

# Office of the City Clerk

City Hall 121 N. LaSalle St. Room 107 Chicago, IL 60602 www.chicityclerk.com

## **Legislation Text**

File #: O2023-923, Version: 1

#### **ORDINANCE**

BE IT ORDAINED BY THE CTTY COUNCIL OF THE CITY OF CHICAGO:

SECTION I. Title 17 of the Municipal Code of Chicago, the Chicago Zoning Ordinance, is hereby amended by changing all ofthe C2-2 Motor Vehicle-Related Commercial District and RT-4 Residential Two-Flat, Townhouse and Multi-Unit District symbols and indications as shown on Map No. 16-C in the area bounded by

A line 277.25 feet north of and parallel to East 71<sup>st</sup> Street; the alley next east of and parallel to South Cornell Avenue; East 71<sup>st</sup> Street; And South Cornell Avenue

to those of a C2-2 Motor Vehicle-Related Commercial District.

SECTION 2. This ordinance shall be in force and effect from and after its passage and due publication.

Common Address of Property:

1650 East 71sl Street, Chicago, IL 60649

#### CITY OF CHICAGO

# APPLICATION FOR AN AMENDMENT TO THE CHICAGO ZONING ORDINANCE

ADDRESS of the property Applicant is seeking to rezone:

1650 E 71st. St., Chicago, IL 60649 I ype text here

Ward Number that property is located in: 5

APPLICANT AREC9, LLC

ADDRESS 2727 N. Central Ave., Ste. 500

**CITY Phoenix** 

STATE ^

ZIP CODE 85004

PHONE<sup>602</sup>-<sup>263</sup>-<sup>6555</sup>

gurnoor\_kaur@uhaul.com <mailto:gurnoor\_kaur@uhaul.com>EMAIL rick\_rottweiler@uhaul.com <mailto:rick\_rottweiler@uhaul.com> CONTACT PERSON Gurnoor Kaur & Rick\_Rottweiller

Is the applicant the owner of the property? YES

NO

If the applicant is not the owner of the property, please provide the following in formation regarding the owner and attach written authorization from the owner allowing the application to proceed.

**OWNER** 

ADDRESS

Type text here CITY

**STATE** 

ZIP CODE

PHONE

**EMAIL** 

**CONTACT PERSON** 

If the Applicant/Owner of the property has obtained a lawyer as their representative for the rezoning, please provide the following infonnation:

ATTORNEY N/A

**ADDRESS** 

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CITY STATE ZIP CODE

PHONE FAX EMAIL

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If the applicant is a legal entity (Corporation, LLC, Partnership, etc.) please provide the names of all owners as disclosed on the Economic Disclosure Statements.

Please refer to the attached document, at the end ofthe application.

- 7. On what date did the owner acquire legal title to the subject property?\_
- 8. Has the present owner previously rezoned this property? If yes, when?
- 9. Present Zoning District °2'2 & RT-4 Proposed Zoning District C2-2
- 10. Lot size in square feet (or dimensions) 44,866.8
- 11. Current Use of the property U-Haul self-storage, related retail & U-Haul truck & trailer rentals.
- 12. Reason for rezoning the property

  U-Haul intends to unify the split zones under a single zone (C2-2), in order to obtain a building permit to add two additional floors to the existing building. Each new additional floor will have a floor area of 26,169.60-SQ.FT. The new height of the building (50-FT.) & the increase in total floor area will be in complaince with the zoning requirements ofthe zone C2-2.
- 13. Describe the proposed use of the property after the rezoning. Indicate the number of dwelling units; number of parking spaces; approximate square footage of any commercial space; and height ofthe proposed building. (BE SPECIFIC)

Existing use: U-Haul self-storage, related retail & U-Haul truck & trailer rentals.

Proposed 2 additional floors (26,169.60-SQ.FT. each). Proposed parking spaces on-site are 4, with 1 additional ADA space. There is also additional parking available across S. Cornell Ave., on the abutting U-Haul property.

