299,000 square foot industrial facility on the Subject Property; and

WHEREAS, the redevelopment objective of the City in connection with the Subject Property is to support the retention of jobs and/or creation of new jobs and increase the City's tax base through the construction of a new industrial facility on the Subject Property; and

WHEREAS, it is intended that the Applicant will use the Subject Property for lease to one or more industrial tenants, and for ancillary warehouse and office space; and

WHEREAS, the Applicant has filed an eligibility application for a Class 6b tax incentive under the County Ordinance with the Office of the Assessor of Cook County (the "Assessor"); and

WHEREAS, the Subject Property is located within (i) the Chicago Empowerment Zone (created pursuant to Title XIII of the Omnibus Budget Reconciliation Act of 1993 (Public Law 103-66)), (ii) the City of Chicago Enterprise Zone No. 3 (created pursuant to the Illinois Enterprise Zone Act, 20 ILCS 665/1 et seq., as amended, and pursuant to an ordinance enacted by the City Council of the City, as amended), and (iii) the 116 th/Avenue O Redevelopment Project Area (created pursuant to the Illinois Tax Increment Allocation Redevelopment Act, 65 ILCS 5/11-74.4-1 et. seg., as amended, and pursuant to an ordinance enacted by the City Council of the City), and the purposes of the Chicago Empowerment Zone, Enterprise Zones and Redevelopment Project Areas are also to provide certain incentives in order to stimulate economic activity arid to revitalize depressed areas; and

WHEREAS, it is the responsibility of the Assessor to determine that an application for a Class 6b classification or renewal of a Class 6b classification is eligible pursuant to the County Ordinance, and

WHEREAS, the County Ordinance requires that, in connection with the filing of a Class 6b eligibility application with the Assessor, the applicant must obtain from the municipality in which such real estate that is proposed for Class 6b classification is located an ordinance expressly stating, among other things, that the municipality has determined that the incentive provided by the Class 6b classification is necessary for development to occur on such real estate and that the municipality supports and consents to the Class 6b classification by the Assessor; and

WHEREAS, the intended use of the Subject Property will provide significant present and future employment; and

WHEREAS, notwithstanding the Class 6b classification of the Subject Property, the redevelopment and utilization thereof will generate significant new revenues to the City in the form of additional real estate taxes and other tax revenues; now, therefore,

#### BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO:

SECTION 1: The above recitals are hereby expressly incorporated as if fully set forth herein.

SECTION 2: The City hereby determines that the incentive provided by the Class 6b classification is necessary for the development to occur on the Subject Property.

SECTION 3: The City supports and consents to the Class 6b classification by the Assessor with respect to the Subject Property.

SECTION 4: The Economic Disclosure Statement, as defined in the County Ordinance, has been received and filed by the City.

SECTION 5: The Clerk of the City of Chicago is authorized to and shall send a certified copy of this ordinance to the Assessor, and a certified copy of this ordinance may be included with the Class 6b eligibility application filed with the Assessor by the Applicant, as applicant, in accordance with the County Ordinance.

SECTION 6: This ordinance shall be effective immediately upon its passage and approval.

#### **EXHIBIT A**

### Legal Description of Subject Property:

See attached

#### Common Address for the Subject Property:

3408 E. 118th Street Chicago, Illinois

#### Permanent Real Estate Tax Index Number for the Subject Property:

	Se	ee att	tache	ed													
EXISTING CLASS	1-00 !	1-00	1-00	1-00	1-00	1-00	1-00		Exempt - City Owned Land to be acquired by Applicant in 2020		1-00	1-00	1-00	Exempt - City Owned Land to be acquired by Applicant in 2020	1-00	Owned Land to	Exempt - City Owned Land to be acquired by Applicant in 2020
TOWNS HIP	Hyde Par	kHyde Parl	kHyde Park	Hyde Parl	kHyde Parl	kHyde Parl	kHyde Par	kHyde Park	Hyde Parl	Hyde Park	Hyde Park						
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ADDRESS	3118th	118th	Street,	118th	118th	118th	118th	Street,	HSLh	Street,	Street, Chicago,						
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	Chicago,	Chicago,	Chicago,	Chicago,	Chicago,	Chicago,	Chicago,	Chicago,	60617	Chicago,	Chicago,	Chicago,	Chicago,	60617	Chicago,	60617	
	IL 60617	IL 60617	IL 60617	IL 60617	IL 60617	IL 60617	IL 60617	IL 60617		IL 60617	IL 60617	IL 60617	IL 60617		IL 60617		
PINS	26-19-206	626-19-206	326-19-206	26-19-206	326-19-206	26-19-206	26-19-206	26-19-206	26-19-206-001	26-19-206	26-19-206	326-19-206	326-19-206	626-29-206-025	26-19-206	26-19-206-023	26-19-206-022
	-021	-020	-019	-018	-048	-043	-042	-049		-046	-045	-044	-047		-024		

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## **BOUNDAR**

PART Oh SECTION 19. TOWNSHIP 37 NORTH, RANGE 15. EAST

THAI PART OF LOI 6 IN IHE DIVISION OF IHE NORTH 102 ACRES OF THE NORTHEAST QUARTER OF SECTION 19. TOWNSHIP 37 NORTH, RANGE 15. EAST OF IHE THIRD PRINCIPAL MERIDIAN DESCRIBED AS FOLLOWS. BEGINNING AT THE POINT OF INTER-BISCHOON OF THE EAST LINE OF SECTION 19 AND A LINE 123, 00 F2HT SOUTH OF AND PARALIA TO THE NORTH LINE OT THE NORTHEAST QUARTER OF SECTION 19. THE ACT THE A THE NORTHEAST QUARTER OF SECTION 19, THENCE SOUTH B9 DEGREES, 14 MINUTES. 15 SECONDS EAST ON THE LAST DESCRICED UNE. A DISTANCE OF 1355 33 FEET TO THE PWNT OF - BEGINNING. (EXCEPT THERETROU IHE EAST 65 00 FEET THEREOF).

ALSO, LOT 7 (EXCEPT THAT PART THEREOF LYING WEST OF THE EAST UNE OF THE SOUTHERLY PROJECTION OF THE EASTERLY BOUNDARY UNE OF PROPERTY CONVEYED AS ASSOCIATION THAN THAN INTERPORT HIS WAS A TO THE EAST ON HE AS TO SOUTHER. I FROM EAST HOUSE HE BOD SOUTHER HE SHOULD HE HE BOD SOUTHER HE HE WAS AND RECORDED AS DOCUMENT 13047028. SAID EASTERLY BOUNDARY LINE PROJECTED SOUTHERLY BEING COMMON WITH THE EASTERLY BOUNDARY LINE OF A PARCEL OF LAND CONNEYED BY DEFENSE PLANT CORPORATION TO SOUTH CHICAGO AND SOUTHERN RAILROAD COMPANY BY DEED DATED JUNE 2: 1946 AND RECORDED AS DOCUMENT 13047029 THE EAST ES OF THE THE FOR THE PARCEL OF LAND CONNEYED BY DEFENSE PLANT CORPORATION TO SOUTH CHICAGO AND SOUTHERN RAILROAD COMPANY BY DEED DATED JUNE 2: 1946 AND RECORDED AS DOCUMENT 13047020. AND EXCEPT THE EAST ES OF THE THE FOR THE PARCEL OF THE FOR THE PARCEL OF THE FOR THE PARCEL OF T

ALSO, THAT PART, IF ANY, OF THE NORTHEAST QUARTER OF SECTION 19, TOWNSHIP 37 NORTH, RANGE 15, EAST OF THE THIRD PRINCIPAL MERIDIAN, LYING NORTH OF THE NORTH NESO THE FAST WIND OF THE WORTHEAST QUARTER OF SECTION 18; DRINNING THE SOUTH HE SOU

ALSO, THAT PART, IF ANY, LYING NORTH OF A UNE PARALLEL TO AND 957,00 FEET NORTH OF THE SOUTH UNE OF THE NORTHEAST QUARTER OF SECTION 19. \ \text{TOWNSHIP 37 NORTH. RANCE 15. EAST OF THE THIRD PRINCIPAL MERIDIAN OF LOTS 1. 26, 27, 52 AND 53 IN UEA'S SUBDIVISION OF PARTS OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 19. TOWNSHIP 37 NORTH, RANGE 15, EAST OF THE THIRD PRINCIPAL MERIDIAN

- ALSO. THAT PART, IF ANY, OF THE FOLLOWING LYING NORTH OF A LINE PARALLEL TO ANO 957 00 FEET NORTH OF THE SOUTH UNE OF TIC NORTHEAST QUARTER OF SECTION 19. TOWNSHIP 37 NORTH. RANGE 15. EAST OF THE THIRD PRINCIPAL MERIDIAN:

