

Office of the City Clerk

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Legislation Text

File #: O2022-667, Version: 1

OFFICE OF THE MAYOR
CITY OF CHICAGO

LORI E. LIGHTFOOT

February 23, 2022

TO THE HONORABLE, THE CITY COUNCIL OF THE CITY OF CHICAGO

Ladies and Gentlemen:

At the request of the Commissioner of Housing, I transmit herewith an ordinance authorizing the transfer of unused tax-exempt bond volume cap to the Chicago Housing Authority.

Your favorable consideration of this ordinance will be appreciated.

ORDINANCE

WHEREAS, the City of Chicago (the "City"), a home rule unit of government under Section 6(a), Article VII of the 1970 Constitution of the State of Illinois, has heretofore found and does hereby find that there exists within the City a serious shortage of decent, safe and sanitary rental housing available to persons of low and moderate income; and

WHEREAS, the City has determined that there exists within the City a serious shortage of decent, safe and sanitary rental housing available for persons of low and moderate income and that the continuance of a

shortage of affordable rental housing is harmful to the health, prosperity, economic stability and general welfare of the City; and

WHEREAS, pursuant to Section 146 of the Internal Revenue Code of 1986, as amended, the City, as a constitutional home rule city, is allocated a certain amount of volume cap per calendar year in connection with the issuance of tax-exempt bonds by the City; and

WHEREAS, the Illinois Private Activity Bond Allocation Act, 30 ILCS 345/1 et seg., as amended, provides, among other things, that the corporate authorities of any home rule unit may reallocate all or any portion of its unused allocation of volume cap; and

WHEREAS, the City has available unused volume cap from calendar year 2022 ("2022 Cap"); and

WHEREAS, .the Chicago Housing Authority, an Illinois municipal corporation under the Housing Authorities Act, as amended (310 ILCS 10/1 et seq.) (the "CHA"), desires to issue up to \$90,000,000 of tax-exempt multi-family housing revenue bonds (the "Bonds") to finance a portion ofthe costs of rehabilitation and equipping of an affordable housing development located at 3030 West 21st Place (Albany Terrace Apartments) and 3700 West Congress Parkway (Irene McCoy Gaines Apartments) (collectively, the "Property"), which consists of approximately 500 residential rental dwelling units for low-income senior families (the "Project") to be owned by Albany Gaines Housing Development LLC, an Illinois limited liability company (the "Owner"), the managing member of which will be Albany Gaines Senior Housing LLC, a to be formed Illinois limited liability company, the sole member of which will be Chicago Housing Administration LLC, an Illinois limited liability company, the sole member of which will be the CHA (provided, management of the Owner may be vested in a special member of the Owner controlled by an affiliate of the CHA's selected development partner), and has been advised by its bond counsel that all or a portion of the Bonds requires the allocation of volume cap; and

WHEREAS, the CHA has requested that the City reallocate a portion of its 2022 Cap, if available, pursuant to an intergovernmental agreement between the City and the CHA for that purpose; and

WHEREAS, it is anticipated that (i) the Owner will be eligible to claim low income housing tax credits (the "Tax Credits") pursuant to Section 42 of the Internal Revenue Code of 1986, in connection with the Project, and (ii) the Tax Credits for the Project will be administered by the

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City's Department of Housing, and

WHEREAS, this ordinance now sets forth the request for City Council's authorization of the reallocation to the CHA of a portion of its unused 2022 Cap in 2022, if available; now, therefore,

BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF CHICAGO AS FOLLOWS:

SECTION 1. The above recitals are expressly incorporated in and made a part of this ordinance as though fully set forth herein

SECTION 2. The City hereby authorizes the Chief Financial Officer (as defined in Section 1-4-090(k) of the Municipal Code of Chicago (the "Municipal Code")) to reallocate, effective on the date of issuance of the Bonds, up to \$90,000,000 of the City's 2022 Cap (the "2022 Reallocation") for application by the CHA to the Bonds; provided that if the Bonds are not issued as of or prior to 11:00 P.M. on December 31, 2022, the 2022 Reallocation shall not be made. The

exact amount of the 2022 Reallocation shall be determined by the Chief Financial Officer based on the best interests of the City and on the amount of 2022 Cap available at the time of the 2022 Reallocation. Any compensation paid to the City shall be used for any affordable housing-related activities of the City. After the 2022 Reallocation has been made, the Chief Financial Officer shall file a certificate with the City Clerk stating the amount of 2022 Cap, if applicable, reallocated to the CHA pursuant to this ordinance.

SECTION 3. The Chief Financial Officer is hereby authorized, subject to the approval of the Corporation Counsel, to enter into such agreements and other documents, including agreements with the CHA, in connection with the 2022 Reallocation as shall be deemed necessary or desirable by the Chief Financial Officer.

SECTION 4. To the extent that any ordinance, resolution, rule, order or provision of the Municipal Code, or part thereof, is in conflict with the provisions of this ordinance, the provisions of this ordinance shall control. If any section, paragraph, clause or provision of this ordinance shall be held invalid, the invalidity of such section, paragraph, clause or provision shall not affect any of the other provisions of this ordinance. Section 2-45-110 of the Municipal Code shall not apply to the Project or the Property.

SECTION 5. This ordinance shall be effective as of the date of its passage and approval.

Office of the City Clerk

The following pages were submitted to the Office of the Cily Clerk as part of this legislative document. The pages are not viewable on the public website or other public reports because they contain personal information not suitable for publication. The pages are considered a redacted portion of the entire legislative document.

ATTACHMENT

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Cm OF CHICAGO ECONOMIC DISCI.OSI UK STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Michaels

Lifting Lives 2021, LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. [] the Applicant
 - OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR

3. a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

Albany Gaines Housing Development LLC

- B. Business address of the Disclosing Party: 2 Cooper Street Camden, NJ 08102
- C. <u>Telephone: (856) 596-300</u>
 Fax. (856) 988-5817 EmaH; jodonncll@tmo.com jodonncll@tmo.com
- D. Name of contact person: John J- O'Po"TM",
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Request for volume cap and low-income housing tax credits for the redevelopment of Albany Terrace (3030 W 21st Place Chicago, IL 60623) and Irene McCoy Gaines (3700 W. Congress Pkwy, Chicago, IL 60624)

G. Which Cily agency or department is requesting this EDS'? Department of Housing

File #: O2022-667, Version: 1 If the Matter is a contract being handled by the City's Department of Procurement Services, please complete the following:		
SECTION II - DISCLOSU	JRE OK OWNERSHIP	INTERESTS
A. NATURE OF THE DI	SCLOSING PARTY	
I. Indicate the nature of [] Person [] Publicly registered bus [] Privately held business [j Sole proprietorship [j General partnership [j Limited partnership [] Trust	-	 [xj Limited liability company] Limited liability partnership [] Joint venture [j Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))? (j Yes [j No) j Other (please specify)
2. For legal entities, the st Jersey	ate (or foreign country) o	of incorporation or organization, if applicable: New
3. For legal entities not or the State of Illinois as a fo	=	linois: Has the organization registered to do business in
[] Yes	No	[J Organized in Illinois
B. IF THE DISCLOSING	PARTY IS A LEGAL E	ENTITY:
entity; (ti) for not-for-prof members, write "no members trustee, executor, administ liability companies, limite	it corporations, all members which are legal entition rator, or similarly situated liability partnerships of	ble, of: (i) all executive officers and all directors of the pers, if any, which are legal entities (if there are no such les"); (iii) for trusts, estates or other similar entities, the ed party; (iv) for general or limited partnerships, limited r joint ventures, each general partner, managing member, ectly or indirectly controls the day-to-day management of the
NOTE: Each legal entity l	isted below must submit	an EDS on its own behalf.