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14.	a Financial of change which Development www.cityof	contribution for a ch, among other ats, increases the	residential housing triggers, increases to number of units (s	projects with ten or mother allowable floor area ec attached fact sheet o	able housing units and/ore units that receive a zero, or, for existing Planner visit or more infonnation). Is	oning d
	YES NO					
			Page 2			
COU	N NOIS	TY	OF	СООК	STATE	OF
		ia (AREC 9, LLC	,	_, being lirsl duly sworsubmitted herewith are tr	rn on oath, states that all oue and correct.	of the above
			For Of	fice Use Only		
Daic	o!'{n:roduction	ii:	_			

File Number:

Ward:

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"WRITTEN NOTICE" FORM OF AFFIDAVIT (Section 17-13-0107)

Date 01.26.2023

Honorable Thomas M. Tunney Chairman, Committee on Zoning \* 121 North LaSalle Street Room 304, City Hall Chicago, Illinois 60602

# The undersigned, Richard Rottweiler,, U-Hauj. Area District Vice-, being first duty

President\_swom on oam deposes and states the following:

The undersigned certifies that he has complied with the requirements of Section 17-13-0107 of the Chicago Zoning Ordinance, by sending written notice to such propelty owners who appear to be the owners of the property within the subject area not solely owned by the applicant, and to the owners of all property within 250 feet in each direction of the lot line of the subject property, exclusive of public roads, streets, alleys and other public ways, or a total distance limited to 400 feet. Said "written notice" was sent by First Class U.S. Mail, no more than 30 days before filing the application.

The undersigned certifies that the notice contained the address of the property sought to be rezoned; a statement of the intended use of the property; the name and address of the applicant; the name and address of the owner; and a statement that the applicant intends to file the application for a change in zoning on approximately February 01, 2023

The undersigned certifies that the applicant has made a bona fide effort to determine the addresses of the parties to be notified under Section 17-13-0 107 of the Chicago Zoning Ordinance, and that the accompanying list of names and addresses of surrounding property owners within 250 feet of the subject site is a complete list containing the name and addresses of the people required to be served.

Signature

Subscribed and Sworn to before me this 26th day of January Notary Public

#### LETTER TO SURROUNDING PROPERTY OWNERS

01.26.2023

Dear Property Owner:

In accordance with the requirements for an Amendment to the Chicago Zoning Ordinance, specifically Section 17-13-0107, please be informed that on or about Feb.01,2023, the undersigned will file an application to unify a split zoning from C2-2 Motor Vehicle-Related Commercial District and RT-4 Residential Two-Flat, Townhouse and Multi-Unit District to a singular zoning of C2-2 Motor Vehicle-Related Commercial District, on behalfof the applicant AREC 9. LLC. for the property located at 1650 E 71<sup>s1</sup>. St., Chicago, IL 60649.

The applicant intends to use the subject property for U-Haul self-storage, related retail and U-Haul truck and trailer rentals. This is an expansion of the existing uses seeking a building permit for the two additional floors, each 26,169.60-SQ.FT. floor area and the total building height being 50-FT.

The applicant and owner AREC 9, LLC. is located at 2721 N. Central Ave., Phoenix, AZ 85004. The contact persons for this application are listed below, along with their emails and phone numbers.

- Rick Rottweiler, U-IIaul Area District Vice-President, rick rottweiler(a), uhaul.com and 3 12-576-4581
- Gurnoor Kaur, Planner, AREC, gurnoor\_kaur(a);uhaul.com and 602-263-6555

Please note that the applicant is not seeking to rezone or purchase your property. The applicant is required by law to send this notice because you own property within 250 feet ofthe property to be rezoned.

Matthew F. Braccia Manager, AREC 9. LLC.

Very truly yours,

# CfTV OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT

#### SECTION I - GENERAL f INFORMATION

A. Legal name ofthe Disclosing Party submitting this LDS. Include d/Va/ if applicable:
AREC.9, JX.C
Check ONE of the following three boxes:
indicate whether the Disclosing Party submitting this LDS is:
1J the Applicant OR
2. a legal entity currently holding, or anticipated to hold within six months alter City action on
2. the contract, transaction or other undertaking to which this LDS pertains (referred to below as the 2. "Matter"), a direct or indirect interest in excess of 7.5% in the Applicant. Stale (he Applicant's iegai
2. name:
3. j a legal entity with a direct or indirect light of control of the Applicant (see Section il(B)(I)) Stale the legal