  A) THE NORTH/SOUTH 16-FOOT ALLEY, LYING WEST OF AND ADJOINING THE WEST UNE OF LOTS 1 TO 8, BOTH INCLUSIVE, AND EAST OF AND ADJOINING THE EAST UNE OF LOTS 19 TO 28. BOTH INCLUSIVE IN MEA'S SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 19:

  B) THE NORTH/SOUTH 16-FOOT ALLEY, LYING WEST OF AND ADJOINING THE WEST UNE OF LOTS 27 TO 34 BOTH INCLUSIVE AND EAST OF AND ADJOINING THE EAST UNE OF LOTS 45 TO 52 BOTH INCLUSIVE IN UEA'S SUBDIVISION AFORESAID;

- C) THE EAST 8.00 FEET OF THE NORTH/ SOUTH 15-FDOT ALLEY, LYING WEST OF AND ADJOINING LOTS 53 TO 60. BOTH INCLUSIVE. IN UEA'S SUBDIVISION AFORESAID AND EAST OT AND ADJOINING LOTS 1 TO 12, BOTH INCLUSIVE IN BLOCK 1 IN INDIAN RIDGE SUBDIVISION, BONG A SUBDIVISION OF 40 ACRES IN THE SOUTHWEST CORNER OF THE NORTHEAST QUALTER OF SECTION 19 AFORESAID.
- GREEN BAY AVENUE, LYING WEST OF AND ADJOINING LOTS 19 TO 26, BOTH INCLUSIVE, AND EAST OF AND ADJOINING LOTS 27 TO 34, BOTH INCLUSIVE IN UEA'S SUBDIVISION AFFORESAID;

  E) MACKINAW AVENUE, LYING WEST OF AND ADJOINING LOTS 45 TO 52. BOTH INCLUSIVE: AND EAST OF AND ADJOINING LOTS 53 TO 60. BOTH INCLUSIVE IN UEA'S SUBDIVISION AFORESAID. ALL IN COOK COUNTY. BIJINOIS.

LOTS 1 TO 65. BOTH INCLUSIVE IN UEA'S SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 19. TOWNSHIP 37 NORTH. RANGE 15. EAST OF THE THIRD PRINCIPAL MERIDIAN, (EXCEPTING THEREFROM THOSE PARTS DESCRIBED AS FOLLOWS: THE EAST 32.00 FEET OF LOTS 1 TO 8, BOTH INCLUSIVE AND THAT PART C! LOT 8, DESCRIBED AS FOLLOWS: THE BAST 32.00 FEET OF LOTS 1 TO 8, BOTH INCLUSIVE AND THAT PART C! LOT 8, DESCRIBED AS FOLLOWS: BEGINNING AT A POINT ON THE SOUTH UNE OF LOT 8 DISTANT 80.00 FEET WEST OF (AS MEASURED AT RIGHT ANGLES TO) THE EAST UNE OF SECTION 19. TOWNSHIP 37 NORTH, RANGE 15. EAST OF THE THIRD PRINCIPAL MERIDIAN; THENCE NORTHEASTERLY ALONG A STRAIGHT LINE A DISTANCE OF 21/213 FEET TO A PONT, LYING 15 00 FEET NORMALLY DISTANT WEST OF THE AFORESAID EAST UNE OF SECTION 19. THENCE SOUTH PARALLEL WITH THE SAID EAST UNE, A DISTANCE OF 15.00 FEET TO A POINT ON THE SOUTH UNE OF AFORESAID LOT 8. THENCE WEST ALONG SAID SOUTH LOT UNE A DISTANCE OF 15 FEET TO THE POINT OF BEGINNING;

ALSO, EXCEPT THE EAST 32.00 FEET OF LOTS 9 TO 13. INCLUSIVE AND THAT PART OF LOT 9. DESCRIBED AS FOLLOWS, BEGINNING AT A POINT ON THE NORTH UNE OF SAID LOT 9 DISTANT 65.00 FEET WEST OF (AS MEASURED AT RIGHT ANGLES TO) THE EAST UNE OF SECTION 19. TOWNSHIP 37 NORTH, RANCE 15. EAST CITHE THIRD PRINCIPAL MERIDIAN. THENCE WEST ALLONG THE SAID NORTH UNE OF LOT 9A, DISTANCE OF 15 FEET TO A POINT. THENCE SOUTHERITY ALDONG A TRAIGHT UNE A DISTANCE OF 213 FEET TO A POINT. THENCE SOUTHERITY ALDONG A STRAIGHT UNE A DISTANCE OF 213 FEET TO A POINT. THENCE SOUTHERITY ADDITION THAT WEST OF THE EAST UNE OF SAID SECTION 19. THENCE MOST THE PARALLE UNITY THE SAID EAST UNE A DISTANCE OF 15.00 FEET TO THE POINT OF BEGINNING IN USE'S SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 19, TOWN SHIP 37 NORTH, RANGE 15, EAST CITHE THIRD PRINCIPAL MERIDIAN.

(AND ALSO EXCEPTING THAT PART. IF ANY, LYING NORTH OF A UNE PARALLEL TO AND 957.00 FEET NORTH OF THE SOUTH UNE OF SAID NORTHEAST QUARTER OF SAID LOTS 1. 26. 27. 52 AND 53).

ALSO, ALL THAT PART OF THE NORTH/SOUTH 16-FOOT VACATED ALLEY, LYING WEST OF AND ADJOINING THE WEST UNE OF LOTS 35 TO 39, BOTH INCLUSIVE, AND EAST CFAND ADJOINING THE EAST UNE OF LOTS 40 TO 44, BOTH INCLUSIVE IN LEAS SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 19. TOWNSHIP 37 NORTH, RANGE 15, EAST CTHEF HIRD PRINCIPAL MERIDIAN.

AB THAT PART OF THE NORTH/ SOUTH 15-FOOT VACATED ALLEY. LYING WEST CF AND ADJOINING THE WEST UNE CF LOTS 61 TO 66 BOTH INCLUSIVE IN UEA'S SUBDIVISION MENTIONED, AND EAST OF AND ADJOINING THE EAST UNE OF LOTS 1 TO 6. BOTH INCLUSIVE: AND THE NORTH 1BOO FEET OF LOT 7 ALL IN BLOCK 12 OF INDIAN RIDGE VISION OF PART OF THE NORTHEAST QUARTER OF SECTION 19. TOWNSHIP 97 NORTH, RANGE 15, EAST OF THE THIRD PRINCIPAL MERIDIAN,

AR THAT PART OF VACATED UACKINAW AVENUE. LYINC WEST Cf AND ADJACENT TO LOTS 40 TO 44. BOTH INCLUSIVE AND EAST Cf AND ADJACENT THE EAST UNE Cf LOTS

61 TO 6S, BOTH INCLUSIVE IN UEA'S SUBDIVISION AFORESAID; ALSO, ALL THAT PART OF VACATED GREEN BAY AVENUE. LYING WEST OF AND ADJOINING THE WEST UNE OF LOTS 14 TO 18, BOTH INCLUSIVE AND LYING EAST OF AND ADJOINING THE EAST LINE OF LOTS 35 TO 39. BOTH INCLUSIVE ALL IN LEAS SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SECTION 19. TOWNSHIP JI NORTH, RANGE 15, EAST OF THE THIRD PRINCIPAL MERIDIAN.

ALSO, ALL OF THE NORTH/SOUTH 16-FOOT VACATED ALLEY. LYING WEST CF AND ADJOINING THE WEST UNE OF LOTS 9 TO 13. BOTH INCLUSIVE. AND LYING EAST OF AND ADJOINING THE EAST UNE OF LOTS 14 TO 18. BOTH INCLUSIVE IN MEA'S SUBDIVISION AFORESAID. As IN COOK COUNTY. ILLINOIS

## SURVEY

'HE THIRD PRINCIPAL MERIDIAN, IN COOK COUNTY, ILLINOIS

#### PARCEL 3

(G) LOTS 1 TO 24 IN BLOCK 2. AND

(C) LOTS 3. 5 TO 6. 15 TO IB. 19 (EXCEPT SOUTH 8 00 FEET). 29 TO 33. 42 TO 46 AND THE SOUTH HALF OF LOT 47 IN BLOCK 11, LOTS I TO 24. BOTH INCLUSIVE, IN BLOCK 12 ALL IN INDIAN RIDGE SUDDIWSJON OF PART OF THE NORTHEAST QUARTER OF SECTION 19. FOWHSWP 37 NORTH. RANGE 15. EAST OF THE THIRD PRINCIPAL UE RID I AN,

ANO. LOTS 1 TO 5 IN RESUBDIVISION OF THE SOUIH 8 00 FEET OF LOI 19, ALL OF LOTS 20 TO 24 IN BLOCK 11 IN INDIAN RIDGE SUBDIVISION OF PART OF IHE NORTHEAST QUARTER OF SECTION 19, TOWNSHIP 37 LIDRING AND ELECTOR THAIR PART DESCRIBED AS FOLLOWS BEGINNING ALA POINT ON THE WEST LINE OF SAID LOT 9, A DISTANCE OF 23.00 FEET SOUTH OF THE NORTH IN EOF SAID LOT THE NORTH OF SAID LOT THE NOR PART AND SISSANCE OF 700 FEET ON HE SOUTH 60 THE WEST LINE OF SAID LOT, HENCE CAST. A DISTANCE OF 700 FEET ON HE SOUTH 60 OF EET ON HE WEST LINE OF SAID LOT, HENCE SOUTHWESTERLY TO THE FOWN OF BEGINNING, AS DEDICATED FOR ALLEY BY DOCUMENT 11279600).