Name Title

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John J. O'Donnell		Managing Member	
Mark Morgan Member doc-Pvrrcetl; Kimberlce Schreiber		M-cmber- Member	
current or prospective (i e. of 7.5% of the Applicant.	within 6 months after City act	ach person or legal entity having a direction) beneficial interest (including owner clude shares in a corporation, partnershinger in a	eiship) in excess
Ver :i)\s. \			
limited liability company, "None."	or interest of a beneficiary of a	a trust, estate or other similar enlity. If r	none, state
NOTE: Each legal entity l	isted below may be required to	submit an l:DS on its own behalf.	
Name John J. O'Donnell 2 Coop	Business Address per Street. Camden, NJ OS 102	. Percentage Interest in the Ap	plicant
Mark Morgan 2 Cooper 'ToeTurceirTCVJ%'	Street, Camden, NJ 08 1 02	13%	
""KTmberlee SeTireTFelr	'''2X'oo ieT'Street, CamdeTr~r	\TJlJ8201 6%	
SECTTON III INCO OFFICIALS	OME OR COMPENSATION	N TO, OR OWNERSHIP BY, CIT	TY ELECTED
Has the Disclosing Party p 12-month period preceding	•	nsation to any City elected official duri [] Yes No	ng the
• •	reasonably expect to provide a	any income or compensation to any City date of this EDS? [] Yes	/ [X] No
If "yes" to either ofthe abo such income or compensat	• •	ame(s) of such City elected official(s) a	and describe
Docs any City elected office	cial or, to the best ofthe Disclo	sing Party's knowledge after reasonable)
inquiry, any City elected o	fficial's spouse or domestic pa	rtner, have a financial interest (as define	ed in

Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

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[] Yes	[X No
	dentify below the name(s) of such City elected official(s) and/or spouse(s)/domestic partner the financial interest(s).
SECTION IV -	DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES
defined in MCC Party has retained and the total amo employees who a uncertain whether	Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing d or expects to retain in connection with the Matter, as well as tlie nature of the relationship, but of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose are paid solely through the Disclosing Party's regular payroll. II the Disclosing Party is a disclosure is required under this Section, the Disclosing Party must either ask the City re is required or make the disclosure.
PiiL-c 3 of 15	
Relationship to D	whether Business retained or anticipated Address to be retained) Disclosing Party (subcontractor, attorney, lobbyist, etc.) heihei; paid or estimated.) NOTE: "hourly rate" or "t.b.d." is e response.
(Add sheets if ne	ecessary)
m Check here if	fthe Disclosing Party has not retained, nor expects to retain, any such persons or entities.
SECTION V - C	CERTIFICATIONS
A. COURT-ORI	DERED CHILD SUPPORT COMPLIANCE
	tion 2-92-415, substantial owners of business entities that contract with the City must remain the their child support obligations throughout the contract's term.
• •	who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage port obligations by any Illinois court of competent jurisdiction?
[] Yes [^j No	[] No person directly or indirectly owns 10% or more ofthe Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS
1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Parly nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by ihe Illinois Department of Revenue.
l-'Li'ji: 4 of I 5
3. The Disclosing Parly and. ii die Disclosing Parly is a legal enlilv. all of those persons or entities identified in Section II(B)(I) of this LDS.
a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, State or

b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of

records; making false statements; or receiving stolen property;

local) with committing any of the offenses set forth in subparagraph (b) above;

- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it. or, with the Contractor, is undei' common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Parly, any Contractor or any Affiliated Entity, acting pursuant to (he direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Enlity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor. nor any Affiliated Enlity of either the Disclosing Paity or any Contractor, nor any Agents have, during the 5 years before the date oflhis EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract oc engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency ofthe federal government or ofany state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of. or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies lo the Applicant, that Article's permanent compliance time frame supersedes 5-year compliance time frames in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM")
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to (hose in Certifications (2) and (9) above and will noi, without the prior written consent of the City, use any such Ver 201 N-1

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contractor/subcontractor dial does noL provide such cerlil'ications or lhat (lie Applicant has reason to believe has not provided or cannot provide truthful certifications.

1 1. If the Disclosing Party is unable to certify to any of the above, statements in this Part B (Further Certifications), the Disclosing Party must explain below: 'N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Parly who were, at any time during the 12-month period preceding the date of this F.DS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").
- 13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" docs not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is m is not

- a "financial institution" as defined m MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is. and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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II' the Disclosing Parly is unable lo make Ihis pledge because il or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of VICC Chapter 2-32, explain here (attach additional pages if necessary):

nc lines above, it will be bove statements.
N CITY BUSINESS
e meanings if used in this Part D.
e Disclosing Party's knowledge after a financial interest in his or her own name or
and D(3). If you checked "No" to Item D(l),
therwise permitted, no City elected official or in the name of any other person or entity in old for taxes or assessments, or (iii) is sold by operty Sale"). Compensation for property tute a financial interest within the meaning of
d business addresses ofthe City officials of the financial interest:
Nature of Financial Interest
ot

4. The Disclosing Parly further certifies that no prohibited financial interest m the Matter will be acquired by any Cily official or employee.

E. CERTIEICA HON REGARDING SLAVERY ERA BUSINESS

Please check either (!) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- ^A I. The Disclosing Pai ty verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following const itutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded,..proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf ofthe Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay

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any person or entity listed m paragraph A(l) above for his or her lobbying activities or to pay any
person or entity to inlluence. or attempt to influence an officer or employee of any agency, as defined
by applicable federal law. a member of Congress, an officer or employee of Congress, or an employee
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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded giant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant; loan, or cooperative agreement.
3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.
B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY
If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.
Is the Disclosing Party the Applicant? []Yes [>5No
If "Yes," answer the three questions below:
1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.) [] Yes [] No
2. ITave you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable

[] Reports not required

[] No

filing requirements?
[] Yes

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3.	Have you participated in any previous contracts or subcontracts subject to the equ	ual
op	portunity clause'?	

$$[\]^{\mathrm{Ye}}$$
« $[\ \mathrm{J}\,\mathrm{No}\,$

If you checked "No" to question (1) or (2) above, please provide an explanation:

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SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Paity understands and agrees thai:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the .Applicant and the City m connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicauo.org/Ethics http://www.cityofchicauo.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of In formation Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being

handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Michaels Lifting Lives 2021, LLC (Print or type

exact legal name of Disclosing Party)

(Sign~fTeTe)

John J. O'Donnell (Print or type name of

person signing)

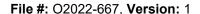
Managing Member (Print or type title of

person signing)

Signed and sworn to before me on (date) /^Lr^tvj <Pj 2^o~z_Z

at CAMDEN County, Afry^CTwy (state).

CATHERINE A FREAS NOTARY PUBLIC OF NEW JERSEY COMMISSION NUMBER 2331007 COMMISSION EXPIRES 6/30/2025



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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELEC TED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is lo he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

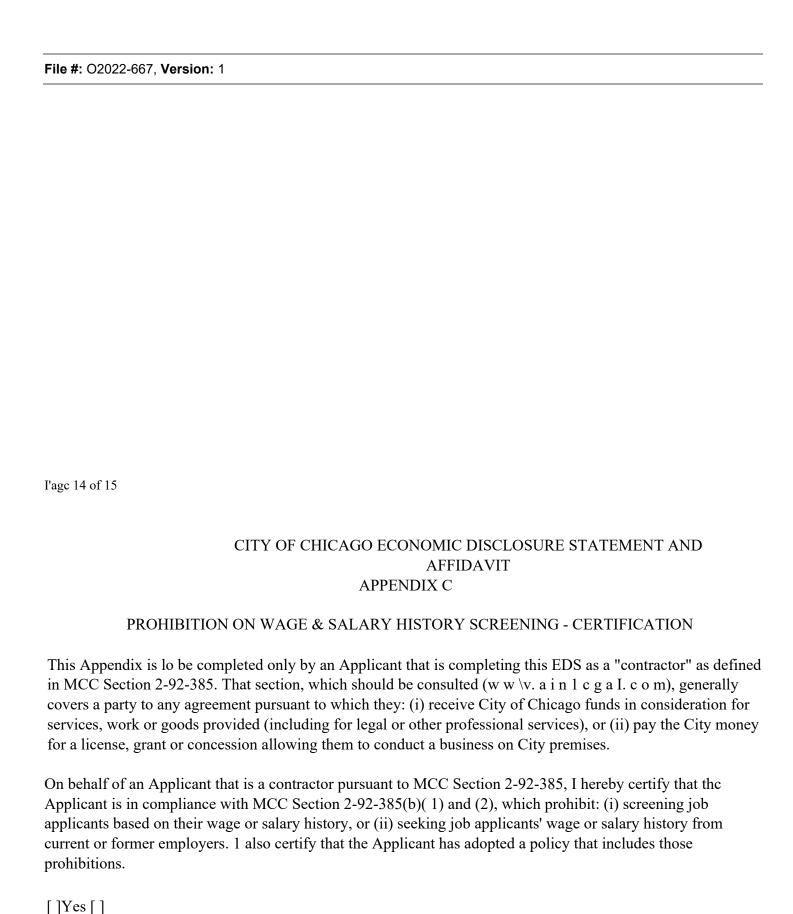
Under MCC Section 2-154-01 5, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a coiporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