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name of the enlity in which the Disclosing Pa	rty holds a right of control:
13. Business address ofthe Disclosing Party:	2721 N. Central Ave
	Phoenix, AZ 85001
C. Telephone: _ Fax:	_ Email:
D. Name of contact person:	
[ Federal Employer Identification No. (if)	you have one): f[
F. Brief description of the Matter to which thi ii"applicable):	s EDS pertains. (Include project number and location of property,
Seeking a rezoning from split zone; C2-2 and RT-	4, to a single zone C2-2, at the address 1650 E 71st. St., Chicago, IL
6Q649. The pwpese ef4ne zefie change is to-c&ta	n^_
(i. Which ('ity agency or department is reques	sting this EDS'? Bureau of Zoning, DPD
If the Ma iter is a contract being handled by t following:	he City' Department of i'roctircmeni Services, please complete the
Specification //	
SECTION II - DISCLOSURE-: OI- OWN	ERSHIP INTERESTS
A. NATURE OF THE DISCLOSING PART	Y
r	
r	
I. Indicate; die nature ofthe Disciosing Party:	•
Person	[x] I .imhed liability company
Publicly registered business corporation	☐ Limited liability partnership
Privately held business corporation Sole proprietorship	Qj Joint venture Q Not-for-profit corporation

2. For legal entities, the stale (or foreign country) of incorporation or organization, if applicable:

General partnership

Limited partnership

Trust

[^] Yes EZ3^°

\_ J Other (please specify)

(Is the not-for-profit corporation also a 501(c)(3))?

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	Delaware	
3. For legal ent of Illinois as a fe		e of Illinois: Has the organization registered to do business in tho Stale
Yes	f] No	Organized in Illinois
13. IF THE DIS	SCLOSING PARTY IS A LEC	GAL ENTITY:
not-for-profit co which are legal of situated party; (i ventures, each g	orporations, all members, if any entities"); (iii) for trusts, estate iv) for general or limited partn	blicable, of: (i) ail executive officers and all directors of the entily; (ii) for y, which are legal entities (if there are no such members, write "no members es or other similar entities, the trustee, executor, administrator, or similarly nerships, limited liability companies, limited liability partnerships or joint onber, manager or any other person or legal entity that directly or indirectly oplicant.
NOTE: Each leg	gal entily listed below must su	abmit an EDS on its own behalf.
Name Title "S.c.cjittacJi.	cd	
prospective (i.e. Applicant. Exan	within 6 months after City ac	oncerning each person or legal entity having a direct or indirect, current or etion) beneficial interest (including ownership) in excess of 7.5% ofthe de shares in a corporation, partnership interest in a partnership or joint
•	-	eficiary of a trust, estate or other similar entity. 11" none, state "None." - required to submit an EDS on its own behalf.
Name	Bus mess Address	Percentage Interest in the Applicant

## SECTION II! - INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

100%

Has the Disclosing Party provided any income or compensation to any City elected official during the

AREC Holdings, LLC 2721 N. Central Ave, Phoenix, AZ 85004

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12-month period preceding	g the date	e of this E.DS7		Q] Ye	S	[x] No
Docs the Disclosing Party	reasonab	ly expect, to provide an	ny income o	or compensation to	any City	
elected official during the	12-montl	n period following ihe d	lale ofihis I	EDS? Yes		[7] No
if "yes" to either of the about or compensation:	ove, pleas	se identify below ihe na	ine(s) of su	ch City elected ol	fieial(s) an	nd describe such income
Does any City elected offic official's spouse or domest Chicago ("MCC")) in the I	ic partne	r, have a financial inier	esi (as defi	•		
If "yes." please identi fy describe the financial inter		ne name(s) of such Cit	y elected o	fficial(s) and/or s	pousc(s)/o	clomestic partncH's) and
SECTION IV - DISCLO	SURE O	OF SUBCONTRACTO	ORS AND (	OTHER RETAIN	ED PAR	TIES
The Disclosing Party must MCC Chapter 2-156), acceexpects to retain in connect paid or estimated to be paid Disclosing Party's regular the Disclosing Parly must	ountant, o ction with d. The D payroll. l	consultant and any other the Matter, as well as isclosing Party is not re Il'the Disclosing Party is	r person or the nature of equired to do s uncertain	entity whom the I ofthe relationship, isclose employees whether a disclos	Disclosing and the to who are pure is requ	Party has retained or tal amount of the fees paid solely through the paired tinder this Section,
Page 3 oi !:">						
Name (indicate whether I	•	Relationship io Disclo		•		
retained or anticipated A lo he retained)	Address	(subcontractor, attorned lobbyist, etc.);	ey,	paid or es "hourly ra	ite" or "t.b	
(Add sheets it necessary)						
IVf Charle have if the Disc	ologina D	any has not estained ex	m oversate t	o rotoin one oucl	nargang	or antition SECTION V

[Vf Check here if the Disclosing Pany has not retained, nor expects to retain, any such persons or entities. SECTION V -

## **CERTIFICATIONS**

## A. COURT-ORDERED CIIfi.D SUPPORT COMPLIANCE

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Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance wilh their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more ofthe Disclosing Party been declared in arrearage on any child support obligations by any Illinois court of competent jurisdiction?

t~3 Yes 11.1 ^o ["xl ^o Pc,son directly or indirectly owns 10% or more of the Disclosing Pany.