AND, ALL OF LOTS 10 TO 14, BOTH INCLUSIVE. LOT 34 (EXCEPT THE SOUTH 16 00 FEET OF AND THAI PART DE SCRIFT RED AS FOLLOWS. BEGINNING AT THE NORTHEAST CORNER OF SAID LOT, THENCE SOUTH ON THE EAST UNE. OF SAID LOT TO THE NORHIH UNE OF HIS SOUTH 16 00 FEET OF SAID LOT, THENCE WEST ON THE NORTH UNE CITHE SOUTH 16.00 FEET OT SAID LOT. A DISTANCE OF 7 00 FEET AND THENCE NORTHEASTERLY TO THE POINT OF BEGINNING AS DEDICATED FOR ALLEY BY DOCUMENT 11279600).

AND. ALL OF LOTS 35 TO 41. BOTH INCLUSIVE IN BLOCK 11 IN INDIAN RIDGE SUBDIVISION. BEING A SUBDIVISION OF 40 ACRES IN THE SOUTHWEST CORNER OF THE NORTHEAST QUARTER OF SECTION 19, TOWN SHIP 37 NORTH. RANGE 13. EAST OF THE THIRD PRINCIPAL MERIDIAN. IN COOK COUNTY. ILLINOS.
ALSO, ALL THAT PART OF THE NORTH SOUTH 14-FOOT VACATED ALLEY. LYING WEST OF AND ADJOINING THE WEST UNE OF LOTS 11 TO 14. BOTH INCLUSIVE: AND LYING EAST OF AND ADJOINING THE EAST UNE OF LOTS 35 TO 38. BOTH INCLUSIVE.

ALSO, A TRIANGULAR PART OF THE NORTH/SOUTH 14-FOOT VACATED ALLEY, LYING WEST OF AND ADJOINING THE WEST UNE OF LOT 10 DESCRIBED AS FOLLOWS: BEGINNING AT THE SOUTH UNEST CORNER OF SAID LOT 10; PRODUCED WEST. THENCE NORTHEASTERLY ON A STRAIGHT UNE TO THE WEST LINE OF SAID LOT 10 A POINT 4 OF DEET NORTH OF TIC SOUTH WEST CORNER OF SAID LOT 10 AND THENCE SOUTH 4.00 FEET TO THE POINT OF BEGINNING, ALL IN BLOCK 11 OF INDIAN RIDGE SUBDIVISION OF PART OF THE NORTHEAST QUARTER OF SECTION 19, TOWNSHIP 37 NORTH, RANGE 15 EAST OF THE THIRD PRINCIPAL MERIDIAN; f ALSO, ALL THAT PART OF THE NORTH/SOUTH 7-FOOT VAC AI ED ALLEY. LYING EAST OF THE SOUTH 7.00 FEET OF LOT 7 AND LOTS 8 TO 24. BOTH INCLUSIVE IN BLOCK 12 Cf INDIAN RIDGE

Office of the City Clerk Printed on 5/7/2022

SUBDIVISION AFOREMENTIONED, ALL IN MEA'S SUBDIVISION AFOREMENTIONED, ALL IN COOK COUNTY, 111 INOIS

#### PARCEL 4.

THE EAST 880.00 FEET OF THE SOUTH 462.00 FEET (EXCEPTING THERETROU THE SOUTH 33 00 FEET THEREOF DEDICATED FOR EAST 118TH STREET AND ALSO EXCEPTING THEREFROM THE EAST 33.00 FEET THEREOF DEDICATED FOR SOUTH AVENUE "0") OF THE SOUTHEAST QUARTER OF THE NOR TIC AST QUARTER OF SECTION 19. TOWNSHIP 37 NORTH. RANGE 15. EAST OF THE THIRD PRINCIPAL MERIDAN, (EXCEPT THEREFROM THE WEST 32 00 FEET OF THE SOHE EAST 800 FEET OF THE SOUTH FACS 00 FEET OF THE SOUTH FACS 00

EXCEPTING FROM PARCELS 1 AND 2 THE FOLLOWING DESCRIBED PROPERTIES. UORE PARTICULARLY DESCRIBED AS FOLLOWS: THAT PART OF THE NORTHEAST QUARTER OF SECTION 19 LIVING SOUTH OF A LIVING SOUTH FOR SOUTH OF AND PARALLEL TO HE NOTE THE NOTE THE NOTE OF THE CENTERULE OF SOUTH MACKING A VENUE EXTENDED NORTH, ALSO. THAT PART OF LOT 6 IN DISSISTED OF THE NORTH 102 ACRES OF THE NORTH-HAST QUARTER OF SOUTH MACKING A VENUE EXTENDED NORTH, ALSO. THAT PART OF LOT 6 IN DISSISTED OF THE NORTH-102 ACRES OF THE NORTH-HAST QUARTER OF SECTION 19. LYING EAST OF THE CENTERULE OF SOUTH OF A WARD WAS USED. THE NORTH-LIVING THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND PARALLEL TO THE NORTH-LIVING OF THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A THE NORTH-HAST QUARTER OF SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF A WARD AND LYING WEST OF THE SOUTH OF NORTHEAST QUARTER OF SAID SECTION 19;

THAT PART OF LOT 7 IN DIVISION OF THE NORTH 102 ACRES OF THE NORTHEAST QUARTER OF SECTION 19. LYING EAST OF THE CENTERUNE CF VACATED SOUTH MACKINAW AVENUE EXTENDED NORTH AND LYING WEST OF THE FAST 65 00 FFET OF THE NORTHEAST QUARTER OF SAID SECTION 19

ALSO. THAT PART OF THE NORTHEAST QUARTER OF SECTION. LYING SOUTH OF THE SOUTH UNE OF SAID LOT 7 IN DIVISION OF THE NORTH 102 ACRES OF THE NORTHEAST QUARTER
OF SAO SECTION 19. LYING NORTH OF THE NORTH UNE OF MEA'S SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF THE NORTHEAST QUARTER OF SAID SECTION 19. LYING CLISAC SECTION 15. CHING NOW THE OF THE NORTH DIVE OF MEAS SUBDIVISION OF THE NORTH OF THE SOUTHERS I QUANTER OF THE NORTHERS I QUANTER OF SOUTH HIS CRIMINAL OF THE NORTHERS IN CONTROL OF THE NORTHERS OF TH WEST OF THE EAST 65.00 FEET OF THE NORTHEAST QUARTER OF SAID SECTION 19. AND LYING EAST OF THE CENTERING OF SOUTH LACKINAW AVENUE EXTENDED NORTH;
ASSO THAT PRAT OF LOTS I THROUGH 8 IN SAID LEAS SUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF SAID SECTION 19. LYING WEST OF THE EAST
32.00 FEET THEREOF AND EXCEPTING THAT PART OF SAID LOT 8 DESCRIBED AS BEGINNING AT A POINT ON THE SOUTH HUR CILD 18 DISTANT 80.00 FEET WEST OF (AS MEASURED AT RIGHT
ANCLES TO) THE EAST HUR CO SAID SECTION 19. THENCE NORTHEASTERLY ALONG A STRAIGHT WAR AD STANCE OF 21.10 FEET TO A POINT LYING 15.00 FEET TO ROWALLY DISTANT NORTH OF
THE AFORESAID SOUTH LINE OF LOT SAID SECTION 19. THENCE NORTHEASTERLY LADING AS THE AFORESAID EAST HUR A DISTANCE OF 19. THENCE SOUTH PARALLEL WITH THE SAIO EAST HUR A
DISTANCE OF 10.50 FEET TO A POINT ON THE POINT OF BEGINNING;
THE POINT OF BEGINNING;