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[] Yes	[X] No		
such person is connec	eted; (3) the name ar	ame and title of such person, (2) the name of the legal entity to which and title of the elected city official or department head to whom such the precise nature of such familial relationship.	
I'aic 13 of 15			
	ECONOMIC DIS	cn v of Chicago SCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B	
B UIL I) IN G	CODESCOFFL	A VV7 P R O B L E M L A N DI .OR D C E RT IFI CAT IO N	
	he Applicant exceed	(a) the Applicant, and (b) any legal entity which has a direct ling 7.5% (an "Owner"). It is not to be completed by any legal entity test in the Applicant.	
1. Pursuant to MCC aw or problem landlor		is the Applicant or any Owner identified as a building code sco HI Section 2-92-41 6?	
[] Yes	[Xj No		
		ly traded on any exchange, is any officer or director of the Applicant roblem landlord pursuant to MCC Section 2-92-416?	
[]Yes	[] No	[X] The Applicant is not publicly traded on any exchange.	

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.



No

[X] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l). If you checked "no" to the above, please explain.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT ANT) AFFIDAVIT

SEC HON I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this LDS. Include d/b/a/ if applicable: Albany

Gaines - Michaels LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

- 1. f] the Applicant
 - OR
- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the

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 "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal name: OR [X] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: Albany Gaines Housing Development LLC 			
B. Business address of the Disclosing Party:	2 Cooper Street Camden, NJ 08102		
C. <u>Telephone: <856> 596_3QQ</u> <u>Fax:</u> <mailto:jodonnell@tmo.com></mailto:jodonnell@tmo.com>	(<u>8</u> 56) 988-5817 Email: Jodonnell@tmo.com		
D. Name of contact person: John L Q'Pon''ell	-		
E. Federal Employer Identification No. (if you ha	ave one): _		
properly, if applicable):	this EDS pertains. (Include project number and location of edits for the icdevclopment of Albany Terrace (3030 W 21st Place Chicago, Pkwy, Chicago, IL 60624)		
G. Which City agency or department is requesting	ng this EDS? Department of Housing		
Ifthe Matter is a contract being handled by complete the following:	y the City's Department of Procurement Services, please		
Specification?/	and Contract //		
SECTION II - DISCLOSURE OE OWNERSHII A. NATURE OI [.] THE D ISC EOS I NG PARTY			
I. Indicate the nature of ihe Disclosing Party [[Person [] Publicly registered business corporation Privately held business coiporation [] Sole proprietorship [] General partnership [Limited partnership [Trust	[Xj Limited liability company [J Limited liability partnership [] Joint venture [J Not-for-profit corporation (Is the not-for-profit corporation also a 501(c)(3))?] Yes [.) No [] Other (please specify)		

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2. For legal entities	s, the state (or foreign cou	untry) of incorporation or organization, if applicable: Illinois
av i er regur errore	e, 2 (er rere.g.: ee	and) of moorporation of organization, is approved that the
-	s not organized in the Stat s as a foreign entity?	te of Illinois: Has the organization registered to do business in
[] Yes	[] No	[XJ Organized in Illinois
B. IF THE DISCL	OSING PARTY IS A LE	GAL ENTITY:
(ii) for not-for-prot write "no members executor, administr companies, limited	fit corporations, all members which are legal entities") rator, or similarly situated liability partnerships or j	pplicable, of: (i) all executive officers and all directors of the entity ers, if any, which are legal entities (if there are no such members,); (iii) for trusts, estates or other similar entities, the trustee, party; (iv) for general or limited partnerships, limited liability oint ventures, each general partner, managing member, manager or or indirectly controls the day-to-day management of the Applicant.
NOTE: Each legal	entity listed below must s	submit an EDS on its own behalf.
Name Title Michael J. Levitt F Michaels Lifting	Revocable Trust g Lives 2021, LLC Memb	Managing Member
current or prospect excess of 7.5% oft	tive (i.e. within 6 months a he Applicant. Examples o	concerning each person or legal enlity having a direct or indirect, after City action) beneficial interest (including ownership) in if such an interest include shares in a corporation, partnership erest of a member or manager in a
Pane 2!"15		
limited liability co	ompany, or interest of a	beneficiary of a trust, estate or other similar enlity. If none, stale
NOTE: Each legal	entity listed below may b	be required to submit an EDS on its own behalf.
	Business Addre Revocable Trust 2 Coope	er St. Camden, N.I 08102 w .51 %
Michaels Lifting	Lives 2021, LLC 2 Coop	er St. Camden, N.I 08102 49%

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SECTION III - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

OFFICIALS			
Has the Disclosing Party pro	ovided any income or compensation to	o any City elected official during	the
12-month period preceding	the date of this EDS?	[] Yes	[Xj No
Does the Disclosing Party re	easonably expect to provide any incom	ne or compensation to any City	
elected official during the 12	2-month period following the date of t	his EDS? [] Yes	[X] No
If "yes" to cither of the abov such income or compensatio	re, please identify below the name(s) con:	of such City elected official(s) an	d describe
Does any City elected officia	al or, to the best ofthe Disclosing Part	y's knowledge after reasonable	
inquiry, any City elected off	icial's spouse or domestic partner, hav	re a financial interest (as defined	in
Chapter 2-156 of the Municip	pal Code of Chicago ("MCC")) in the	Disclosing Party?	
[]Yes	[Xj No		
If "yes," please identify bel (s) and describe the financial	ow the name(s) of such City elected l interest(s).	official(s) and/or spouse(s)/dor	mestic partner

SECTION IV - DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Parly must disclose the name and business address of each subcontractor, attorney, lobbyist: (as defined in MCC Chapter 2-1 56), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects lo retain in connection with the Matter, as well as the nature ofthe relationship, and (he total amount ofthe fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Parly must cither ask the City whether disclosure is required or make the disclosure

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Name (indicate whether retained or anticipated to be retained)

Relationship to Disclosing Pariv Fees (indicate whethei subcontractor, attorney, lobbyist, etc.)

Relationship to Disclosing Pariv Fees (indicate whethei paid or estimated.) NOTK:

"hourly rate" or "t.b.d." is not an acceptable response

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(Add sheets if necessary)
[X] Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [^j No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered .into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[JYes []No
B. FURTHER CERTIFICATIONS
1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date oflhis EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine. fee. lax or other source of indebtedness owed lo the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. The Disclosing Party and. ifthe Disclosing Parts' is a legal entity, all of those persons or entities identified in Section 11(B)(1) of lhis EDS:
- a. are noi presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date oflhis EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the .federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Parly, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it. or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any

Affiliated Enlity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Conlractor, nor any Agents have, during the 5 years befoi e the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any A ffiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges lhat compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use. any facility listed as having an active exclusion by the U.S. EPA on the federal System

for Award Management ("SAM").