If "Yes," has the person entered into a court-approved agreement for payment of all support owed and is the person in compliance with that agreement?

П	Yes	Г	No
1 1			l

#### **B. FURTHER CERTIFICATIONS**

- 1. [This paragraph! applies only if the Mailer is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity [see definition in (5) below] has engaged, in connection with the performance of any public contract, die services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e., an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency io help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future,-or continue with a contract in progress).
- 2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the Ciiy of Chicago, including, but not: limited to. water and sewer charges, license fees, parking tickets, properly taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

I'iiac 4 :it'!5

- 3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section il( tf)(1) of this EDS: ■
- a. ate not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date c/this EDS. been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, slate or local) transaction or contract under a public transaction; a violation of lederal or state antitrust statute::;, fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records: making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any ofthe offenses set forth in subparagraph (b) above;

- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, slate or local) terminated for cause or default; and
- e. have nol, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.
- 4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapters 2-56 (Inspector General) and 2-156 (Governmental Ethics).
- 5. Certifications (5), (6) and (7) concern:
- o the Disclosing Parly:
  - any "Contractor" (meaning any contractor or subcontractor used by the Disclosing Party in connection wilh the Mailer, including but not limited to all persons or legal entities disclosed under Section IV, "Disclosure of Subcontractors and Other Retained Parties");
  - any "Affiliated Entity" (meaning a person or entity that, directly or indirectly: controls the Disclosing Party, is controlled by the Disclosing Party, or is, with the Disclosing Party, under common control of another person or entity). Indicia oi'control include, w ithout limitation: interlocking management or ownership; identity of interests among family members, shared facilities and equipment; common use of employees; or organization of a business entity following rhe ineligibility of a business entity lo do business with federal or stale or local government, including the City, using substantially the same management, ownership, or principals as the ineligible entity. With respect to Contractors, the term Affiliated Entily means a person or entity that directly or indirectly controls the Contractor, is controlled by ii. or, with the Contractor, is under common control of another person or entity;
  - any responsible official of the Disclosing Party, any Contractor or any Affiliated Entity or any other official, agent or employee of the Disclosing Party, any Contractor or any Affiliated Entity, acting pursuant to the direction or authorization of a responsible official of the Disclosing Parly, any Contractor or any Affiliated Entity (collectively "Agents").

Pai>e 5 oi' IS

Neither the Disclosing Party n.or any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date ofihis EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee ofthe City, the Slate ol'Illinois, or any agency ofthe federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity:
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or

- d. violated the provisions referenced in MCC Subsection 2- 92-32.0(a)(4)(Contract.s Requiring a Base Wage); (a)(5) (Debarment Regulations): or (a)(6)(Miriinuim Wage Ordinance).
- 6. Neither the Disclosing Parly, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of (I) bid-rigging in violation of 720 ILCS- 5/33K-3; (2) bid-rotating in violation of 720 ILCS 5/33E-4; or (3) any similar offense of any state or ofthe United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.
- 7. Neither the Disclosing Party nor any Affiliated Entily is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.
- cS. [FOR APPLICANT ONLY] (i) Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and dellned terms | ofthe Applicant is currently indicted or charged wilh, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit, against an officer or employee of the City or any "sister agency"; and (ii) the Applicant understands and acknowledges thai compliance with Article I is a continuing requirement for doing business with the City. NOTE: If MCC Chapter 1 -23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section. V.
- 9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System tor .A ward Management ("SAM").
- 10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications' equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such

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contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has nol provided or cannot provide truth fc! cerlifications.

I I. If the Disclosing Part> i.s unable lo certify to any of th.; above statements in this Part B (Further Certifications), the Disclosing Party must explain below:

If the letters "NA," the word "None," or no response appears on the lines above, it. will be conclusively presumed that the Disclosing Party certified to the above statements.

12. To the best ofthe Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees ofthe Disclosing Party who were, at any time during the 12-month period preceding the date ofthis EDS, an employee, or elected or appointed official, ofthe City of Chicago (if none, indicate with "N/A" or "none").

none . .