LOTS 19 THROUGH 26 IN SAID UEA'S SUBDIVISION. ALSO. THE VACATED 16 FOOT WIDE NORTH SOUTH ALLEY ADJACENT TO SAID LOTS I THROUGH 8 AND LOTS 19 THROUGH 26; ALSO. VACATED SOUTH GREEN BAY AVENUE LYING NORTH OF THE NORTH UNE OF EAST 117TH STREET AND LYING SOUTH OF THE NORTH UNE OF SAID UEA'S SUBDIVISION: ALSO, LOTS 27 THROUGH 34 AND LOTS 45 THROUGH 52 IN SAID MEA'S SUBDIVISION; ALSO, THE NORTH/SOUTH 16 FOOT WIDE VACATED ALLEY ADJACENT TO SAID LOTS 27 THROUGH 34 AND LOTS 45 THROUGH 52, ALSO, THE EAST HALF OF VACATED SOUTH UACKINAW AVENUE LYING NORTH OF THE NORTH UNE OF SAID EAST 117TH STREET AND LYING SOUTH OF THE NORTH UNE CF SAID UEAS SUBDIVISION,

UNE CT SAID UEAS SUBDIVISION.
ALL IN TOWNSHIP 37 NORTH, RANGE 15. EAST OF THE THIRD PRINCIPAL MERIDIAN, MORE PARTICULARLY DESCRIBED AS FOLLOWS
COMMENCING AT A POINT ON THE EAST UNE OF THE NORTHEAST QUARTER OF SECTION 19 AFORESSAID, DISTANT SOUTH 00 DECREES, 14 MINUTES, 59 SECONDS WEST 1283,00 FEET FROM
THE NORTHEAST CORNER OF THE NORTHEAST QUARTER OF SAID SECTION 19, THENCE NORTHE 80 DECREES, 14 MINUTES, 15 SECONDS WEST 55 50 FEET TO THE POINT OF BEGINNING;
THENCE SOUTH 00 DECREES, 14 MINUTES, 59 SECONDS WEST ALONG THE EXISTING WISST UNE OF AVENUE. 19, A DISTANCE OF 88 27 FEET TO A POMT, THENCE SOUTH 45 DEGREES, 31
MINUTES, 15 SECONDOS WEST 21, 01 FEET TO A POINT THE MOOTH UNE OF AUGULE AT STREET, THENCE FOR THE 80 DEGREES, 12 MINUTES, 49 SECONDS WEST 575 93 SECTION OF SETS TO A POINT
IN THE CENTERUNE CI SAID VACATED SOUTH UNCKNAW AVENUE. THENCE NORTH 00 DECREE. 14 UNIVIES, 59 SECONDS EAST ALONG SAID CENTERUNE AND THE NORTH EXTENSION OF SAID
CENTERUNE 701,02 FEET TO A POINT IN THE SOUTH UNE CI SAID EAST 116TH STREET. THENCE SOUTH 89 DECREES. 14 MINUTES. 15 SECONDS EAST 594,03 FEET TO THE POINT OF SAID
CENTERUNE 701,02 FEET TO A POINT IN THE OSUTH UNE CI SAID EAST 116TH STREET. THENCE SOUTH 89 DECREES. 14 MINUTES.

AND.
THE EAST 178.00 FEET OF THE FOLLOWING DESCRIBED TRACT. THAT PART OF THE EAST HALF OF THE NORTHEAST QUARTER CI SECTION 19. TOWNSHP 37 NORTH. RANCE 15 EAST OF THE THIRD PRINCIPAL MERIDIAN. LYING NORTH OF THE NORTH UNE CI 117TH STREET, SOUTH OF THE SOUTH LINE CI 116TH STREET AND WEST OF THE CENTERUNE OF MACKINAW AVENUE EXTENDED NORTHERLY. ALL IN COOK COUNTY, ILUNOIS

SURVEYOR'S CERTIFICATE

STATE OF ILLINOIS )

)SS

I. TIMOTHY G. WOLFE. AN ILLINOIS PROFESSIONAL LAND SURVEYOR. DO\* HEREBY CERTIFY THAT 1 HAVE PREPARED A BOUNDARY SURVEY OF THE PROPERTY DESCRIBED ABOVE AND THAT THE SURVEY SHOWN HEREON IS A CORRECT REPRESENTATION OF SAID SURVEY. THE FIELD WORK WAS COMPLETED ON MARCH 25. 2020. THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY.

f CV 35-00353b . -/ PROFESSIONAL ( I AND SURVEYOR | I - STATE OF UJNHS f

Timothy G Wolfe Jacob & Hefner Associates, Inc Illinois Professional Land Surveyor No 035-003535 jacobandhefner com My License Expires November 30, 2020 Survey No

Ordered By NORTHPOINT DEVELOPMENT

Description: BOUNDARY SURVEY UARCH 25. 2020 Date Prepared:

#### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: NP

Avenue 0, LLC

### **Check ONE of the following three boxes:**

File #: 02021 454 Version: 1					
File #: O2021-454, Version: 1					
Indicate whether the Disclosing  1. [x] the Applicant OR 2. [] a legal entity currently 2. the contract, transaction of 2. "Matter"), a direct or indi 2. name: OR 3. [] a legal entity with a different the legal name of the entity in w	holding, or or other under rect interest	anticipated to hertaking to which in excess of 7.5	old within sh this EDS 5% in the Apontrol of the	pertains (refer pplicant. State e Applicant (s	erred to below as the the Applicant's legal
B. Business address ofthe Discl	osing Party:				
		Rive	side, MO 641	50	
C. Telephone: <a href="mailto:tgeorge@northpointkc.com">tgeorge@northpointkc.com</a>	(630)	258-5299	Fax:	Email:	tgeorge@northpointkc.con
D. Name of contact person: Tom	George				
E. Federal Employer Identificat	tion No. (if y	ou have one):			
F. Brief description of the Matter if applicable):	r to which th	nis EDS pertain	s. (Include	project numbe	er and location of property
Application for Class 6b for the	property loc	eated generally a	nt Avenue C	and 1 17 <sup>th</sup> Str	reet
G. Which City agency or depart	ment is requ	esting this EDS	S? Departme	ent of Plannin	g and Development
If the Matter is a contract being he complete the following:	nandled by tl	ne City's Depart	ment of Pro	ocurement Ser	vices, please
Specification U		and Contra	act#		
Ver.2018-1		Paget of 15			
SECTION II DISCLOSURE	E OF OWN	ERSHIP INTE	RESTS		
A. NATURE OF THE DISCLO	SING PART	ΓΥ			

] Person
] Publicly registered business corporation ] Privately held business corporation ] Sole proprietorship ] General
partnership ] Limited partnership ] Trust
[x] Limited liability company
[ ] Limited liability partnership

File #: O2021-454, Vers	sion: 1				
[] Joint venture [] Not-for-profit corp (Is the not-for-profit c [] Yes []					
2. For legal entities, t	he state (or foreign c	country) of incorpo	oration or organizat	ion, if applicable: Missou	ri
3. For legal entities not the State of Illinois as	_	tate of Illinois: Has	s the organization re	egistered to do business	in
[x] Yes	[ ] No	[] Organi	zed in Illinois		
B. IF THE DISCLOS	ING PARTY IS A L	EGAL ENTITY:			
(ii) for not-for-profit of write "no members wheevecutor, administrate companies, limited lia	corporations, all men hich are legal entities or, or similarly situate ability partnerships of	nbers, if any, whices"); (iii) for trusts, ed party; (iv) for ger joint ventures, ear	th are legal entities ( estates or other sime general or limited particles, ach general partner,	ers and all directors of the (if there are no such mentilar entities, the trustee, partnerships, limited liabil managing member, managy management of the Ap	nbers, lity nager or
NOTE: Each legal ent	tity listed below mus	st submit an EDS c	on its own behalf.		
Name Title NPD Management, LLC M	lanager				
current or prospective	(i.e. within 6 month ant. Examples of suc	s after City action) ch an interest inclu	) beneficial interest ide shares in a corpo	ity having a direct or ind (including ownership) in oration, partnership inter-	n excess
Page 2 of 15					
limited liability comp	pany, or interest of a	beneficiary of a tr	ust, estate or other s	similar entity. If none, st	ate
NOTE: Each legal en	tity listed below may	y be required to su	ıbmit an EDS on its	own behalf.	
Name NH35-FDC Chicago. LLC	Business Addr	ess venue S Ste. 550. Minne	_	terest in the Applicant 81.7979% (Direct)	

File #: O2021-454, Version: 1		
NP Partners 2018. LLC  4825 NW 41st Street. Suite 550. Minneapolis. MN 55435 6600 France Avenue S., Ste. 550 Richard M. Schulze Revocable Trust u/a dated June 14. 2001 Minneapolis, MN 55435 Richard M. Schulze (an Individual) 6600 France Avenue S., Ste. 550, Minneapolis. MN 55435 SECTION III - INCOME OR COMPENSATION TO, OR OWNE OFFICIALS	),	2.1730% (Direct)  CITY ELECTED
Has the Disclosing Party provided any income or compensation to any City of 12-month period preceding the date of this EDS?	elected official	l during the [x ] No
Does the Disclosing Party reasonably expect to provide any income or comperence elected official during the 12-month period following the date of this EDS?	•	City [x] No
If "yes" to either of the above, please identify below the name(s) of such City such income or compensation:	elected offici	al(s) and describe
Does any City elected official or, to the best of the Disclosing Party's knowled City elected official's spouse or domestic partner, have a financial interest (as Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes $[x]$ No If "yes," please identify below the name(s) of such City elected official(s)	s defined in Cl	napter 2-156 of the
(s) and describe the financial interest(s).		
SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHE	R RETAINE	D PARTIES
The Disclosing Party must disclose the name and business address of each sudefined in MCC Chapter 2-156), accountant, consultant and any other person Party has retained or expects to retain in connection with the Matter, as well and the total amount of the fees paid or estimated to be paid. The Disclosing employees who are paid solely through the Disclosing Party's regular payroll uncertain whether a disclosure is required under this Section, the Disclosing whether disclosure is required or make the disclosure.	or entity who as the nature of Parly is not real. If the Disclosure	m the Disclosing fthe relationship, quired to disclose sing Party is
Page 3 of 15 retained or anticipated to be retained)		

DLA Piper LLP - retained

Jacob & Hefner Associates. Inc.