10. [FOR APPLICANT ONf.Yj The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal m form and substance lo those in Certifications (2) and (9) above and will not. without the prior written consent of the City, use any such Vcr20IS-l Page 6 of 15

contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

1 1. If the Disclosing Parly is unable to certify to any of the above statements m this Part B (Further Certifications), the Disclosing Parly must explain below: N/A "

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current, employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none").

n/a

13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is is nol

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined m VICC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege ol doing business with the Cily."

I\uj.c 7 ol 15

Ii the Disclosing Party is unable to make this pledge because a or any of its affiliates (as defined in MCC Section 2-32-455(1-1)) is a predatory lender within ihe meaning of MCC Chapter 2-32. explain here (attach additional pages if necessary):

N/A

If the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-1 56-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes [XJ No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part: D.

Does the Matter involve a City Property Sale?

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[J Yes	[XJ No				

3. If you checked "Yes" to Item D(l), provide the names and business addresses ofthe City officials or employees having such financial interest and identify the nature of the financial interest:

Name Business Address Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

L. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please cheek either (1.) or (2) below. If the Disclosing Parly checks (2). the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). failure to comply with these disclosure requirements may make any contract entered into with the City m connection with the Matter voidable by the City.

- A 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE. If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Parly means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Parly with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed m paragraph A(1) above for his or her lobbying activities or lo pay any person or entity lo influence or attempt to inlluence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver 201 S-i

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of a member of Congress, in connection with the award of any federally funded contract, making any lederally funded grant or loan, entering into any cooperative agreement, or lo extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNI TY

If the Matter is federally funded, federal regulations require the Applicant and all proposed

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subcontractors to submit negotiations.	the following i	nformation with their bids or in writing at the outset of
Is the Disclosing Party th [X]No	e Applicant? []Yes
If "Yes," answer the three	e questions belo	ow:
1. Have you developed a regulations? (See 41 CFR	•	ve on file affirmative action programs pursuant to applicable federal
Compliance Programs, or filing requirements?	the Equal Em	ting Committee, the Director of the Office of Federal Contract ployment Opportunity Commission all reports due under the applicable
[) Yes	[] No	[] Reports not required
opportunity clause?	7 1	us contracts or subcontracts subject to the equal
I I Yes] No	
If you checked "No" to q	uestion (I) or (2	2) above, please provide an explanation:
I'ayc III of 15		

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this LDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this LDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityoichicago.org/Ethics http://www.cityoichicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City lakes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect lo Mailers subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Pauc I 1 of 15

CERTIFICATION

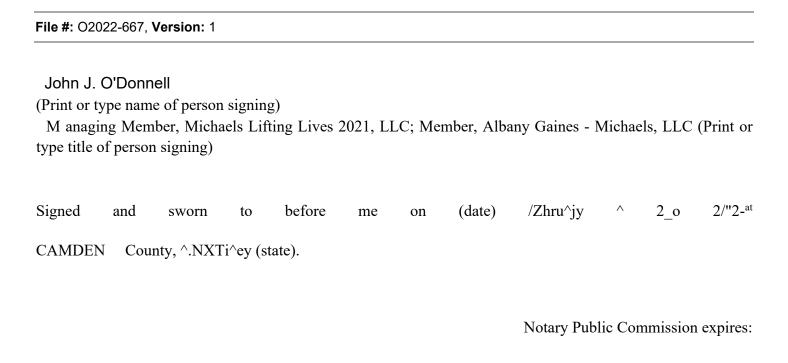
Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this FDS, and all applicable Appendices, on behalf of the Disclosing Parly, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Albany Gaines - Michaels LLC

(Print or type exact legal name of Disclosing Party)

By:

(Sign ITeTeT-



CATHERINE A FREAS NOTARY PUBLIC OF NEW JERSEY COMMISSION NUMBER 2331007 CUMMJSMUN b>UMRi:S"E/30/2025

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to he completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, ifthe Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[JYes [XjNo

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city of ficial or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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APPENDIX P>

BUILDING CODE SCOFFLAW7PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

	C Section 2-1 54-010, is pursuant to MCC Section	the Applicant or any Owner identified as a building code scofflaw on 2-92-416?
I]Yes	[Xj No	
* *		traded on any exchange, is any officer or director of the law or problem landlord pursuant to MCC Section 2-92-416?
[] Yes	[] No	[X] The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT" AND AFFIDAVIT APPENDIX C

PROHIBIT ION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlcgal.com http://www.amlcgal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

iYes

[]No

[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

SECTION I - GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting this LDS. Include d/b/a/' if applicable: Albany Gaines Senior Housing LLC

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. [] the Applicant OR

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 [] a legal entity currently holding, or anticipated to hold within six months after City action on the contract, transaction or other undertaking to which this EDS pertains (referred to below as the "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicants legal name: OR [x] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: Albany Gaines Housing Development LLC
B. Business address of the Disclosing Party: 60 East Van Buren, 12th Floor Chicago, IL 60605
C. <u>Telephone: 312.913.7740</u> Fax: <u>Email: lpratter@thecha.org></u>

[J Person

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[] Publicly registere [] Privately held bus [J Sole proprietorshi [J General partnersh [] Limited partnersh [] Trust	ip iip	a
2. For legal entities,	the state (or foreign o	country) of incorporation or organization, if applicable: Illinois
3. For legal entities r the State of Illinois a	_	tate of Illinois: Has the organization registered to do business in
[] Yes	[] No	[x] Organized in Illinois
B. IF THE DISCLOS	SING PARTY IS A L	EGAL ENTITY:
(ii) for not-for-profit write "no members we executor, administrate companies, limited liany other person or l	corporations, all men which are legal entities tor, or similarly situat iability partnerships o egal entity that direct	Eapplicable, of: (i) all executive officers and all directors of the entity of any, which are legal entities (if there are no such members, s"); (iii) for trusts, estates or other similar entities, the trustee, ed party; (iv) for general or limited partnerships, limited liability r joint ventures, each general partner, managing member, manager or lay or indirectly controls the day-to-day management of the Applicant st submit an EDS on its own behalf.
Name Title		
Chicago Housing Admins	stration LLC	Illinois Limited Liability Company, Its Sole Member
Chicago Housing Author	ity	A municipal corporation, Its Sole Member
current or prospective of 7.5% of the Application	e (i.e. within 6 month cant. Examples of suc	on concerning each person or legal entity having a direct or indirect, as after City action) beneficial interest (including ownership) in excess han interest include shares in a corporation, partnership interest in a number or manager in a
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limited liability com ANoneJ	npany, or interest of	a beneficiary of a tnM. estate or other similar entity. If none, stat

NOTE: Each legal entity listed below may be required to submit an EDS on its own behalf.

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Name	Business Address	Percentage Interest in the Applicant
Chicago Housing A	uthority 60 East Van Buren. 12th Floor Chicago, IL 60	605.0.01%
Chicago Housing Admini	istration' c/o CHA 60 EAST Van Buren. 12th Floor Chicago. IL 60605 Housing Administration, will assume a 99 99% mterosl in the Disclosing	5 100%
SECTION III OFFICIALS	- INCOME OR COMPENSAT ION T	O, OR OWNERSHIP BY, CITY ELECTED
	ing Party provided any income or compensati	
12-month period	d preceding the date of this EDS?	[] Yes [x] No
	sing Party reasonably expect to provide any is luring the 12-month period following the date	
If Ayes@ to eith such income or c	• •	me(s) of such City elected official(s) and describe
Does any City el	lected official or, to the best ofthe Disclosing	; Party's knowledge after reasonable
inquiry, any City	v elected officials spouse or domestic partner	, have a financial interest (as defined in
Chapter 2-156 of	fthe Municipal Code of Chicago (AMCC@))) in the Disclosing Party?
[] Yes	[x] No	
• •	identify below the namc(s) of such City elethe financial interest(s).	ected official(s) and/or spousc(s)/domestic partner
SECTION IV -	DISCLOSURE OF SUBCONTRACTORS	S AND OTHER RETAINED PARTIES
_		ldress of each subcontractor, attorney, lobbyist (as any other person or enlity whom the Disclosing

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-1 56), accountant, consultant and any other person or enlity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll. If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Parly must either ask the City whether disclosure is required or make the disclosure.