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13. To ihc best of ihe Disclosing Party's knowledge al ter reasonable inquiry, The following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any lime during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law (if none, indicate with "N/A" or "none'). As to any gift listed below, please also list the nan;;: ofthe City recipient.

none

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

1. The Disclosing Party certifies that the Disclosing Party (check one)

ls iK,t

a "financial institution" as defined in MCC Section 2-32-455(b).

2. If the Disclosing Party IS a financial institution, then (lie Disclosing Party pledges:

"We are not and will not become a predatory lender as defined in MCC: Chapter 2-32. We further pledge that none of our affiliates is, and none of them will become, a predatory lender as defined in MCC Chapter 2-32. We understand lhat becoming a predatory lender or becoming an affiliate of a predatory lender may result in the loss of the privilege of doing business with the City."

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if the Disclosing Parly is unable lo make this pledge because it or any of its affiliates (as defined in MCC Section 2-32-455(b)) is a predatory lender within the meaning of MCC" Chapter 2-32, explain here (attach additional pages if necessary):

If the letters "NA." the word "None." or no response appears on the lines above, it will be conclusively presumed that the Disclosing Party certified to the above statements.

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or term's dellned in MCC Chapter 2-156 have the same meanings if used in this Part I).

1. In accordance with MCC Section 2-156-1 10: To the best of the Disclosing Parly's knowledge after reasonable inquiry, docs any official or employee of the City have a financial inieresi in his or her own name or in ihe name of any other person or entity in the Matter?

NOTE: If you checked "Yes" to Item D(I), proceed lo Items D(2) and D(3). If you checked "No" to Item D(1), skip Items

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D(2) and D(3) and proceed to Part E.
2. Unless sold pursuant to a process of competitive bidding, or otherwise permitted, no City elected official or employee shall have a financial interesl in his or her own name or in the name of any other person or entity in the purchase of any property thai (i) belongs to the City, or (ii) is sold for taxes or assessments, or (iii) is sold by virtue of legal process at the suit of the City (collectively, "City Property Sale"). Compensation for property taken pursuant to the City's eminent domain power docs not constitute a financial meterest within the meaning of this Part D.
Docs the Matter involve a City Property Sale?
$\Box$ Yes
3. Ifyou cheeked "Yes" to Item D(l), provide the names and business addresses of the Ciiy officials or employees having such financial interest and identify the nature of the financial interest;
Nature of Financial Interest
4. The Disclosing Party further certifies that no prohibited financial interest in the Mailer will be acquired hy any City official or employee.
>,:. CERTIFICATION REGARDING SLAVERY ERA BUSINESS
Please check either (1) or (?) below. If the Disclosing Party cheeks (2), the Disclosing Party must disclose below or in a:; .;:>.achment to litis: LDS a!i iinurmation required by .). Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.</td
Ix 11. The Disclosing Party verifies that the Disclosing Party has searched any aud all records of the Disclosing Party

Ix 11. The Disclosing Party verifies that the Disclosing Party has searched any aud all records of the Disclosing Party and any and aii predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

J 12. The Disclosing Party verifies lhat, as a result of conducting the search in step (1) above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing Party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records:

#### SECTION VI CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

NOTE: If the Matter is federally funded, complete this Section V I. If the Matter is not federally funded, proceed to Section VI I. For purposes of his Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

#### A. CERTIFICATION REGARDING LOBBYING

I. List below the names of all persons or entities registered under the federal Lobbying Disclosure Act of i<sup>1</sup>)<sup>1</sup>>5, as amended, who have made 'lobbying contacts on behalf of the Disclosing Party with respect to the Matter: (Add sheets if necessary):

(if no explanation appears or begins on the lines above, or if the letters "NA" or if the word "None" appear, it will be conclusively presumed .that the Disciosing Party means that NO persons or entities registered under the Lobbying Disclosure Act of 1995, as amended, have made lobbying contacts on behalf of (lie Disclosing Pat ty with respect to the Matter.)

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay-any person or entity listed in paragraph A(l) above for his or her lobbying activities or to pay any person or entity io influence or attempt to influence an officer or employee of any agency, as defined by applicable lederal law, a member of Congress, an officer or employee of Congress, or an employee

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of £i member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, ioan. or cooperative agreement.