Address (subcontractor, attorney, lobbyist, etc.)

444 West Lake Street, Suite

File #: O2021-454, Version: 1
900. Chicago. IL 60606 Attorney 1333 Butterfield Rd. Suite 300, Downers Grove. IL 60515 paid of estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response. \$20.000.00 - estimated
(Add sheets if necessary)
[x] Check here ifthe Disclosing Party has not retained, nor expects to retain, any such persons or entities.
SECTION V CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

#### **B. FURTHER CERTIFICATIONS**

[] No

[]Yes

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, ifthe Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 of!5

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Dcbarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33 E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be

hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

Page 7 of 15

If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[ JYes [x]No

NOTE: Ifyou checked "Yes" to Item D(l), proceed to Items D(2) and D(3). Ifyou checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[JYes [JNo

3. Ifyou checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

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4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing' Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

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will be conclusively presumed	regins on the lines above, or ifthe letters "NA" or if the word "None" appear, it that the Disclosing Party means that NO persons or entities registered under the 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party
any person or entity listed i	s not spent and will not expend any federally appropriated funds to pay in paragraph A(l) above for his or her lobbying activities or to pay any e or attempt to influence an officer or employee of any agency, as defined a member of Congress, an officer or employee of Congress, or an employee Page 9 of 15
funded grant or loan, entering	onnection with the award of any federally funded contract, making any federally into any cooperative agreement, or to extend, continue, renew, amend, or modify grant, loan, or cooperative agreement.
	rill submit an updated certification at the end of each calendar quarter in which materially affects the accuracy of the statements and information set forth in ve.
of the Internal Revenue Code of Revenue Code of 1986 but has	ertifies that either: (i) it is not an organization described in section 501(c)(4) of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal s not engaged and will not engage in "Lobbying Activities," as that term is osure Act of 1995, as amended.
substance to paragraphs A(l) the Disclosing Party must main	s the Applicant, the Disclosing Party must obtain certifications equal in form and arough A(4) above from all subcontractors before it awards any subcontract and nationall such subcontractors' certifications for the duration of the Matter and must ptly available to the City upon request.
B. CERTIFICATION REGAR	DING EQUAL EMPLOYMENT OPPORTUNITY
	ally funded, federal regulations require the Applicant and all proposed e following information with their bids or in writing at the outset of
Is the Disclosing Party the App	plicant?
[] Yes	] No
If "Yes," answer the three ques	stions below:
regulations? (See 41 CFR Part	o you have on file affirmative action programs pursuant to applicable federal 60-2.)  ] No

he Joint Repo	orting Committee, the Director of the Office of Federal Contract
r the Equal E	mployment Opportunity Commission all reports due under the applicable
[ ] No	[] Reports not required
d in any previ	ous contracts or subcontracts subject to the equal
[ ]No	
uestion (1) or	(2) above, please provide an explanation:
1	r the Equal E

#### **SECTION VII -**

File #: O2021-454, Version: 1

#### - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

File #: O2021-454. Version	): 1	1
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E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected infonnation)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Application for Class 6b for the property located This recertification is being submitted in connection with QeneraHy at Avenue O and 117th Street ("Building A") [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffinns its acknowledgments. Date:

NP Avenue O, LLC
(Print or type legal name of Disclosing Party)
By: N\*P^ fTva^(.p?r\{1 LLC, fh m^oa^
(sign here)

Print or type name of signatory:

Title of signatory:

Signed and sworn tabefore me on [date] /1/3)2-O by /fe/^Wr/, at . piQtit. County, /ftllfu^/

Notary Public.



A 1 \*\* IMITI IITH IMITI ITH IMITI ITH IMITI HEATHER R. PFENDER Notary Public - Notary Seal Clay County - State of Missouri Commission Number 15632521 My Commission Expires Feb 24, 2023



Commission expires:

Ver. 11-01-05

#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

NP Avenue O, LLC
By: NPD Management, LLC, its Manager

(Print or type exact legal name of Disclosing Party)

By:
(Sign here) 1/

(Print or type name of person sigffing)

(Print or type title of person signing)

Signed and sworn to before me on (date)

at T^IU County, VI m / (ptate).

Notary Public Commission expires:

It, I B III> ft lit
HEATHER R. PFENDER Notary Public - Notary Seal Clay County - State .of Missouri Commission Number 15633521 A My Commission Expires Feb

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[ ]Yes [x]No	
If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to who such person is connected; (3) the name and title of the elected city official or department head to whom superson has a familial relationship, and (4) the precise nature of such familial relationship.	
Page 13 of 15	
CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B	
BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION	
This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.	ty
1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflavor problem landlord pursuant to MCC Section 2-92-416?	V
[ ]Yes [x]No	
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applican identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?	ıt
[] Yes [] No [x] The Applicant is not publicly traded on any exchange.	
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertince code violations apply.	ent



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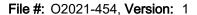
# CITY OF CHICACO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.am <a href="http://www.am">http://www.am</a> 1 egal.coirt), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes
[ ] No
[x] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This
certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no"
to the above, please explain.



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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I -- GENERAL INFORMATION

A. Legal name ofthe Disclosing Party submitting this EDS. Include d/b/a/ if applicable: NPD Management, LLC

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name: '\_
- 3. [x] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

NP Avenue O. LLC

B. Business address of the Disclosing Party: 4825 NW4lst Street, Suite 500

Riverside, MO 64150

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C. Telephone: (630) 258-5299	fax:	Email: tgeorge@northpointkc com
D. Name of contact person: Tom C	deorge	
E. Federal Employer Identification	ı No. (ifyou hav	ve one):
F. Brief description of the Matter to if applicable):	which this ED	OS pertains. (Include project number and location of property,
Application for Class 6b for the proper	ty located gener	rally at Avenue O and I I 7th Street
G. Which City agency or departme	nt is requesting	g this EDS? Department of Planning and Development
If the Matter is a contract being hand complete the following:	dled by the Cit	y's Department of Procurement Services, please
Specification #	C	and Contract ft
Ver.2018-1	Pan	ne 1 ofl5
SECTION II - DISCLOSURE O	F OWNERSH	IIP INTERESTS
A. NATURE OF THE DISCLOSIN	NG PARTY	
		1. Indicate the nature of the Disclosing Pi
[ ] Person J Publicly registered business corp [ ] Privately held business corporate [ 1 Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust •ty:  [ x] Limited liability company [ ] Limited liability partnership [ J Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation a [ ] Yes   ] No [ ] Other	also a 501(c)(3)	

- 2. , For legal entities, the state (or foreign country) of incorporation or organization, if applicable: Missouri
- 3. For legal entities not organized in the State of Illinois: Has the organization registered to do business in the State of Illinois as a foreign entity?

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[ 1 Yes	[x] No	[] Organized in Illinois
B. IF THE DISCLOS	ING PARTY IS A L	EGAL ENTITY:
(ii) for not-for-profit write "no members w executor, administrate companies, limited lia	corporations, all men hich are legal entities or, or similarly situate ability partnerships or	Capplicable, of: (i) all executive officers and all directors of the entity obers, if any, which are legal entities (if there are no such members, s"); (iii) for trusts, estates or other similar entities, the trustee, ed party; (iv) for general or limited partnerships, limited liability r joint ventures, each general partner, managing member, manager or ly or indirectly controls the day-to-day management of the Applicant.
NOTE: Each legal en	tity listed below mus	et submit an EDS on its own behalf.
Name Title Nathaniel H	lagedorn Manager	
Chad Meyer Manager		
current or prospective excess of 7.5% of the	e (i.e. within 6 month Applicant. Examples	on concerning each person or legal entity having a direct or indirect, is after City action) beneficial interest (including ownership) in sof such an interest include shares in a corporation, partnership interest of a member or manager in a
Page 2 of 15		
limited liability comp	eany, or interest of a b	beneficiary of a trust, estate or other similar entity. If none, state
NOTE: Each legal en	tity listed below may	be required to submit an EDS on its own behalf.