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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Parly (subcontractor, attorney. lobbyist, etc.)	Fees (indicate whether paid or estimated.) NOTE: Ahourly rate? or At.b.d.tp is not an acceptable response.
(Add sheets ii" necessary	y)		
[x] Check here if the	Disclosing	g Party has not retained, nor ex	xpects to retain, any such persons o
entities. SECTION V	CERTIFI	CATIONS	
A. COURT-ORDERED	CHILD SU	JPPORT COMPLIANCE	
		tantial owners of business entities to ort obligations throughout the contra	hat contract with the City must remain act=s term.
* *	•	rectly owns 10% or more of the Disany Illinois court of competent juri	sclosing Party been declared in arrearage sdiction?
[] Yes [] No [x] No	person dire	ectly or indirectly owns 10% or mor	re of the Disclosing Party.
If AYes,@ has the person person in compliance wit			payment of all support owed and is the
[] Yes [] No			

B. FURTHER CERTIFICATIONS

- 1. [This paragraph 1 applies only if the Matter is a contract being handled by the City=s Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any lax administered by the Illinois Department of Revenue.

Paae 4 (if 15

- 3. The Disclosing Party and. if the Disclosing Party is a legal entity, all ol" those persons or entities identified in Section 11(B)(1) of this FDS:
- a. are not presently debarred, suspended, proposed ("or debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any AContracton? (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section TV, ADisclosure of Subcontractors and Other Retained Parties®);
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant

to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

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Neither the Disclosing Party, nor any Contractor, nor any Affiliated Enlity ol'either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or ad judged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's' official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any Acontrolling person® [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any Asister agency®; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Articles permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] T he Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management (ASA.M®).

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10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not. without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason lo believe has not provided or cannot provide truthful certifications.

11. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best of the Disclosing Party-s knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with AN/A@ or Anone@).

None

13. To the best of the Disclosing Party=s knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a Agift@ does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with AN/A@ or Anone@). As to any gift listed below, please also list the name of the City recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

a "financial institution" as defined in MCC Section 2-32-455(b).

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2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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Iffhe Disclosing Parly is unable lo make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

[] Yes $[_x]$ No

NOTE: If you checked "Yes" to Item D(l), proceed to Items D(2) and D(3). If you checked "No" to Item D(l), skip Items D(2) and D(3) and proceed to Part E.

2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

Does the Matter involve a City Property Sale?

[] Yes . [X]No

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

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Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee.

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Name

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Business Address

Please check either (1) or (2) below. If the Disclosing Party checks (2). the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- X 1. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage lo or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

None

(If no explanation appears or begins on the lines above, or if the letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means lhat NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(L) above for his or her lobbying activities or to pay any person or entity to influence or attempt to inlluence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Vcr.2018-1

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of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(l) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? []Yes [x] No

If AYes,@ answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal

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regulations? (See 41 CFR	R Part 60-2.)			
•	-	orting Committee, the Director of the Office of Federal Contract mployment Opportunity Commission all reports due under the applicable		
[] Yes	[] No	[] Reports not required		
3. Have you participated opportunity clause?	l in any previ	ous contracts or subcontracts subject to the equal		
[] Yes	[] No			
If you checked ANo@ to	question (1)	or (2) above, please provide an explanation:		
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SECTION VLI - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicaao.org/Ethics http://www.cityofchicaao.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, JL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City

in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the Cily takes action on the Matter. If the Matter is a contract being handled by the City=s Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this FDS. and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Albany Gaines Senior Housing LLC, an Illinois limited liability company (Print or type exact legal name of Disclosing Parry)

By: Chicago Housing Administration LLC, an Illinois limited liability company its sole member

By: Chicago Housing Authority,
an Illinois municipal corporation, its sole
member

sre) *

Tracey Scott

(Print or type name of person signing)

Chief Executive Officer (Print or type title of person signing)

Signed and sworn to before me on (date) {Ifxr^i^l /%r^O-3Q- ..at

County. v-ZcV^-^-rtv (state).

Notary Publi

Official Seal LaRue Little Notary Public State of Illinois My Commission Expires 02/03/2025

Commission expires:*

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any AApplicable Party® or any Spouse or Domestic Partner thereof currently has a Afamilial relationship® with any elected city official or department head. A Afamilial relationship® exists if, as of the date this EDS is signed, the Disclosing Party or any AApplicable Party® or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

AApplicable Party® means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. APrincipal officers® means the president, chief operating

	on: 1
officer, executive direct exercising similar authors.	or, chief financial officer, treasurer or secretary of a legal entity or any person rity.
•	Party or any AApplicable Party® or any Spouse or Domestic Partner thereof currently aship® with an elected city official or department head?
[] Yes	[X] No
such person is connect	y below (1) the name and title of such person, (2) the name of the legal entity to which d; (3) the name and title of the elected city official or department head to whom such ationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOFFLAVV/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

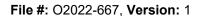
1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[]Yes [x]No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[] Yes [] No [X | The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.



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CriVOl CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amleaal.com http://www.amleaal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1 hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[] Yes []No

[X] N/A -1 am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This

certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l).

If you checked "no" to the above, please explain.

CITY OF CHICAGO ECONOMIC DISCLOSURE STAT EM TNT AND AFFIDAVIT

SEC HON I -- GENERAL INFORMATION

- A. Legal name of the Disclosing Party submitting this EDS. Include d/b/a/ if applicable: Michael
 - J. Levitt Revocable Trust

Check ONE of the following three boxes:

Indicate whether the Disclosing Party submitting this EDS is:

1. f j the Applicant

OR

- 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on
- 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the
- 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal
- 2. name:

OR ~~"

3. [X| a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control:

Albany Gaines Housing Development LLC

- B. Business address of the Disclosing Party: ^{2 Coo}Per Street Camden, NJ 08102
- C. Telephone: (856) 596-300 Fax. (856) 988-5817 Emal. jodonnel1@tmo.com

<mailto:jodonnel1@tmo.com>

- D. Name of contact person: JolTM J. O'Ponnell
- E. Federal Employer Identification No. (if you have one):
- F. Brief description of the Matter to which this EDS pertains. (Include project number and location of property, if applicable):

Request for volume cap and low-income housing tax credits for the redevelopment of Albany Terrace (.1030 W. 21st Place, Chicago, IL 60623) and Irene McCoy Gaines (.1700 W. Congress Pkwy, Chicago. II. 60624)

File #: 02022-667, Version: 1	
G. Which City agency or department is	requesting this EDS? Department of Housing
If the Matter is a contract being h complete the following:	andled by the City's Department of Procurement Services, please
Specificati	
Vci 2018-1	
SECTION II DISCLOSURE OE	OWNERSHIP INTERESTS
A. NATURE OE THE DISCLOSING	PARTY
I. Indicate the nature of the Disclos [Person f] Publicly registered business corpora [] Privately held business corporation [] Sole proprietorship [] General partnership [] Limited partnership Trust	j Limited liability company
2. For legal entities, the state (or foreing New Jersey	gn country) of incorporation or organization, if applicable:
3. For legal entities not organized in t the State of Illinois as a foreign entity?	hc State of Illinois: Has the organization registered to do business in
Yes [] No	[] Organized in Illinois
B. IF THE DISCLOSING PARTY IS A	A LEGAL ENTITY:
(ii) for not-for-profit corporations, all r write "no members which are legal ent executor, administrator, or similarly sit companies, limited liability partnership	s, if applicable, of: (i) all executive officers and all directors of the entity nembers, if any, which are legal entities (if there are no such members, ities"); (iii) for trusts, estates or other similar entities, the trustee, uated party; (iv) for general or limited partnerships, limited liability as or joint ventures, each general partner, managing member, manager or ectly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