- 3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.
- 4. The Disclosing Party certifies that either: (t) it is not an organization described in section 501(e)(4) of the Internal Revenue Code of 1986; or ui) it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1.9X6 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.
- 5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(l) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Parly must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

## B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

T. d. M	11 6 1 1	
	•	, federal regulations require the Applicant and all proposed subcontractors to their bids or in writing al the outset of negotiations.
Is the Disciosing Party the		
H Yes	iH No	
if "Yes," answer the three	questions be	iow:
1. Have you developed an (Sec 4] CFR Part 60-2.)	nd do you ha	ve on file affirmative action programs pursuant lo applicable federal regulations?
f] Yes	□ No	
-	nployment O	rting Commiuee, the Director ofthe Office of Federal Contract Compliance pportunity Commission al! reports due under the applicable filing requirements? f~jReports not required
3. Have you participated clause?	in any previo	ous contracts or subcontracts subject to Ihe equal opportunity
	. □No	
Ifyou cheeked "No" to que	estion (!) or (i	2) above, please provide an explanation:
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#### SECTION VII - FURTHER AC K.N OWI. EIX; M E NTS AN D C E RT1FIC ATI ON

The Disclosing Party understands and agrees that:

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- A. The certifications, disclosures, and acknowledgments contained in this EDS wili become pari of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and arc material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing. Parly understands lhat it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- ti. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text ofthis ordinance and a training program is available on line at www.ci <a href="http://www.ci>"> t vofch icago .org, lit h ics. and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago. IL 60610. (312) 744-9660. The Disclosing Party must, comply fully with this ordinance.
- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), al law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party lo participate in oilier City

transactions. Remedies al law for a false statement of material fact may include incarceration anil an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all ofthe information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a f reedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

Ii. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City lakes action on the Mailer. If ihc Matter is a contract being handled by ihe City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE:. With respect lo Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must, be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

Pago 11 of!5

VALfcHJA C. RODRIGUEZ

NOTARY PUBUC - ARIZONA MARICOPA COUNTY COMMISSION s>5iMi3 .VY .V."-:."-u;OM EXPIRES  $VAV_{\pi}/j$  papa.

# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX A

# FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT' HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this FDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or hall-sistor.

"Applicable Party" means (1) all executive officers of the Disclosing Parly listed in Section fl.B.l.a.. if the Disclosing Parly is a corporation: all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Parly. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Docs the Disclosing Parly or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

QYes oNo

If yes, please identify below H) the name and title of such person, (2) the name ofthe legal entity to which such person is connected; (3) the name and title ofthe elected city official or department head lo whom such person has a familial relationship, and (4) the precise nature of such familial relationship.

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# CITY OF CHICAGO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX U

#### BUILDING CODE SCOIT-'LAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) die Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-1 54-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant lo MCC Section 2-92-4167

**QYes** 

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- 2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicanl identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?
- 3. If yes to (1) or (?) above, please identify below the name of each person or legal entity identified as a building code scoffiaw or problem landlord and the address of each building or buildings to which the pertinent code violations apply.

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# CITY OI" CHSCACO ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT APPENDIX C

#### PROHIBITION ON WAGE & SALARY HISTORY SCREENING - CERTIFICATION

This Appendix is io be completed only by an Applicant thai is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlcgal.coin <a href="http://www.amlcgal.coin">http://www.amlcgal.coin</a>), generally covers a party to any agreement pursuant lo which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allow ing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant io MCC Section 2-92-385, 1 hereby certify that. the Applicant is in compliance with MCC Section 2-92 3S5(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage

or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

## QYes

 $\square$  No

GD  $\sim$  'am noi an Applicant that is a "contractor" as defined in MCC Section 2-92-385. This certification shall serve as the affidavit required by MCC Section 2-92-385<c"M 1). If you checked "no" to the above, please explain.

i'.i-.- iSod?

#### Attachment to City of Chicago Economic Disclosure Statement and Affidavit

Response to Section II B. 1. AREC 9, LLC Officers and Manaae

Berg, Jason A Manager
Braccia, Matthew F. Manager
Shoen, Edward J. Manager

Cronm, Jennifer L. Independent Manager Pierro, Lisa M. Independent Manager

Braccia, Matthew F. President
Casey, Thomas R. Vice President
De Respino, Laurence J. Secretary-

Berg, Jason A. Treasurer

Chadwick, Wesley Assistant Secretary
Studer, Jr. Randal W. Assistant Secretary
Bridgeman, Tobias C. Assistant Treasurer
Harte, Kevin J. Assistant Treasurer