Name Business Address Percentage Interest in the Applicant

NH35-FDC Chicago, LLC 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435 81.7979% (Direct)

<u>NP Partners 2018, LLC</u> <u>4825 NW41st Street, Suite 550, Minneapolis, MN 55435</u> <u>12 1730% (Direct)</u>

Richard M. Schulze Revocable Trust u/a dated June 14, 2001

Richard M. Schulze (an Individual) 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435

# SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CM Y ELECT ED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

[] Yes fx] No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City

elected official during the 12-month period following the date of this EDS? [] Yes

fx] No

If "yes" to either ofthe above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [x]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business retained or anticipated Address to be retained)

Relationship to Disclosing Party (subcontractor, attorney, lobbyist, etc.)

Fees (indicate whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.

(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V -- CERTIFICATIONS**

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain

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in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS

# 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills,

designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

I

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article 1 for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will nol, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

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12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry/the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

#### None

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
- "We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be

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conclusively presumed t	hat the Disclosing Party certifi	ed to the above statements.
D. CERTIFICATION R	EGARDING FINANCIAL IN	TEREST IN CITY BUSINESS
Any words or terms defi	ned in MCC Chapter 2-156 ha	ve the same meanings if used in this Part D.
reasonable inquiry, does		e best ofthe Disclosing Party's knowledge after e City have a financial interest in his or her own name or
[ ]Yes [x]No		
NOTE: If you checked skip Items D(2) and D(3	· · · · · · · · · · · · · · · · · · ·	Items D(2) and D(3). Ifyou checked "No" to Item D(1),
employee shall have a fi the purchase of any prop by virtue of legal proces	nancial interest in his or her overty that (i) belongs to the City s at the suit of the City (collect	dding, or otherwise permitted, no City elected official or vn name or in the name of any other person or entity in y, or (ii) is sold for taxes or assessments, or (iii) is sold cively, "City Property Sale"). Compensation for property es not constitute a financial interest within the meaning of
Does the Matter involve	a City Property Sale?	
[]Yes	[ ] No	
		names and business addresses of the City officials or he nature of the financial interest:
Name	Business Address	Nature of Financial Interest
4. The Disclosing Party any City official or empl	*	bited financial interest in the Matter will be acquired by

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all infonnation required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the

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City.

- \_x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally

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_	•	cooperative agreement, or to extend, continue, renew, amend, or modify oan, or cooperative agreement.
	at materially a	it an updated certification at the end of each calendar quarter in which affects the accuracy of the statements and information set forth in
Internal Revenue Code of	f 1986; or (ii) at has not eng	hat either: (i) it is not an organization described in section 501(c)(4) of the it is an organization described in section 501(c)(4) of the Internal aged and will not engage in "Lobbying Activities," as that term is et of 1995, as amended.
and substance to paragrap and the Disclosing Party	ohs A(l) throu must maintain	oplicant, the Disclosing Party must obtain certifications equal in form $gh\ A(4)$ above from all subcontractors before it awards any subcontract $h$ all such subcontractors' certifications for the duration of the Matter and $h$ available to the City upon request.
B. CERTIFICATION RE	GARDING E	EQUAL EMPLOYMENT OPPORTUNITY
	•	ed, federal regulations require the Applicant and all proposed wing information with their bids or in writing at the outset of
Is the Disclosing Party the	e Applicant?	
[] Yes	[ ] No	
If "Yes," answer the three	questions be	low:
1. Have you developed a regulations? (See 41 CFR		ve on file affirmative action programs pursuant to applicable federal
<u> </u>	-	rting Committee, the Director of the Office of Federal Contract inployment Opportunity Commission all reports due under the applicable
[] Yes	[] No	[] Reports not required
3. Have you participated opportunity clause?	in any previo	ous contracts or subcontracts subject to the equal
[] Yes	[j No	

Ifyou checked "No" to question (1) or (2) above, please provide an explanation:

#### FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected infonnation)

#### RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

Application for Class 6b for the property located This recertification is being submitted in connection with generally at Avenue O and 117th Street ("Building A") [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as of this recertification, and (3) reaffirms its acknowledgments.

NPD Management, LL
--------------------

Date: //^.D

Nathaniel Hagedom, Manager

HEATHER R. PFENDER Notary Public- Notary Seal jClay County - State of Missouri commission Number 15633521 My Commission Expires Feb 24 2023

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as of the date furnished to the City.

NPD Management, LLC
(Print or type exact legal name of Disclosing Party)

By:

(Sign here) ^

(Print or type name of person signing)

(Print or type title of person signing)

Signed and sworn to before me on (date)

at County, MO : . (state).

Notary Public Commission expires:

TI II mm. HEATHER R. PFENDER Notary Public - Notary Seal Clay County - State of Missouri Commission Number 15633521 My commlsslon: Expires Feb 24 2023

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or

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stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

# BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes [x] No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the

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Applicant identified as	a building code sco	fflaw or problem landlord pursuant to MCC Section 2-92-416?
[] Yes	[ ] No	[ x] The Applicant is not publicly traded on any exchange.
• ( )	· 1	Ty below the name of each person or legal entity identified as a

building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

# PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>), generally covers a party to any agreement pursuant'to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the

Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[ JYes

[ ]No

[x] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" to the above, please explain.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

## **SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: NH35-FDC Chicago, LLC

# **Check ONE of the following three boxes:**

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<ol> <li>the contract, transaction or other</li> <li>"Matter"), a direct or indirect into</li> <li>name: NP Avenue O. LLC OR</li> </ol>	g, or anticipated to hold undertaking to which the erest in excess of 7.5% in the indirect right of controls.	within six months after City action on is EDS pertains (referred to below as the n the Applicant. State the Applicant's legal of the Applicant (see Section 11(B)(1)) State a right of control:
B. Business address of the Disclosing Pa	arty: 6600 France Ave	enue S., Ste. 550.
<u> </u>	Minneapolis,	
		- 44 14 0 4
C. <u>Telephone</u> : 952-324-8905 Fa	ax: 952-324-8999	Email: kevinb@olympusventures.com
<pre><mailto:kevinb@olympusventures.com></mailto:kevinb@olympusventures.com></pre>	<u>&gt;</u>	
D. Name of contact person: Kevin S. B	Bergman	
E. Federal Employer Identification No.	(ifyou have one):	
F. Brief description of the Matter to whit if applicable):	ch this EDS pertains. (In	nclude project number and location of property,
Application for Class 6b for the properly	located generally at Av	venue O and 117 <sup>th</sup> Street
G. Which City agency or department is	requesting this EDS? D	epartment of Planning and Development
If the Matter is a contract being handled complete the following:	by the City's Departmen	nt of Procurement Services, please
Specification #	and Contract #	‡
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SECTION II - - DISCLOSURE OF OWNERSHIP IN	NTERESTS	
A. NATURE OF THE DISCLOSING P	ARTY	
Indicate the nature of the Disclosin     Person     Publicly registered business corporation	[x]	

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[ ] Privately held business corporation [ ] Sole proprietorship [ ] General partnership [ ] Limited partnership [ ] Trust	[ ] [ ] (Is
Limited liability company Limited liabil Not-for-profit corporation he not-for-profit corporation also a 501( [] Yes [] No Other (please speci	c)(3))?
2. For legal entities, the state (or foreign	n country) of incorporation or organization, if applicable: Delaware
3. For legal entities not organized in the the State of Illinois as a foreign entity?	State of Illinois: Has the organization registered to do business in
[] Yes [x] No	[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A	LEGAL ENTITY:

1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

# Name Title

NPD Management. LLC

Richard M Schulze

President of the Disclosing party

Kevin S. Bergman

Vice President and Secretary of the Disclosing Party

Joseph S. Langel

Vice President and Treasurer of the Disclosing Party

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state

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"None."	

Name Business Address Percentage Interest in the Applicant

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

<u>NH35-FDC Chicago, LLC</u> 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435 81.7979% (Direct)

NP Partners 2018, LLC 4825 NW41st Street, Suite 550, Minneapolis, MN 55435 12/1730% (Direr.n.

6600 France Avenue S., Ste. 550,

Richard M. Schulze Revocable Trust u/a dated

Minneapolis, MN 55435

Richard M. Schulze (an Individual) 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435

# SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to	any City elected official	l during the
12-month period preceding the date of this EDS?	[] Yes	[x] No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No.