File #: O2022-667, Version	n: 1		
Name Title Michael J. Levitt	Sole	e Trustee	
current or prospective (i.e of 7.5% of the Applicant.	owing information concerning each pe. within 6 months after City action) Examples of such an interest include are, interest of a member or manager	beneficial interest (including ownershares in a corporation, partnersh	ership) in excess
Puijc 2 r-i 15			
limited liability compan "None."	y, or interest of a beneficiary of a	rust, estate or other similar entity	y, ff none, state
NOTE: Each legal entity	listed below may be required to sub-	nit an EDS on us own behalf.	
Name Michael J. Levitt	Business Address 2 Cooper Sl, Camden, NI 08 102	Percentage Interest in the A 100% Sole Trustee	Applicant
SECTION III - INCO OFFICIALS	OME OR COMPENSATION TO	O, OR OWNERSHIP BY, CIT	TY ELECTED
	provided any income or compensati	on to any City elected official duri	ing the
Does the Disclosing Part	y reasonably expect to provide any ir e 12-month period following the date	ncome or compensation to any City	
If "yes" to either ofthe absuch income or compens	pove, please identify below the name(ation:	s) of such City elected official(s) a	and describe
Does any City elected of	ficial or, to the best of the Disclosing	Party's knowledge after reasonabl	e
inquiry, any City elected	official's spouse or domestic partner,	have a financial interest (as define	ed in

Chapter 2-156 of the Municipal Code of Chicago ("MCC")) in the Disclosing Party?

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[] Yes		[y\ No		
• •	please identify be scribe the financi		•	ial(s) and/or spousc(s)/domestic partner
SECTION	N IV - DISCLOS	SURE OF S	UBCONTRACTORS AND OT	THER RETAINED PARTIES
defined in Party has r and the tot employees uncertain v	MCC Chapter 2- retained or expectal amount of the s who are paid so	-156), accouts lo retain ifees paid or lely through	ntant, consultant and any other p n connection with the Matter, as estimated to be paid. The Disclosing Patty's regular pared and the Disclosing Patty's regular pared under this Section, the Disclosing	ach subcontractor, attorney, lobbyist (as serson or entity whom the Disclosing well as the nature of the relationship, sing Party is not required to disclose ayroll. If the Disclosing Parly is using Parly must either ask the City
IV./c 1 ui' 15				
`	ndicate whether or anticipated ned)	Business Address	Relationship to Disclosing Par (subcontractor, attornex, lobbyist, etc)	rly Fees (indicate whether paid or estimated.') NOTE: "hourly rate" or "t.b.d." is not an acceptable response.
(Add shee	ts if necessary)			
m Check	here ifthe Discl	osing Party	has not retained, nor expects t	to retain, any such persons or entities.
SECTION	N V - CERTIFIC	CATIONS		
A. COUR	T-ORDERED C	HILD SUPI	PORT COMPLIANCE	
		-	tial owners of business entities the obligations throughout the contra	nat contract with the City must remain act's term.
• •		•	tly owns 10% or more ofthe Disc Illinois court of competent juris	closing Party been declared in arrearage diction?
[] Yes	[*j No [] No p	erson direct	ly or indirectly owns 10% or mo	re ofthe Disclosing Party.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS
1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services, j In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Aflilialed Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).
2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any line, fee, tax or other source of indebtedness owed to the Cily of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.
l'a <jc 15<="" 4="" ol="" td=""></jc>
3 I he Disclosing Parly ami. if the Disclosing Party is a legal entity, all of those persons or entities identified m Section 11(13)1 1) oi'thi.s EDS
a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, slate or local unit of government:
b. have not, during the 5 years before the dale oflhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil udgment rendered against them in connection with: obtaining, attempting to obtain, or

c. arc not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or

performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of

records; making false statements; or receiving stolen property;

local) with committing any of the offenses set forth in subparagraph (b) above;

- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or. with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Entity (collectively "Agents").

Page 5 nf 1 S

Neither the Disclosing Party, nor any Contractor, nor any Affiliated Enlity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of lhis EDS, or, with respect to a Connactor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the dale of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe Cily, the State of Illinois, or any agency ofthe federal government or ofany state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-32()(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter I -23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be lured in connection with the Mailer certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractoiysuhconi.racioi thai (Iocs noi provide such eeriificalions or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

I 1. If the Disclosing Party is unable to certify to any of the above statements in this Part B (Further Certifications'), the Disclosinu Party must explain below. N/A

If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- 12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 13. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, ofthe City of Chicago. For puiposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to, the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name of the City recipient. N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

[] is [>3 is not

- a "financial institution" as defined in MCC Section 2-32-455(b).
- 2. If the Disclosing Party IS a financial institution, then the Disclosing Party pledges:
- "•We are not and will not become a predatory lender as defined in MCC Chapter 2-32. We further pledge that none of our affiliates is, and none ol'lhcm will become, a predatory lender as defined in MCC Chapter 2-32. We understand lhat becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss ofthe privilege of doing business with the City."

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li ihe Disclosing Party is unable lo make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

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N/A		
If the letters "NA," the word "Non conclusively presumed that the D		
D. CERTIFICATION REGARDI	NG FINANCIAL INTE	EREST IN CITY BUSINESS
Any words or terms defined in M	CC Chapter 2-1 56 have	e the same meanings if used in this Part D.
	ial or employee of the C	best ofthe Disclosing Party's knowledge after City have a financial interest in his or her own name or
[] Yes [Xj	No	
NOTE: If you checked "Yes" to I skip Items D(2) and D(3) and production	· · · ·	ems D(2) and D(3). If you checked "No" to Item D(l),
employee shall have a financial in the purchase of any property that (virtue of legal process at the suit of	terest in his or her own i) belongs to the City, of ofthe City (collectively,	ing, or otherwise permitted, no City elected official or name or in the name of any other person or entity in or (ii) is sold for taxes or assessments, or (iii) is sold by "City Property Sale"). Compensation for property not constitute a financial interest within the meaning
Does the Matter involve a City Pr	operty Sale?	
[]Yes [31	No	
3. If you checked "Yes" to Iter employees having such financial i	· / •	ames and business addresses ofthe City officials or nature ofthe financial interest:
Name Bus	siness Address	Nature of Financial Intei est

4. The Disclosing Parly further certifies that no prohibited financial interest m the Matter will be acquired by any Cily official or employee.

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please cheek either f I) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to this EDS all information required by (2), failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- ^A I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Patty verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI - CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City arc not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or ifthe letters "NA" or ifthe word "None" appear, it will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995. as amended, have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter.)

2. The Disclosing Parly has not spent and will not expend any federally appropriated funds to pay any

File #: O2022-667, Vers	ion: 1	
to influence or attempt	to influence and	above for his or her lobbying activities or to pay any person or enlity officer or employee of any agency, as defined by applicable federal law, aployee of Congress, or an employee l'a!/.e 9 of 15
funded grain or loan, e	ntering into any	p in with the award of any federally funded contract, making any federally cooperative agreement, or lo extend, continue, renew, amend, or modify an, or cooperative agreement.
	nt that materially	it an updated certification at the end of each calendar quarter in which y affects the accuracy of the statements and information set forth m
ofthe Internal Revenue	Code of 1986; o but has not enga	nat either: (i) it is not an organization described in section 501(c)(4) or (ii) it is an organization described in section 501(c)(4) of the Internal aged and will not engage in "Lobbying Activities," as that term is a of 1995, as amended.
substance to paragraph the Disclosing Party m	s A(l) through A ust maintain all s	plicant, the Disclosing Party must obtain certifications equal in form and (4) above from all subcontractors before it awards any subcontract and such subcontractors' certifications for the duration of the Matter and must able to the City upon request.
B. CERTIFICATION	REGARDING E	QUAL EMPLOYMENT OPPORTUNITY
	•	deral regulations require the Applicant and all proposed information with their bids or in writing at the outset of
Is the Disclosing Party	the Applicant?	
[] Yes	[] No	
If "Yes," answer the th	ree questions bel	ow:
1. ITave you developed regulations? (See 41 C.	•	ve on file affirmative action programs pursuant to applicable federal
[]Yes	[]No	
•	•	ting Committee, the Director of the Office of Federal Contract apployment Opportunity Commission all reports due underthe applicable
[] Yes	[No	[Reports not required

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3. Have you participated in any previous contracts or subcontracts subject to ihe equal opportunity clause?