If "yes" to either ofthe above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? []Yes [x]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

## SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether Business Relationship to Disclosing Party retained or anticipated to be retained)  Address (subcontractor, attorney, lobbyist, etc.)	Fees (indicate-whether paid or estimated.) NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)	
[x] Check here ifthe Disclosing Party has not retained, nor expects	to retain, any such persons or entities.
SECTION V CERTIFICATIONS	
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE	
Under MCC Section 2-92-415, substantial owners of business entities t in compliance with their child support obligations throughout the contra	•
Has any person who directly or indirectly owns 10% or more of the Dison any child support obligations by any Illinois court of competent juri	
[] Yes [x] No [] No person directly or indirectly owns 10% or mo	ore of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for paperson in compliance with that agreement?	ayment of all support owed and is the
[] Yes [] No	

# **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient.

None

# C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the

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loss ofthe privileg	of doing business with the City."	
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	Party is unable to make this pledge because it or any of its affiliates (as defined in 1 b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (a necessary):	
	the word "None," or no response appears on the lines above, it will be med that the Disclosing Party certified to the above statements.	
D. CERTIFICAT	ON REGARDING FINANCIAL INTEREST IN CITY BUSINESS	
Any words or terr	s defined in MCC Chapter 2-156 have the same meanings if used in this Part D.	
reasonable inquiry	with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after does any official or employee of the City have a financial interest in his or her own namother person or entity in the Matter?	ne or
[]Yes	[x] No	
	ked "Yes" to Item $D(l)$ , proceed to Items $D(2)$ and $D(3)$ . Ifyou checked "No" to Item $D(d)$ and proceed to Part E.	1),
employee shall ha the purchase of an by virtue of legal 1	suant to a process of competitive bidding, or otherwise permitted, no City elected official re a financial interest in his or her own name or in the name of any other person or entity property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold rocess at the suit of the City (collectively, "City Property Sale"). Compensation for propine City's eminent domain power does not constitute a financial interest within the meaning	y in old perty
Does the Matter in	volve a City Property Sale?	
[] Yes	[ ] No	
2 72 1 1		1

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

## A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party

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with respect to the Matter.)
2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1  Page 9 of 15
of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(l) and A(2) above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset onegotiations.
Is the Disclosing Party the Applicant? [] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [] Yes  [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?  [] Yes  f   No [  Reports not required]

3. Have you participated opportunity clause?	l in any previous contracts or subcontracts subject to the equa	1
If you checked "No" to q	uestion (1) or (2) above, please provide an explanation:	
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		)\

# SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the

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contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

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# **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf ol'the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

NH35-FDC Chicago, LLC
(Print or type exact legal name of Disclosing Party)
(Print or type title of person signing)

Signed and sworn to before me on (date)

County,

**Notary Public** 

**Commission expires:** 

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson'or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

APPENDIX B
BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION
This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.
1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[ ] Yes [x] No
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
[] Yes [] No [x] The Applicant is not publicly traded on any exchange.
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes
[ ]No
[x] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This
certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). Ifyou checked "no"
to the above, please explain.

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# CITY OF CHICACO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

### SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: NP Partners 2018, LLC

# **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant

OR

2. [x J a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal

name: NP Avenue O, LLC

OR

- 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Parly holds a right of control:
- B. Business address of the Disclosing Party: 4825 NW41st Street, Suite 500

Riverside, MO 64150

C. Telephone: (630) 258-5299 p<sub>ax:</sub> Email: tgeorge@northpointkc.com

D. Name of contact person: To<sup>TM</sup> George

- E. Federal Employer Identification No. (ifyou have one;
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

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A pp lication for Class	s 6b forthe property l	located .generally at Avenue O and I 17 <sup>th</sup> Street		
G. Which City agency	or department is rec	questing this EDS? Department of Planning and Development		
If the Matter is a contra complete the following	•	the City's Department of Procurement Services, please		
Specification//		and Contract #		
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SECTION II ~ DISC	LOSURE OF OWN	NERSHIP INTERESTS		
A. NATURE OF THE	DISCLOSING PAR	RTY		
partnership [ ] Limited [x] Limited liability co   ] Limited liability par ( ] Joint venture [ ] Not-for-profit corpo (Is the not-for-profit co	partnership [] Trustompany tnership pration	(c)(3))?		
2. For legal entities, th	ne state (or foreign co	ountry) of incorporation or organization, if applicable: Missouri		
3. For legal entities no the State of Illinois as	_	ate of Illinois: Has the organization registered to do business in		
[ J Yes	[x] No	[ j Organized in Illinois		
B. IF THE DISCLOSI	NG PARTY IS A LI	EGAL ENTITY:		
entity; (ii) for not-for-p members, write "no mo trustee, executor, admi liability companies, lin	profit corporations, a embers which are leg nistrator, or similarly nited liability partner	Capplicable, of: (i) all executive officers and all directors of the all members, if any, which are legal entities (if there are no such gal entities"): (iii) for trusts, estates or other similar entities, the y situated party; (iv) for general or limited partnerships, limited riships or joint ventures, each general partner, managing member, that directly or indirectly controls the day-to-day management of the		

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Applicant.

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Name Title NPD Management, LLC

Manager of the Applicant

2. Please provide the following information concerning each person or legal entity having a direct or indirect, current or prospective (i.e. within 6 months alter City action) beneficial interest (including ownership) in excess of 7.5% ofthe Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, state "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

Name Business Address Percentage Interest in the Applicant

NH35-FDC Chicago, LLC 6600 France Avenue S , Ste. 550. Minneapolis, MN 55435 81.7979% (Direct)

NP Partners 2018, LLC 4825 NW41st Street, Suite 550, Minneapolis, MN 55435 12 1730% (Direct) \_

Richard M. Schulze (an Individual) 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435

# SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

[JYes [xJNo]

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [J Yes [x] No

If "yes" to either ofthe above, please identify below the name(s) of such City elected official(s) and describe such income or compensation:

Does any City elected official or, to the best ofthe Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 ofthe Municipal Code of Chicago ("MCC")) in the Disclosing Party? [JYes [xJNo

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

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SECTION IV DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.
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Name (indicate whether Business Relationship to Disclosing Party retained or anticipated Address (subcontractor, attorney, to be retained)  Relationship to Disclosing Party Fees (indicate whether paid or estimated.) NOTE:  "hourly rate" or "t.b.d." is not an acceptable response.
(Add sheets if necessary)
[x] Check here ifthe Disclosing Party has not retained, nor expects to retain, any such persons or entities
SECTION V CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [x] No [] No person directly or indirectly owns 10% or more ofthe Disclosing Party.

# B. FURTHER CERTIFICATIONS

[] No

[]Yes

person in compliance with that agreement?

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the of fenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;

- any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
- any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
- any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee ofthe Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official ofthe Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United

States Department of Commerce, State, or Treasury, or any successor federal agency.

- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLYJ The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has nol provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any ofthe above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and

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having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [xl No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

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2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit ofthe City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes |] No

3. Ifyou checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

**Business Address** 

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

# SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Vcr.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and

must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant?
[] Yes [] No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)  [] Yes  [] No
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?
[] Yes [] No [] Reports not required
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?
[ JYes [ ]No
If you checked "No" to question (1) or (2) above, please provide an explanation:

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### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this CDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.citvofchicagd.org/Ethics <a href="http://www.citvofchicagd.org/Ethics">http://www.citvofchicagd.org/Ethics</a>,

and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

### Page II of 15

(DO NOT SUBMIT THIS PAGE WITH YOUR EDS. The purpose of this page is for you to recertify your EDS prior to submission to City Council or on the date of closing. If unable to recertify truthfully, the Disclosing Party must complete a new EDS with correct or corrected infonnation)

# RECERTIFICATION

Generally, for use with City Council matters. Not for City procurements unless requested.

NP Partners 2018, LLC

By: NPD Management, LLC, its manager

By:

Application for Class 6b for the property located This recertification is being submitted in connection with generally at Avenue o and 117th Street ("Building A") [identify the Matter]. Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS

recertification on behalf of the Disclosing Party, (2) warrants that all certifications and statements contained in the Disclosing Party's original EDS are true, accurate and complete as of the date furnished to the City and continue to be true, accurate and complete as ofthe date of this recertification, and (3) reaffirms its acknowledgments.

Nathaniel Hagedwn, Manager

Date: ll? ^O

Signed and sworn to, before me on [dafc]- $^{\wedge}$  fl .r. 3 -  $^{\sim}$ Z  $^{\circ}$  , by  $'/aJ^{\wedge}/x$  *KMnAs,-* , *at. ft, H< County. ff*\\ *D W* Notary Public.

Commission expires:

Ver. 11-01-05

### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

NP Partners 2018. LLC

By: NPD Management, LLC, its Manager

(Print or type exact legal name of Disclosing Party)

(Print or type name of person signing) (Print or type title of person signing)

Signed and sworn to before me on (date)

HEATHER R. PFENDER Notary Public - Notary Seal Clay County - State of Missouri Commission Number 15631521 My Commission Expires Feb 24, 2023 Commission expires:

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[]Yes [x]No		
such person is connec	eted; (3) the name and	ne and title of such person, (2) the name of the legal entity to which d title of the elected city official or department head to whom such ne precise nature of such familial relationship.
Page 13 of 15		
	CITY OF CH	HICACO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B
BUILD	OING CODE SCOFF	LAW/PROBLEM LANDLORD CERTIFICATION
	the Applicant exceeding	a) the Applicant, and (b) any legal entity which has a direct ng 7.5% (an "Owner"). It is not to be completed by any legal entity est in the Applicant.
1. Pursuant to MCC sor problem landlord pro		the Applicant or any Owner identified as a building code scofflaw on 2-92-416?
[]Yes [x'JNo		
		traded on any exchange, is any officer or director of the Applicant oblem landlord pursuant to MCC Section 2-92-416?
[ JYes	[ JNo	[x] The Applicant is not publicly traded on any exchange.

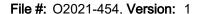
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3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a

code violations apply.

Office of the City Clerk

building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent



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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

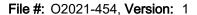
## PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this HDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amleual.com <a href="http://www.amleual.com">http://www.amleual.com</a>), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. 1 also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes[JNo

[x] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" to the above, please explain.



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### CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### **SECTION I - GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ il" applicable: Richard M Schulze Revocable Trust u/a dated June 14, 2001, as amended

#### **Check ONE of the following three boxes:**

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [ | the Applicant OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

3. [ $_{X}J$  a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

NP Avenue O. LLC

B. Business address of the Disclosing Party: 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435

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C. <u>Telephone: 952-324-8900</u> <mailto:kevinb@olvmpusventures< th=""><th><u>Fax: 952-324-8</u> s.com&gt;</th><th>8999 <u>Email: kevinb@olvmpusventures.com</u></th></mailto:kevinb@olvmpusventures<>	<u>Fax: 952-324-8</u> s.com>	8999 <u>Email: kevinb@olvmpusventures.com</u>	
D. Name of contact person: Richa	rd M. Schulze		
E. Federal Employer Identification	n No. (ifyou have on	ne):	
F. Brief description of the Matter t i f appl icable):	o which this EDS pe	ertains. (Include project number and location of property,	
Application for Class 6b for the pr	roperty located gener	erally at Avenue O and 1J 7lh Street_	
G. Which City agency or departm	ent is requesting this	s EDS'? Department of Planning and Development	
If the Matter is a contract being has complete the following:	ndled by the City's D	Department of Procurement Services, please	
Specification #_	and (	Contract ti	
Ver.2018-1	Page lot	t 15	
SECTION II - DISCLOSURE O	OF OWNERSHIP I	INTERESTS	
A. NATURE OF THE DISCLOS	ING PARTY		
General partnership [   Limited par	poration [ ] Privately	n y held business corporation [ ] Sole proprietorship [ ]	
•ty:  [   Limited liability company [ ] Limited liability partnership [ ] Joint venture [ ] Not-for-profit corporation (Is the not-for-profit corporation [ ] Yes [ ] No [ ] Other	` / ` //		
· · ·	in the State of Illino	ncorporation or organization, if applicable: Florida ois: Has the organization registered to do business in	
[] Yes [x] N	[] C	Organized in Illinois	

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B. IF THE DISCLOSING PARTY IS	S A LEGAL ENTITY:	
entity; (ii) for not-for-profit corporation members, write "no members which trustee, executor, administrator, or similability companies, limited liability profits to the companies of the companies of the corporation of the companies of the com	les, if applicable, of: (i) all executive officers and all directors of the ions, all members, if any, which are legal entities (if there are no such arc legal entities"); (iii) for trusts, estates or other similar entities, the milarly situated party; (iv) for general or limited partnerships, limited partnerships or joint ventures, each general partner, managing member, entity that directly or indirectly controls the day-to-day management of the	
NOTE: Each legal entity listed below	w must submit an EDS on its own behalf.	
Name Title		
NPD Management. LLC	Manager of trie Applicant	
Richard M Schulze	Trustee of the Disclosing Party	
	mation concerning each person or legal entity having a direct or indirect, nonths after City action) beneficial interest (including ownership) in	

excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a

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limited liability company, or interest of a beneficiary of a trust, estate or other similar entity. If none, stale "None."

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

**Business Address** Percentage Interest in the Applicant Name NH35-FDC Chicago. LLC 6600 France Avenue S., Ste. 550. Minneapolis. MN 55435 81.7979% (Direct) NP Partners 2018, LLC 4825 NW41st Street. Suite 550. Minneapolis. MN 55435 12 1730% (Direct) Richard M Schulze Revocable Trust u/a dated June 14. 2001 Richard M. Schulze (an Individual) 6600 France Avenue S., Ste. 550, Minneapolis, MN 55435

#### SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECI ED **OFFICIALS**

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the dale of this EDS? [ ] Yes [x]No

Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS? [] Yes [x] No

If "yes" to either ofthe above, please identify below the name(s) of such City elected oflicial(s) and describe such income or compensation:

Does any City elected official or, to the best ofthe Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party? [ JYes [x]No

If "yes," please identify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner (s) and describe the financial interest(s).

#### SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

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(Add sheets if necessary)

[x] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.

#### **SECTION V - CERTIFICATIONS**

#### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

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Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?			
[] Yes [x] No [] No person directly or indirectly owns 10% or more of the Disclosing Party.			
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?			
[] Yes [] No			
B. FURTHER CERTIFICATIONS			

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section 11(B)(1) of this EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- cl. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and

- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
  - the Disclosing Party;
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5) (Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not. without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part 13 (Further Certifications), the Disclosing Party must explain below:

None

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

None

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [x] is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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If the Disclosing Party is unable to make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or

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in the name ofany	other person or entity in the Matte	r?
[] Yes	[x] No	
	cked "Yes" to Item D(l), proceed to nd D(3) and proceed to Part E.	o Items D(2) and D(3). Ifyou checked "No" to Item D(l),
employee shall ha the purchase of ar by virtue of legal	eve a financial interest in his or her ny property that (i) belongs to the C process at the suit of the City (colle	bidding, or otherwise permitted, no City elected official or own name or in the name of any other person or entity in City, or (ii) is sold for taxes or assessments, or (iii) is sold ectively, "City Property Sale"). Compensation for property loes not constitute a financial interest within the meaning
Does the Matter in	nvolve a City Property Sale?	
[] Yes	[ ] No	
		e names and business addresses of the City officials or y the nature of the financial interest:
Name	Business Address	Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check either (1) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- \_x 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
  - 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the

Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

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5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.		
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY		
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.		
Is the Disclosing Party the Applicant? [] Yes [] No		
If "Yes," answer the three questions below:		
<ol> <li>Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)</li> <li>[] Yes</li> <li>[] No</li> </ol>		
2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?		
[] Yes [] No [] Reports not required		
3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?		
[] Yes [] No		

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#### SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

If you checked "No" to question (1) or (2) above, please provide an explanation:

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics <a href="http://www.cityofchicago.org/Ethics">http://www.cityofchicago.org/Ethics</a>, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also, authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1 -23 and Section 2-154-020.

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#### **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Richard M. Schulze Revocable Trust u/a dated June 14, 2001, as amended >e exact legal name affliselosing Party)

File #: O2021-454, Version: 1  (Sign here) Richard M. Schulze					
					(Print
Mgning)					
Trustee (Print or t	type title of pers	on signing)			
Signed and sy	worn to before me on	(date) November 3,2020			
at	County,	(state).			
Notar	y Public				
Comn	nission expires:				

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### CTTY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

## FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 1.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[] Yes [x] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

#### BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

1.1	ne Applicant exceed	(a) the Applicant, and (b) any legal entity which has a direct ing 7.5% (an "Owner"). It is not to be completed by any legal entity est in the Applicant.
1. Pursuant to MCC S or problem landlord pu	·	the Applicant or any Owner identified as a building code scofflawtion 2-92-416?
[ ] Yes [x]No		
11		y traded on any exchange, is any officer or director of the Applicant roblem landlord pursuant to MCC Section 2-92-416?
[ JYes	[ ] No	[x] The Applicant is not publicly traded on any exchange.
3. If yes to (1) or (2) ab	oove, please identify	below the name of each person or legal entity identified as a

building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent

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code violations apply.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com <a href="http://www.amlegal.com">http://www.amlegal.com</a>). generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes

[ JNo

[x] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1). If you checked "no" to the above, please explain.