[] Yes ' [| No

II you checked "No" to question (I) or (2) above, please provide an explanation:

i';::v It) of 15

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Parly understands and agrees that-

- A. The certifications, disclosures, and acknowledgments contained in this CDS will become part of any contract or other agreement between the. Applicant and the City in connection with the Matter, whether procurement, Cilv assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- 13. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofc11 http://www.cityofc11 icago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining lo allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being

handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

fasn: I I ol 15

CERTIFICATION

Under penalty of perjury, the person signing below: (I) warrants that he/she is authorized to execute this FDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS. and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

Michael J. Levitt Revocable Trust

(Print or type exact legal name of Disclosing Party) By:

[Sign here)

Michael J. Levitt

(Print or type name of person signing)

Sole Trustee (Print or type title of

person signing)

Jotary Commission expires

Signed and sworn to before me on (date) /X^cy-a^ry ?_0 3i2.,

campen County, /[/ejJ^Tvs^ (state).

Notary Public

CATHERINE A FREAS NOTARY PUBLIC OF NEW JERSEY GtM(ViI^S»HiiUMDIIIU331007

COMMISSION EXPIRES 6/30/2025

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cm oi Chicago ECONOMIC DISCLOSURE STATEMENT AND AIT' I DA VII APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-1 54-01 5, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department, head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any ofthe following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., ifthe Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than

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a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

["JYes [*] No

If yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX B

BUILDING CODE SCOI FLAWPROBLEM LANDLORD CERTIFICATION

Phis Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). Il is not to be completed by any legal entity which has only an indirect, owneiship interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-41 6?

[] Yes [>3No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

[JYes [JNo [X] The Applicant is not publicly traded on any exchange.

3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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cn v or Chicago ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is to be completed only by an Applicant diat is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlcual.com http://www.amlcual.com), generally covers a party to any agreement pursuant to which they: (1) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from

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current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.
[] Yes []
No
[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This
certification shall serve as the affidavit required by MCC Section 2-92-385(c)(l).
If you checked "no" to the above, please explain. CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
SECTION I GENERAL INFORMATION
A. Legal name ofthe Disclosing Party submitting this LDS. Include d/b/a/ if applicable: Albany Gaines Housing Development LLC
Check ONE of the following three boxes:
Indicate whether the Disclosing Party submitting this EDS is: 1. m the Applicant OR 2. [] a legal entity currently holding, or anticipated to hold within six months after City action on 2. the contract, transaction or other undertaking to which this EDS pertains (referred to below as the 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. State the Applicant's legal 2. name: OR 3. [] a legal entity with a direct or indirect right of control of the Applicant (see Section 11(B)(1)) State the legal name of the entity in which the Disclosing Party holds a right of control: B. Business address of the Disclosing Party: 2 Cooper Street Camden, NJ 08102
C. Telephone: (856) 596-300 Fax: (856) 988-5817 Emailto:jodonnell@tmo.com jodonnell@tmo.com D. Name of contact person: John J- 0'Dou"e"

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E. Federal Employer	Identification No. (if yo	u have one):	
property, if applicable	e): Request for volume of	his EDS pertains. (Include project number and location of cap and low-income housing tax credits for the redevelopme cago, IL 60623) and Irene McCoy Gaines (3700 W. Congress	
Pkwy, Chfcago, IL 60 G. Which City agen	,	esting this EDS? Department of Housing	
If the Matter is a complete the following	_	by the City's Department, of Procurement Services, ple	ease
Specification //		antl Contract // _	
Ver 20IS-1		l'auc I of 15	
SF.CTION H - DIS	CLOSURE OF OWNE	RSHIP INTERESTS	
A. NATURE OF TH	E DISCLOSING PART	Y	
[j Publicly registered	nture of the Disclosing Pid business corporation [] [J Limited partnership [Privately held business corporation [] Sole proprietorship]	
2. For legal entities,	the state (or foreign coui	Illinois	
	partnership		
ry) of incorporation of	or organization, if applica	able:	
-	es not organized in t of Illinois as a foreign er	the State of Illinois: Has the organization registered to ntity?	do
[] Yes	[] No	[Xj Organized in Illinois	
B. IF THE DISCLOS	SING PARTY IS A LEG	GAL ENTITY:	

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1. List below the full names and titles, if applicable, of: (i) all executive officers and all directors of the entity; (ii) for not-for-profit corporations, all members, if any, which are legal entities (if there are no such members, write "no members which are legal entities"); (iii) for trusts, estates or other similar entities, the trustee, executor, administrator, or similarly situated party; (iv) for general or limited partnerships, limited liability companies, limited liability partnerships or joint ventures, each general partner, managing member, manager or any other person or legal entity that directly or indirectly controls the day-to-day management of the Applicant.

NOTE: Each legal entity listed below must submit an EDS on its own behalf.

Name Title (100% Member Chicago Housing Administralion LU

Albany Gaines Senior Housing LLC Managing Member

Albany Gaines - Michaels LLC Special Member

(Managing Member- Michael J. Levitt Revocable Trust. Ownership 51% and Michaels Lifting Livi

2021. LLC, a New Jersey LLC. Ownership 49%^

2. Please provide the following information concerning each person or legal enlity having a direct or indirect, current or prospective (i.e. within 6 months after City action) beneficial interest (including ownership) m excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership inieresi m a partnership or joint venture, interest of a member or manager in a

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limited liability company., or interest of a beneficiary of a trust, estate or other similar entity. If none, state 'None."

.NOTE: Each legal enlity listed below may be required to submit an EDS on its own behalf.

Name Business Address Percentage Interest in the Applicant

Albany Gaines Senior Housing LLC 50% Albany Gaines - Michaels LLC 50%

"At closing, it is anticipated that a to be formed Investor Member will assume 99.98% ownership interest in the "Disclosing Party.

SECTION HI - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS? [] Yes [Xj No

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•		y expect to provide any income or period following the date of this E	1 ,	o
If "yes" to either of the absuch income or compensa	-	e identify below the name(s) of suc	h City elected official(s) and describ	e
Docs any City elected off	icial or, to	the best of the Disclosing Party's k	nowledge after reasonable	
inquiry, any City elected	official's sp	pouse or domestic partner, have a fi	inancial interest (as defined in	
Chapter 2-156 of the Mur	nicipal Cod	le of Chicago ("MCC")) iu the Disc	closing Party?	
[] Yes	[Xj N	0		
If "yes," please identify (s) and describe the finan			cial(s) and/or spouse(s)/domestic par	tner
SECTION IV - DISCLO	SURE OI	F SUBCONTRACT ORS AND O	THER RETAINED PARTIES	
defined in MCC Chapter Party has retained or expe and the lolal amount ofthe employees who are paid s	2-1 56), accepts to retain the fees paid solely through the control of the fees paid t	countant, consultant and any other in in connection with the Matter, as or estimated to be paid. The Disclosing Parly's regular p quired under this Section, the Disclosing	ach subcontractor, attorney, lobbyist person or enlity whom the Disclosing well as the nature of the relationship osing Party is not required to disclose ayroll. If the Disclosing Party is osing Party must either ask the City	g
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Name (indicate whether retained or anticipated to be retained)	Business Address	Relationship to Disclosing Party (subcontractor, attorney. lobbyist, etc.)	Fees (indicate whelliei paid oi estimated.) NOTE "hourly rate" or "t.b.d." is not an acceptable response.	
Sec attached				
(Add sheets it necessary)				

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[\ Check here if the Disclosing Party has not retained, nor expects to retain, any such persons or entities.
SECTION V - CERTIFICATIONS
A. COURT-ORDERED CHILD SUPPORT COMPLIANCE
Under MCC Section 2-92-41 5, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.
Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?
[] Yes [^j No [] No person directly or indirectly owns 10% or more of the Disclosing Party.
If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?
[] Yes [] No
B. FURTHER CERTIFICATIONS
1. [This paragraph 1 applies only ifthe Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date oflhis EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress). 2. The Disclosing Parly and its Affiliated Entities are not delinquent in the payment of any fine, fee, lax or
other source of indebtedness owed to the Cily of Chicago, including, but not limited lo. water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is ihe Disclosing Parly delinquent in the payment of any tax administered by the Illinois Department of Revenue.

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- 3. I he Disclosing Party ami, if the Disclosing Parly is a legal entity, all of those persons or entities identified in Section 11(1*)(I i oflhis EDS:
- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of lhis EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date oflhis EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
 - the Disclosing Party;
 - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection with the Matter, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
 - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Parly, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia of control include, without limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following the ineligibility of a business entity to do business with federal or state or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entity means a person or entity that directly or indirectly controls the Contractor, is controlled by it, or, with the Contractor, is under common control of another person or entity;
 - any responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant

to the direction or authorization of a responsible official of the Disclosing Party, any Contractor or any Affiliated Enlity (collectively "Agents").

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Neither ihe Disclosing Parly, nor any (.'oniraetor. nor any Affiliated Entity of cither the Disclosing Party or any Contractor nor any Agents have, during ihe 5 years before ihe date offhis EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Emily of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement m connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party lo any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).
- 6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (1) bid-rigging in violation of 720 ILCS 5/33E-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- 8. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee ofthe City or any "sister agency"; and (ii) the Applicant understands and acknowledges that compliance with Article I is a continuing requirement fordoing business with the City. NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.
- 9. [EOR APPLICANT ONLY] The Applicant, and its Affiliated Entities will not. use, nor permit their

subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM").

10. [EOR APPLICANT ONLY) The Applicant will obtain from any contractors/subcontractors lured or to be hired in connection with the Matter certifications equal m form and substance lo those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

contractor/subcontractor lhat does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

I 1. If the Disclosing Parly is unable to certify to any of the above statements in this Part B (Further Certifications), the Disclosing Party must explain below: N/A

If the letters "NA," the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

- I 2. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago (if none, indicate with "N/A" or "none"). N/A
- 13. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none"). As to any gift listed below, please also list the name ofthe City recipient. N/A

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

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[] is [>U is not			
a "financial institution" as defined in MCC Section 2-32-455(b).			
2. Ifthe Disclosing Party IS a financial institution, then the Disclosing Party pledges:			
"We are not and will not become a predatory lender as defined in MCC Chapter 2-32 Wc further properties of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter and that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the complete of the predatory lender or becoming an affiliate of a predatory lender may result in the complete of the predatory lender or becoming an affiliate of a predatory lender may result in the complete or becoming an affiliate of a predatory lender may result in the complete or becoming an affiliate of a predatory lender may result in the complete or becoming an affiliate of a predatory lender may result in the complete or becoming a predatory lender or becoming an affiliate of a predatory lender may result in the complete or becoming a predatory lender or becoming an affiliate of a predatory lender or becoming an affiliate of a predatory lender or becoming an affiliate of a predatory lender or becoming a predatory lender or becoming an affiliate of a predatory lender or becoming an affiliate of a predatory lender or becoming a predatory lender or becoming an affiliate of a predatory lender or becoming an affiliate of a predatory lender or becoming a	oter 2-32. We		

understand that becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the Citv."

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If the Disclosing Paity is unable lo make this pledge because it or any of its affiliates (as defined in MCC' Section 2-32-45-Mb)) is a predatory lender within (he meaning of MCC Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA." the word "None," or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-1 56 have the same meanings if used in this Part D.

In accordance with MCC Section 2-156-1 10: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee ofthe City have a financial interest in his oilier own name or in the name of any other person or entity in the Matter?

[]Yes [Xj No

NOTE: If you checked "Yes" to Item D(1), proceed to Items D(2) and D(3). If you checked "No" to Item D(1), skip Items D(2) and D(3) and proceed to Part E.

Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interest in his or her own name or in the name of any other person or entity in the purchase of any property that (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power does not constitute a financial interest within the meaning of this Part D.

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Does the Matter involve a City Property Sale? [JYes

[}fNo

3. If you checked "Yes" to Item D(l), provide the names and business addresses of the City officials or employees having such financial interest and identify the nature of the financial interest:

Name

Business Address

Nature of Financial Interest

4. The Disclosing Party further certifies that no prohibited financial interest in the Matter will be acquired by any City official or employee

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

Please check cither (I) or (2) below. If the Disclosing Party checks (2), the Disclosing Party must disclose below or in an attachment to (his EDS all information required by (2). Failure lo comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

- I. The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or in jury or death of their slaves), and the Disclosing Party has found no such records.
- 2. The Disclosing Party verifies that, as a result of conducting the search in step (E) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section VI. If the Matter is not federally funded, proceed to Section VII. For puiposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

A. CERTIFICATION REGARDING LOBBYING

1. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of 1.995, as amended, who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

N/A

(If no explanation appears or begins on the lines above, or iflhe letters "NA" or ifthe word "None" appear, il will be conclusively presumed that the Disclosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995. as amended, have made lobbying contacts on behalf of the Disclosing Party with respect, to the Mailer.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to inlluence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee Ver 201 S 1

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oi a member of Congress, in connection with ihe award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(I) and A(2) above.
- 4. The Disclosing Party certifies that either: (i) it is not an organization described in section 501(c)(4) ofthe Interna] Revenue Code of 1986; or (ii) it is an organization described in section 501(c)(4) ofthe Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

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B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

Is the Disclosing Party the Applicant? [^Yes [JNo

Tf "Yes," answer the three questions below:

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

[JYes [XJNo

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due. under the applicable filing requirements?

[J Yes [i No [>1 Reports not required

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

J Yes " [Xi No

If you checked "No" to question (1) or (2) above, please provide an explanation:

'The applicant has no employees

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SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement. City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a

training program is available on line at w w w. c i t y o fc h i c a go. o i g/ El h i c s, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. Tf the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

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CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable Appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable Appendices, are true, accurate and complete as ofthe date furnished to the City.

By.

Albany Gaines Housing Development LLC (Print or type exact legal najne of Disclosing Party)

(Sign lieTC-)-

John J. O'Donnell (Print or type name of

person signing)

Managing Member, Michaels Lifting Lives 2021, LLC; Member, Albany Gaines - Michaels LLC; Special Member, Albany Gaines Housing Development, LLC (Print or type title of person signing)

Signed and sworn to before mc on (date) ^Jk^u^jy ?/,

at GAMBEN- $^{Count}y'$ $M^{\wedge \wedge \wedge}y$ (state).

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CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to he completed only by (a) the Applicant, and (h) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-1 54-01 5, the Disclosing Party must disclose whether such Disclosing Party or any

"Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.l.a., if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% owneiship interest in-the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

[JYes [X] No

rf yes, please identify below (1) the name and title of such person, (2) the name of the legal entity to which such person is connected; (3) the name and title of the elected city official or department head to whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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C ITY 01- CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX li

BUILDING CODE SCOI FLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity

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which has only an indirect ownership interest in the Applicant.			
1. Pursuant to MCC Section 2-1 54-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?			
[]Yes [*jNo			
2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?			
[] Yes [] No [X The Applicant is not publicly traded on any exchange.			
3. If yes to (1) or (2) above, please identify below the name of each person or legal entity identified as a building code scofflaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.			

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CITY OT CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

Tins Appendix is to he completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (wavw.amlegal.com' http://wavw.amlegal.com), generally covers a party to any agreement pursuant to which they: (j) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385,1, hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(l) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

[]Yes

[]No

[X] N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

If you checked "no" to the above, please explain.